

Non-linear Arithmetic

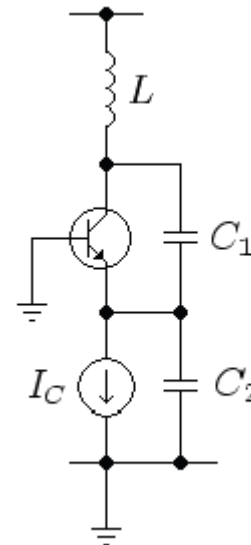
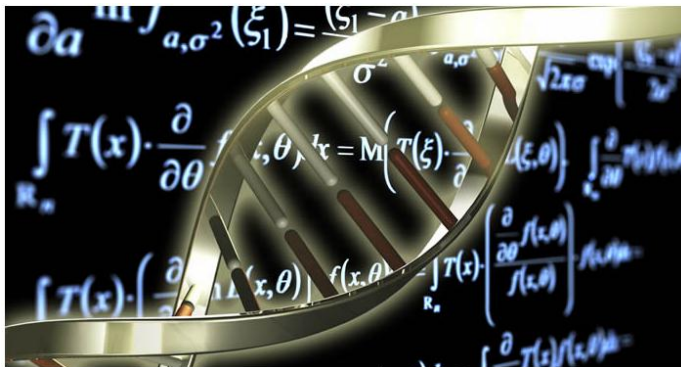
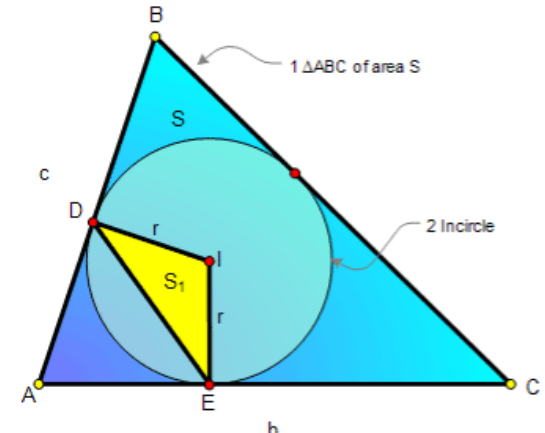
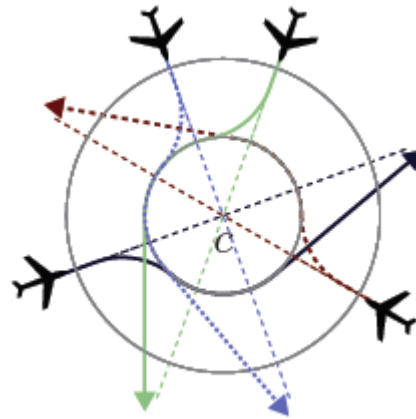
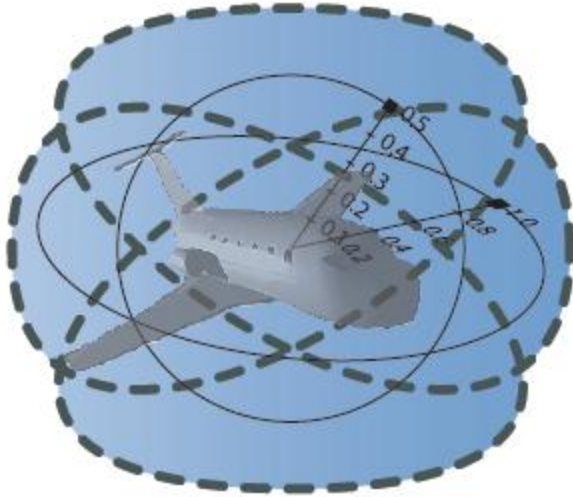
SAT/SMT Summer School 2014

Leonardo de Moura

Microsoft Research

Slides at: <http://leodemoura.github.io/>

Applications



IMO

[2001] For all $a, b, c > 0$, prove that

$$\frac{a}{\sqrt{a^2 + 8bc}} + \frac{b}{\sqrt{b^2 + 8ca}} + \frac{c}{\sqrt{c^2 + 8ab}} \geq 1$$

[2005] For all $x, y, z > 0, xyz \geq 1$, prove that

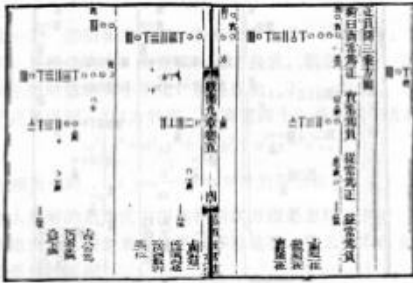
$$\frac{x^5 - x^2}{x^5 + y^2 + z^2} + \frac{y^5 - y^2}{x^2 + y^5 + z^2} + \frac{z^5 - z^2}{x^2 + y^2 + z^5} \geq 0.$$

Polynomial Constraints

AKA
Existential Theory of the Reals
 $\exists \mathbb{R}$

$$\begin{aligned}x^2 - 4x + y^2 - y + 8 &< 1 \\ xy - 2x - 2y + 4 &> 1\end{aligned}$$

Milestones



RCF admits QE
non elementary complexity



820

1247

1637

1732

1830

1835

1876

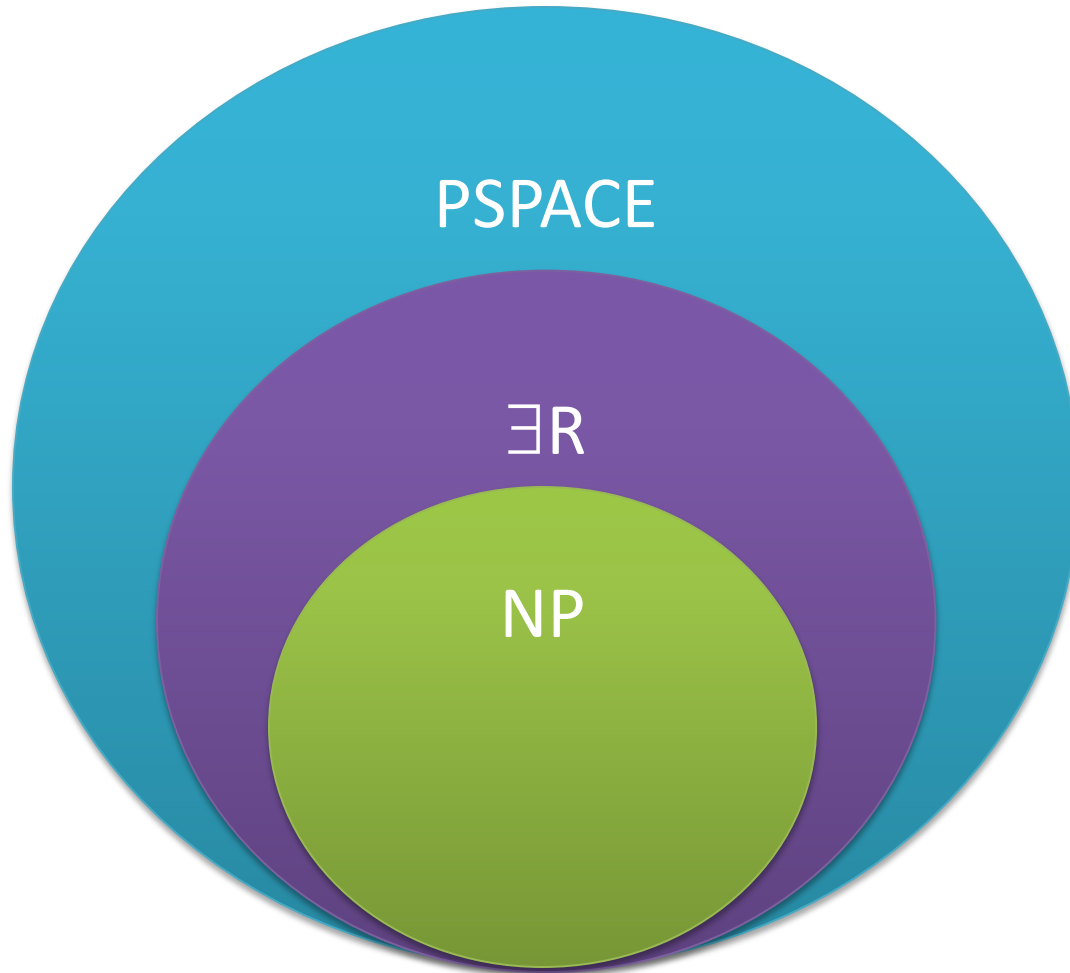
1930

1975



QE by CAD
Doubly exponential

How hard is $\exists R$?



PSPACE membership
Canny – 1988,
Grigor'ev – 1988

NP-hardness

x is "Boolean" $\rightarrow x(x-1) = 0$

x or y or $z \rightarrow x + y + z > 0$

Example

$$x_1 \geq 2,$$

$$x_1 = 2$$

$$x_2 \geq x_1^2,$$

$$x_2 = 4$$

$$x_3 \geq x_2^2,$$

$$x_3 = 16$$

...

$$x_n \geq x_{n-1}^2,$$

$$x_n = 2^{2^n}$$

Main Techniques

High-School Level Procedures - Cohen, Muchnick, Hormander 60's

Wu's method for Geometry Theorem Proving - Wu 1983

Solving equations in \mathbb{C} via Gröbner Basis - Buchberger 1985

CAD: Cylindrical Algebraic Decomposition 70's

Ben-Or, Kozen, Reif's doubly exponential procedure 80's

VTs: Virtual Term Substitution (Weispfenning 1988)

Special cases (e.g., quadratic, cubic) for QE

ICP: Interval Constraint Propagation

Polynomials

Univariate

$$x^3 - x + 1$$

Multivariate

$$xy^5 - x^2z^2 + 1$$

Reduction to a single equation

$$p \neq 0$$

$$\exists k, p. k - 1 = 0$$

$$p \geq 0$$

$$\exists k, p. p - k^2 = 0$$

$$p > 0$$

$$\exists k, p. k^2 - 1 = 0$$

$$p = 0 \wedge q = 0$$

$$p^2 + q^2 = 0$$

$$p = 0 \vee q = 0$$

$$p \cdot q = 0$$

Example

$$xy \geq 1 \wedge x < 0$$

$$xy - 1 \geq 0 \wedge -x > 0$$

$$xy - 1 - k_1^2 = 0 \wedge -x > 0$$

$$xy - 1 - k_1^2 = 0 \wedge -xk_2^2 - 1 = 0$$

$$(xy - 1 - k_1^2)^2 + (-xk_2^2 - 1)^2 = 0$$

$$x^2y^2 - 2xy + k_1^4 - 2k_1^2xy + 2k_1^2 + k_2^4x^2 + 2k_2^2x + 2 = 0$$

Polynomial division (univariate)

```
polydiv(f, g)
```

```
  q := 0
```

```
  r := f
```

```
  while deg(r) >= deg(g)
```

```
    invariant  $f = q \cdot g + r$ 
```

```
    d := deg(r) - deg(g)
```

```
    q := q + lc(r) / lc(g) .  $x^d$ 
```

```
    r := r - lc(r) / lc(g) .  $x^d$  . g
```

lc : leading coefficient

Example

$$f: 3x^3 + x^2 + 1, \quad g: x^2 + 1$$

$$q := 0 \quad r := 3x^3 + x^2 + 1,$$

$$\text{lc}(r) = 3, \text{lc}(g)=1, \deg(r)-\deg(g) = 1$$

$$q := 3x, r := 3x^3 + x^2 + 1 - 3x(x^2 + 1) = x^2 - 3x + 1$$

$$\text{lc}(r)=1, \deg(r)-\deg(g) = 0$$

$$q := 3x + 1, r := x^2 - 3x + 1 - 1(x^2 + 1) = -3x$$

$$\begin{array}{ccccccc} \mathbf{f} & = & \mathbf{q} & \cdot & \mathbf{g} & + & \mathbf{r} \\ 3x^3 + x^2 + 1 & = & (3x + 1)(x^2 + 1) & - & 3x \end{array}$$

Important

$$f = q \cdot g + r$$

If $g(a) = 0$

Then $f(a) = r(a)$

The **sign** of f at a root (aka zero) a of g is equal to the sign of r at a

Polynomial Sequence

$$S = \langle p_0, p_1, \dots, p_m \rangle$$

$Var(S, a)$: number of sign variations at a

Example

$$S = \langle 3x^4 - 3x^2 - 2, 12x^3 - 6x, x^2 + 1, x - 1, -1 \rangle$$

at 1

$$\langle -2, 6, 2, 0, -1 \rangle$$

$$Var(S, 1) = 2$$

Sturm Sequence for (f, g)

$$h_0 = f$$

$$h_1 = g$$

$$h_0 = q_1 h_1 - h_2$$

$$h_1 = q_1 h_2 - h_3$$

...

$$h_{i-1} = q_i h_i - h_{i+1}$$

...

$$h_{n-1} = q_n h_n$$

$$h_0 = f$$

$$h_1 = g$$

$$h_2 = -\text{rem}(h_0, h_1)$$

$$h_3 = -\text{rem}(h_1, h_2)$$

...

$$h_{i+1} = -\text{rem}(h_{i-1}, h_i)$$

...

$$h_n = -\text{rem}(h_{n-2}, h_{n-1})$$

$$\text{rem}(h_{n-1}, h_n) = 0$$

Sturm Sequence for (f, g)

$$h_0 = f$$

$$h_1 = g$$

$$h_0 = q_1 h_1 - h_2$$

$$h_1 = q_1 h_2 - h_3$$

...

$$h_{i-1} = q_i h_i - h_{i+1}$$

...

$$h_{n-1} = q_n h_n$$

forall $0 \leq i \leq n$,

$$h_0(a) = h_1(a) = 0$$

$$\Rightarrow h_i(a) = 0$$

$$h_n(a) = 0$$

$$\Rightarrow h_i(a) = 0$$

$$h_j(a) = 0, h_{j+1}(a) = 0$$

$$\Rightarrow h_i(a) = 0$$

Sturm Theorem

$S = \text{Sturm}(f, f'), a < b, f(a) \neq 0, f(b) \neq 0$

f' is the derivative of f

\Rightarrow

$\text{Var}(S, a) - \text{Var}(S, b) = \#\{c \mid a < c < b, f(c) = 0\}$

Number of zeros in (a, b)

Example

$$h_0 = f = x^4 - 10x^3 + 32x^2 - 38x + 15$$

$$h_1 = f' = 4x^3 - 30x^2 + 64x - 38$$

$$h_2 = -\text{rem}(h_0, h_1) = \frac{11}{4}x^2 - \frac{23}{2}x + \frac{35}{4}$$

$$h_3 = -\text{rem}(h_1, h_2) = \frac{512}{121}x - \frac{512}{121}$$

Example

$$h_0 = f = x^4 - 10x^3 + 32x^2 - 38x + 15$$

$$h_1 = f' = 4x^3 - 30x^2 + 64x - 38$$

$$h_2 = -\text{rem}(h_0, h_1) \sim 11x^2 - 46x + 35$$

$$h_3 = -\text{rem}(h_1, h_2) \sim x - 1$$

Example

$$f = (x - 1)^2(x - 3)(x - 5)$$

$$h_0 = f = x^4 - 10x^3 + 32x^2 - 38x + 15$$

$$h_1 = f' = 4x^3 - 30x^2 + 64x - 38$$

$$h_2 = -\text{rem}(h_0, h_1) \sim 11x^2 - 46x + 35$$

$$h_3 = -\text{rem}(h_1, h_2) \sim x - 1$$

	0	2	4	6
h_0	+	+	-	+
h_1	-	+	-	+
h_2	+	-	+	+
h_3	-	+	+	+

Simple procedure

We can already decide formulas such as

$$p = 0, \quad p > 0, \quad p < 0$$

Example: $x^2 + 1 < 0$

	$-\infty$	∞
$x^2 + 1$	+	+
$2x$	-	+
-1	-	-

Only the signs of the leading coefficients matter at
 $-\infty$ and ∞

Sturm-Tarski Theorem

$S = \text{Sturm}(f, f'g), a < b, f(a) \neq 0, f(b) \neq 0$

f' is the derivative of f

\Rightarrow

$\text{Var}(S, a) - \text{Var}(S, b) =$

$\#\{c \mid a < c < b, f(c) = 0, g(c) > 0\}$

-

$\#\{c \mid a < c < b, f(c) = 0, g(c) < 0\}$

$\text{TaQ}(g, f; (a, b))$

$\text{TaQ}(g, f) = \text{TaQ}(g, f; (-\infty, \infty))$

Sturm-Tarski Theorem

$$TaQ(g, f) = \#\{c \mid f(c) = 0, g(c) > 0\} - \#\{c \mid f(c) = 0, g(c) < 0\}$$

$$TaQ(1, f) = \text{Numbers of zeros (roots) of } f$$

$$TaQ(g, f) = \#(g > 0) - \#(g < 0)$$

$$TaQ(g^2, f) = \#(g > 0) + \#(g < 0)$$

$$TaQ(1, f) = \#(g = 0) + \#(g > 0) + \#(g < 0)$$

System of equations

$\#(g = 0)$	$\#(g > 0)$	$\#(g < 0)$	
1	1	1	$TaQ(1, f)$
0	1	-1	$TaQ(g, f)$
0	1	1	$TaQ(g^2, f)$

New procedure

Now, we can decide formulas such as

$$f = 0 \wedge g < 0, f = 0 \wedge g = 0,$$

$$f = 0 \wedge g > 0$$

New procedure

Now, we can decide formulas such as

$$f = 0 \wedge g > 0$$

Example: $x^2 - 1 = 0 \wedge x + 1 > 0$

$\#(g = 0)$	$\#(g > 0)$	$\#(g < 0)$	
1	1	1	$TaQ(1, f) = 2$
0	1	-1	$TaQ(g, f) = 1$
0	1	1	$TaQ(g^2, f) = 2$

New procedure

Now, we can decide formulas such as

$$f = 0 \wedge g > 0$$

Example: $x^2 - 1 = 0 \wedge x + 1 > 0$

$\#(g = 0)$	$\#(g > 0)$	$\#(g < 0)$	
1	1	1	$TaQ(1, f) = 2$
0	1	-1	$TaQ(g, f) = 1$
0	1	1	$TaQ(g^2, f) = 2$

$$\#(g = 0) = 1, \#(g > 0) = 1, \#(g < 0) = 0$$

New procedure

Now, we can decide formulas such as

$$f = 0 \wedge g > 0$$

What about $x^2 - 1 = 0 \wedge x + 1 < 0$?

$\#(g = 0)$	$\#(g > 0)$	$\#(g < 0)$	
1	1	1	$TaQ(1, f) = 2$
0	1	-1	$TaQ(g, f) = 1$
0	1	1	$TaQ(g^2, f) = 2$

$$\#(g = 0) = 1, \#(g > 0) = 1, \#(g < 0) = 0$$

Sturm-Tarski Theorem

$$\begin{aligned} TaQ(g_1 g_2, f) &= \#\{c \mid f(c) = 0, g_1(c)g_2(c) > 0\} \\ &\quad - \#\{c \mid f(c) = 0, g_1(c)g_2(c) < 0\} \end{aligned}$$

$$\begin{aligned} &= \#(g_1 > 0, g_2 > 0) + \#(g_1 < 0, g_2 < 0) \\ &\quad - \#(g_1 > 0, g_2 < 0) - \#(g_1 < 0, g_2 > 0) \end{aligned}$$

Sturm-Tarski Theorem

$$\begin{aligned} TaQ(g_1^2 g_2, f) &= \#\{c \mid f(c) = 0, g_1^2(c)g_2(x) > 0\} \\ &\quad - \#\{c \mid f(c) = 0, g_1^2(c)g_2(x) < 0\} \end{aligned}$$

$$\begin{aligned} &= \#(g_1 > 0, g_2 > 0) + \#(g_1 < 0, \#g_2 > 0) \\ &\quad - \#(g_1 > 0, g_2 < 0) - \#(g_1 < 0, \#g_2 < 0) \end{aligned}$$

New procedure

Now, we can decide formulas such as

$$f = 0 \wedge g_1 < 0 \wedge g_2 < 0,$$

$$f = 0 \wedge g_1 > 0 \wedge g_2 < 0, \dots$$

We can generalize to $\{f, g_1, g_2, \dots, g_k\}$

3^k equations!

We can do better than 3^k

Ben-Or, Kozen, Reif Optimization

Number of zeros (roots) of $f \ll 3^k$

Each “unknown” is an integer ≥ 0

Solve the system incrementally!

$f, \{g_1\}$

Suppose $\#(g_1 > 0) = 0 \rightarrow \#(g_1 > 0, *) = 0$

Found 3^{k-1} zeros!

$f, \{g_1, g_2\}$

...

Missing case

What about formulas such as

$$g_1 < 0 \wedge g_2 > 0$$

?

Given $\{g_1, \dots, g_k\}$, take $f = g_1 \cdots g_k$

$$1) TaQ(1, f) = 0$$

g_i 's have constant sign, use sign of leading coefficients.

$$2) TaQ(1, f) = 1$$

g_i 's have at most one zero, use leading coefficients to compute sign at $-\infty$ and ∞ .

$$3) TaQ(1, f) > 1$$

$-\infty, \infty$, and $f' = 0$ contains all realizable sign conditions.

Multivariate case

$$y^2z^2 + z^2 + xyz + z + x^3 + y^2 \\ \Rightarrow \\ (y^2 + 1)z^2 + (xy + 1)z + (x^3 + y^2)$$

$TaQ(g, f)$ only uses the sign of the leading coefficients.

Pseudo Polynomial Division

$$(y^2 + 1)z^2 + (xy + 1)z + (x^3 + y^2)$$

is a polynomial in $\mathbb{Q}[x, y](z)$

The previous decision algorithm does not work.

$\mathbb{Q}[x, y]$ does not have multiplicative inverse!

Trick (clean denominators)

$$lc(g)^k f = q g + r$$

Pseudo Polynomial Division

```
polydiv(f, g)
  q := 0
  r := f
  l := 1
  while deg(r) >= deg(g)
    invariant l.f = q.g + r
    l := lc(g).l
    q := lc(g).q
    r := lc(g).r
    d := deg(r) - deg(g)
    q := q + lc(r)/lc(g).xd
    r := r - lc(r)/lc(g).xd.g
```

Pseudo Polynomial Division

```
polydiv(f, g)
```

```
  q := 0
```

```
  r := f
```

```
  l := 1
```

```
  while deg(r) >= deg(g)
```

```
    invariant l.f = q.g + r
```

```
    l := lc(g).l
```

```
    d := deg(r) - deg(g)
```

```
    q := lc(g).q + lc(r).xd
```

```
    r := lc(g).r - lc(r).xd.g
```

Example

$$f: z^2 + 1 \quad g: xz + 1$$

$$q = 0,$$

$$r = z^2 + 1$$

$$l = 1$$

$$q = z$$

$$r = x(z^2 + 1) - z(xz + 1) = -z + x$$

$$l = x$$

$$q = xz - 1$$

$$r = x(-z + x) - (-1)(xz + 1) = x^2 + 1$$

$$l = x^2$$

$$\text{We “want” } \frac{lc(r)}{lc(g)} = \frac{1}{x}$$

Example

$$f: z^2 + 1 \quad g: xz + 1$$

$$q = xz - 1$$

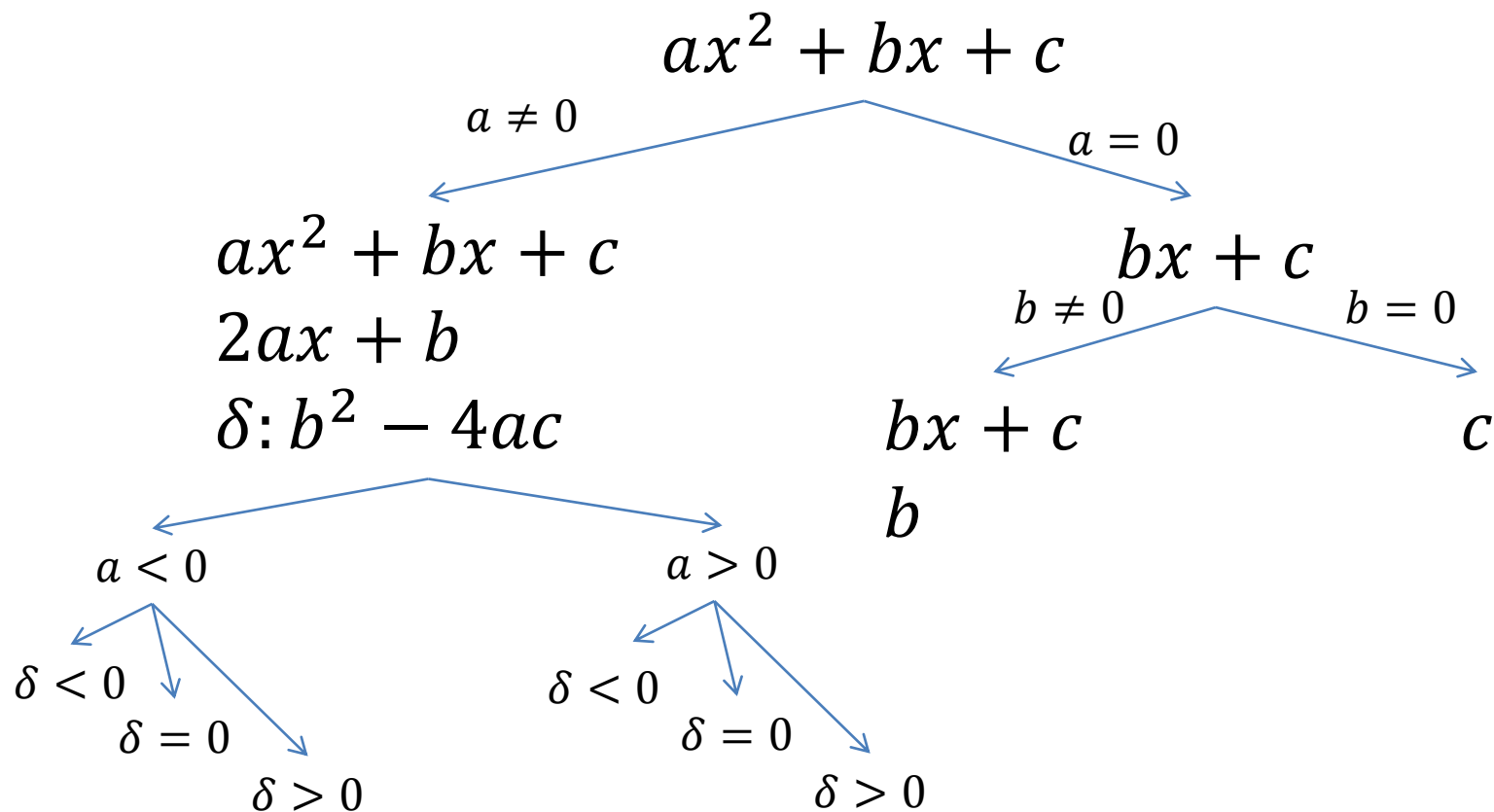
$$r = x^2 + 1$$

$$l = x^2$$

$$x^2(z^2 + 1) = (xz - 1)(xz + 1) + x^2 + 1$$

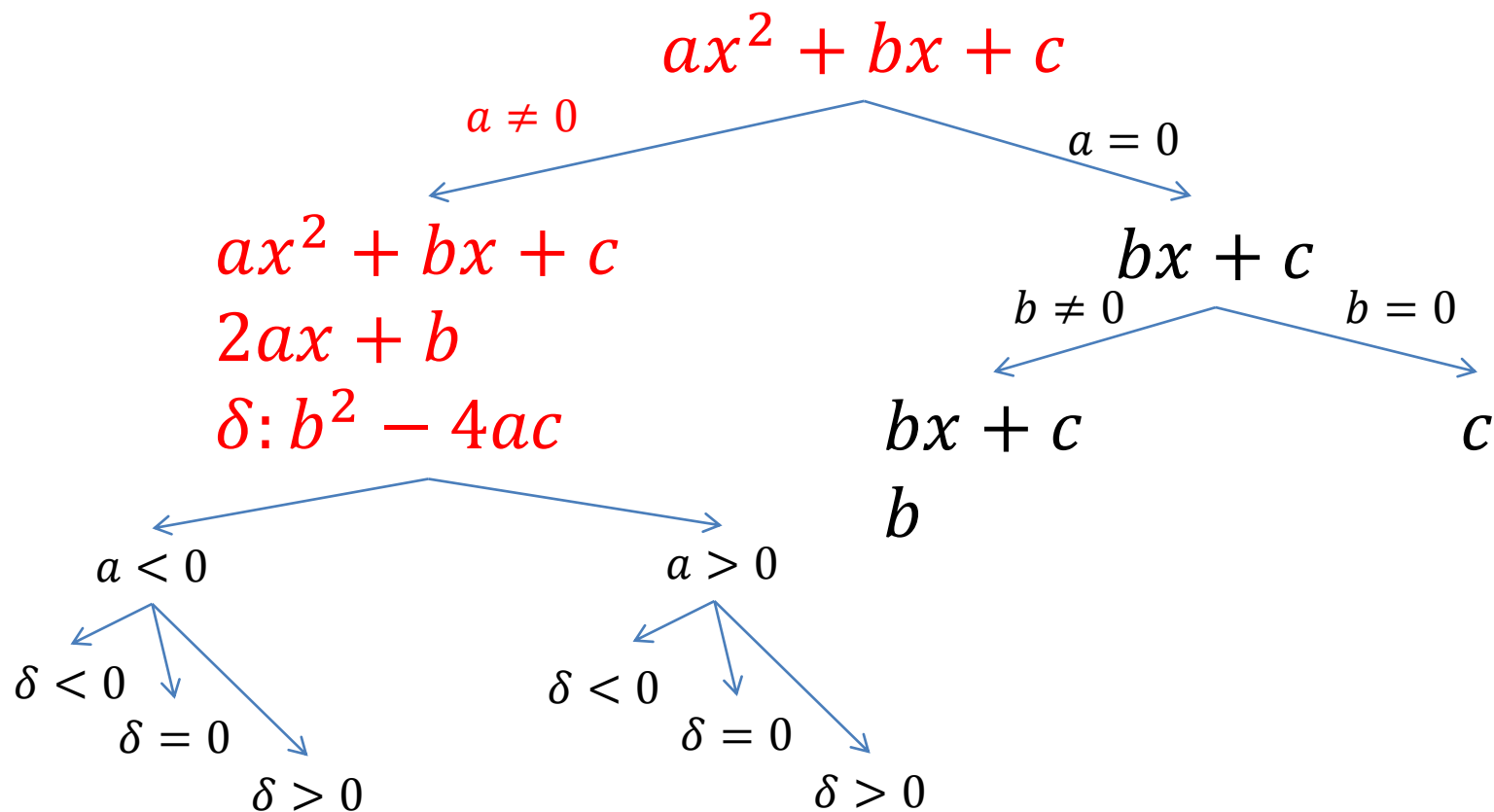
Sturm “Tree” for multivariate poly

Branch on sign of the leading coefficient



Sturm “Tree” for multivariate poly

Branch on sign of the leading coefficient



$$ax^2 + bx + c$$

Assumptions

$$a > 0$$

$$b^2 - 4ac < 0$$

$$ax^2 + bx + c$$

$$2ax + b$$

$$b^2 - 4ac$$

$-\infty$	∞
+	+
-	+
-	-

No zero

$$ax^2 + bx + c$$

Assumptions

$$a > 0$$

$$b^2 - 4ac = 0$$

	$-\infty$	∞
$ax^2 + bx + c$	+	+
$2ax + b$	-	+
$b^2 - 4ac$	0	0

1 zero

$$ax^2 + bx + c$$

Assumptions

$$a > 0$$

$$b^2 - 4ac > 0$$

$$ax^2 + bx + c$$

$$2ax + b$$

$$b^2 - 4ac$$

$-\infty$	∞
+	+
-	+
+	+

2 zeros

Model-guided procedure

Build model incrementally (like a SAT solver)

Given polynomials $\{g_1, \dots, g_k\}$ in $\mathbb{Q}[\vec{y}](x)$

An assignment for \vec{y}

We have to consider only one branch of the tree!

Example: $a := 1, b := 2, c := 1$

$$\begin{array}{ll} ax^2 + bx + c & a > 0 \\ 2ax + b & b^2 - 4ac = 0 \\ b^2 - 4ac & \end{array}$$

Example: $y > 0 \wedge (y + 2)x^4 + (y^2 + 1)x^2 + 1 < 0$

Example: $y > 0 \wedge (y + 2)x^4 + (y^2 + 1)x^2 + 1 < 0$

Assign: $y := 1$

Example: $y > 0 \wedge (y + 2)x^4 + (y^2 + 1)x^2 + 1 < 0$

Assign: $y := 1$



$$3x^4 + 2x^2 + 1 < 0$$

No solutions

Example: $y > 0 \wedge (y + 2)x^4 + (y^2 + 1)x^2 + 1 < 0$

Assign: $y := 1$



$$3x^4 + 2x^2 + 1 < 0$$

No solutions

Idea: Generalize the inconsistency using the corresponding branch of the Sturm tree

$$(y + 2)x^4 + (y^2 + 1)x^2 + 1 \quad y + 2 > 0$$
$$4(y + 2)x^3 + 2(y^2 + 1)x$$

Example: $y > 0 \wedge (y + 2)x^4 + (y^2 + 1)x^2 + 1 < 0$

Assign: $y := 1$



$$3x^4 + 2x^2 + 1 < 0$$

No solutions

Idea: Generalize the inconsistency using the corresponding branch of the Sturm tree

$$\begin{array}{ll} (y + 2)x^4 + (y^2 + 1)x^2 + 1 & y + 2 > 0 \\ 4(y + 2)x^3 + 2(y^2 + 1)x & \\ -(y^2 + 1)x^2 - 1 & y^2 + 1 > 0 \end{array}$$

Example: $y > 0 \wedge (y + 2)x^4 + (y^2 + 1)x^2 + 1 < 0$

Assign: $y := 1$



$$3x^4 + 2x^2 + 1 < 0$$

No solutions

Idea: Generalize the inconsistency using the corresponding branch of the Sturm tree

$$(y + 2)x^4 + (y^2 + 1)x^2 + 1$$

$$y + 2 > 0$$

$$4(y + 2)x^3 + 2(y^2 + 1)x$$

$$y^2 + 1 > 0$$

$$-(y^2 + 1)x^2 - 1$$

$$(-y^4 - 2y^2 + 2y + 3)x$$

$$-y^4 - 2y^2 + 2y + 3 > 0$$

Example: $y > 0 \wedge (y + 2)x^4 + (y^2 + 1)x^2 + 1 < 0$

Assign: $y := 1$



$3x^4 + 2x^2 + 1 < 0$

No solutions

Idea: Generalize the inconsistency using the corresponding branch of the Sturm tree

$(y + 2)x^4 + (y^2 + 1)x^2 + 1$

$y + 2 > 0$

$4(y + 2)x^3 + 2(y^2 + 1)x$

$y^2 + 1 > 0$

$-(y^2 + 1)x^2 - 1$

$-y^4 - 2y^2 + 2y + 3 > 0$

$(-y^4 - 2y^2 + 2y + 3)x$

1

Example: $y > 0 \wedge (y + 2)x^4 + (y^2 + 1)x^2 + 1 < 0$

Assign: $y := 1$

$$y + 2 > 0$$

$$y^2 + 1 > 0$$

$$-y^4 - 2y^2 + 2y + 3 > 0$$

	$-\infty$	∞
$(y + 2)x^4 + (y^2 + 1)x^2 + 1$	+	+
$4(y + 2)x^3 + 2(y^2 + 1)x$	-	+
$-(y^2 + 1)x^2 - 1$	-	-
$(-y^4 - 2y^2 + 2y + 3)x$	-	+
1	+	+

Example: $y > 0 \wedge (y + 2)x^4 + (y^2 + 1)x^2 + 1 < 0$

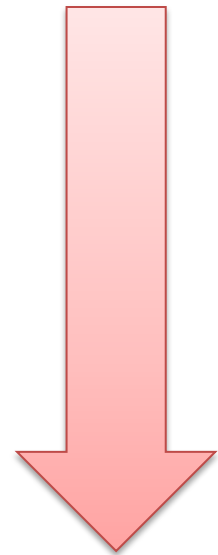
Assign: $y := 1$

$$y + 2 > 0$$

$$y^2 + 1 > 0$$

$$-y^4 - 2y^2 + 2y + 3 > 0$$

	$-\infty$	∞
$(y + 2)x^4 + (y^2 + 1)x^2 + 1$	+	+
$4(y + 2)x^3 + 2(y^2 + 1)x$	-	+
$-(y^2 + 1)x^2 - 1$	-	-
$(-y^4 - 2y^2 + 2y + 3)x$	-	+
1	+	+



$$(y + 2)x^4 + (y^2 + 1)x^2 + 1 > 0$$

Example: $y > 0 \wedge (y + 2)x^4 + (y^2 + 1)x^2 + 1 < 0$

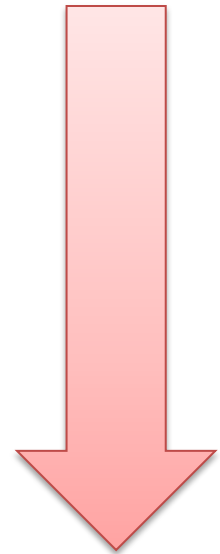
Assign: $y := 1$

$$y + 2 > 0$$

REDUNDANT $y^2 + 1 > 0$

$$-y^4 - 2y^2 + 2y + 3 > 0$$

	$-\infty$	∞
$(y + 2)x^4 + (y^2 + 1)x^2 + 1$	+	+
$4(y + 2)x^3 + 2(y^2 + 1)x$	-	+
$-(y^2 + 1)x^2 - 1$	-	-
$(-y^4 - 2y^2 + 2y + 3)x$	-	+
1	+	+



$$(y + 2)x^4 + (y^2 + 1)x^2 + 1 > 0$$

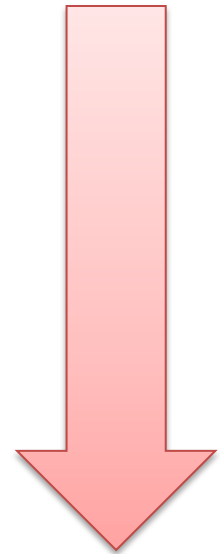
Example: $y > 0 \wedge (y + 2)x^4 + (y^2 + 1)x^2 + 1 < 0$

Assign: $y := 1$

$$y + 2 > 0$$

REDUNDANT $-y^4 - 2y^2 + 2y + 3 > 0$

	$-\infty$	∞
$(y + 2)x^4 + (y^2 + 1)x^2 + 1$	+	+
$4(y + 2)x^3 + 2(y^2 + 1)x$	-	+
$-(y^2 + 1)x^2 - 1$	-	-
$(-y^4 - 2y^2 + 2y + 3)x$	-	+
1	+	+

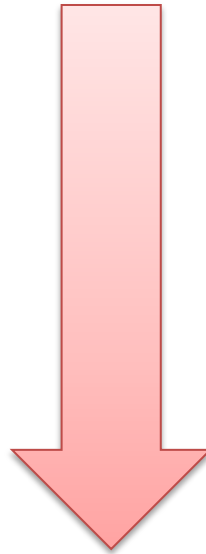


$$(y + 2)x^4 + (y^2 + 1)x^2 + 1 > 0$$

Example: $y > 0 \wedge (y + 2)x^4 + (y^2 + 1)x^2 + 1 < 0$

Assign: $y := 1$

$$y + 2 > 0$$



$$(y + 2)x^4 + (y^2 + 1)x^2 + 1 > 0$$

Example: $y > 0 \wedge (y + 2)x^4 + (y^2 + 1)x^2 + 1 < 0$

Assign: $y := 1$

$$\neg (y + 2 > 0) \vee (y + 2)x^4 + (y^2 + 1)x^2 + 1 > 0$$



$$\neg (y + 2 > 0) \vee (y + 2)x^4 + (y^2 + 1)x^2 + 1 \geq 0$$



$$\neg (y + 2 > 0) \vee \neg((y + 2)x^4 + (y^2 + 1)x^2 + 1 < 0)$$

Example: $y > 0 \wedge (y + 2)x^4 + (y^2 + 1)x^2 + 1 < 0$

Assign: $y := 1$

$$\neg (y + 2 > 0) \vee \neg ((y + 2)x^4 + (y^2 + 1)x^2 + 1 < 0)$$

Resolvent: $\neg(y + 2 > 0)$

The resolvent “blocks” $y := 1$, and many other values.

Example: $y > 0 \wedge (y + 2)x^4 + (y^2 + 1)x^2 + 1 < 0$

Assign: $y := 1$

$$\neg (y + 2 > 0) \vee \neg ((y + 2)x^4 + (y^2 + 1)x^2 + 1 < 0)$$

Resolvent: $\neg(y + 2 > 0)$

The resolvent “blocks” $y := 1$, and many other values.

The problem is unsat

$$y > 0 \wedge \neg(y + 2 > 0) \equiv y \leq -2$$

How do we represent an assignment?

Real algebraic numbers

$$\sqrt{2} + \sqrt{3}$$

$$\sqrt[3]{\frac{1}{9}} - \sqrt[3]{\frac{2}{9}} + \sqrt[3]{\frac{4}{9}}$$

First zero of $x^5 - x + 1$

Tower of algebraic extensions

$$\mathbb{Q}(\alpha_1) \dots (\alpha_k)$$

Tower of extensions

(Computable) ordered field K

Operations: $+$, $-$, \times , inv , $sign$

$$a < b \Leftrightarrow sign(a - b) = -1$$

Binary Rational
 $\frac{a}{2^k}$

Approximation: $approx(a) \in B_\infty$ -interval

$$B_\infty = B \cup \{-\infty, \infty\}$$

$$a \neq 0 \Rightarrow 0 \notin approx(a)$$

Refine approximation

Algebraic Extensions

$K(\alpha)$

α is a root of a polynomial with coefficients in K

Encoding α as polynomial + interval

Algebraic Extensions

The elements of $K(\alpha)$ are polynomials $q(\alpha)$.

Implement $+$, $-$, \times using polynomial arithmetic.

Compute sign (when possible) using interval arithmetic.

Algebraic Extensions

$$\alpha = (-2 + x^2, (1,2), \{\})$$

Let a be $q(\alpha) = 1 + \alpha^3$

We can normalize a by computing the polynomial remainder.

$$1 + x^3 = x(-2 + x^2) + (1 + 2x)$$

Polynomial
Remainder

$$1 + \alpha^3 = \alpha(-2 + \alpha^2) + (1 + 2\alpha) = 1 + 2\alpha$$

$$a = \text{rem}(1 + x^3, -2 + x^2)(\alpha)$$

Algebraic Extensions: non-minimal Polynomials

Computing the inverse of $q(\alpha)$, where $\alpha = (p, (a, b), S)$

Find $h(\alpha)$ s.t. $q(\alpha) h(\alpha) = 1$

Compute the extended GCD of p and q .

$$r(x)p(x) + h(x)q(x) = 1$$

$$\underbrace{r(\alpha)p(\alpha)}_0 + h(\alpha)q(\alpha) = 1$$

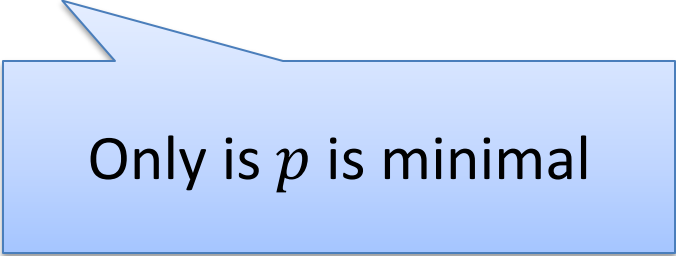
Algebraic Extensions: non-minimal Polynomials

We only use square-free polynomials p in $\alpha = (p, (a, b), S)$

They are not necessarily minimal in our implementation.

$$p(x) = q(x)s(x)$$

$$K[x]/\langle p \rangle \cong K(\alpha)$$



Only if p is minimal

Solution: Dynamically refine p , when computing inverses.

CAD “Big Picture”

1. **Project/Saturate** set of polynomials
2. **Lift/Search**: Incrementally build assignment $\nu: x_k \rightarrow \alpha_k$
Isolate roots of polynomials $f_i(\alpha, x)$
Select a feasible cell C , and assign x_k some $\alpha_k \in C$
If there is no feasible cell, then backtrack

CAD “Big Picture”

$$\begin{array}{l} x^2 + y^2 - 1 < 0 \\ x y - 1 > 0 \end{array} \quad \xrightarrow{\text{1. Saturate}} \quad \begin{array}{l} x^4 - x^2 + 1 \\ x^2 - 1 \\ x \end{array}$$

2. Search

	$(-\infty, -1)$	-1	$(-1, 0)$	0	$(0, 1)$	1	$(1, \infty)$
$x^4 - x^2 + 1$	+	+	+	+	+	+	+
$x^2 - 1$	+	0	-	-	-	0	+
x	-	-	-	0	+	+	+

CAD “Big Picture”

$$x^2 + y^2 - 1 < 0$$

$$x y - 1 > 0$$



1. Saturate

$$x^4 - x^2 + 1$$

$$x^2 - 1$$

$$x$$



	$(-\infty, -\frac{1}{2})$	$-\frac{1}{2}$	$(-\frac{1}{2}, \infty)$
$4 + y^2 - 1$	+	+	+
$-2y - 1$	+	0	-

$$x \rightarrow -2$$



2. Search

	$(-\infty, -1)$	-1	$(-1, 0)$	0	$(0, 1)$	1	$(1, \infty)$
$x^4 - x^2 + 1$	+	+	+	+	+	+	+
$x^2 - 1$	+	0	-	-	-	0	+
x	-	-	-	0	+	+	+

CAD “Big Picture”

$$x^2 + y^2 - 1 < 0$$

$$x y - 1 > 0$$



1. Saturate

$$x^4 - x^2 + 1$$

$$x^2 - 1$$

$$x$$



	$(-\infty, -\frac{1}{2})$	$-\frac{1}{2}$	$(-\frac{1}{2}, \infty)$
$4 + y^2 - 1$	+	+	+
$-2y - 1$	+	0	-

CONFLICT

$$x \rightarrow -2$$

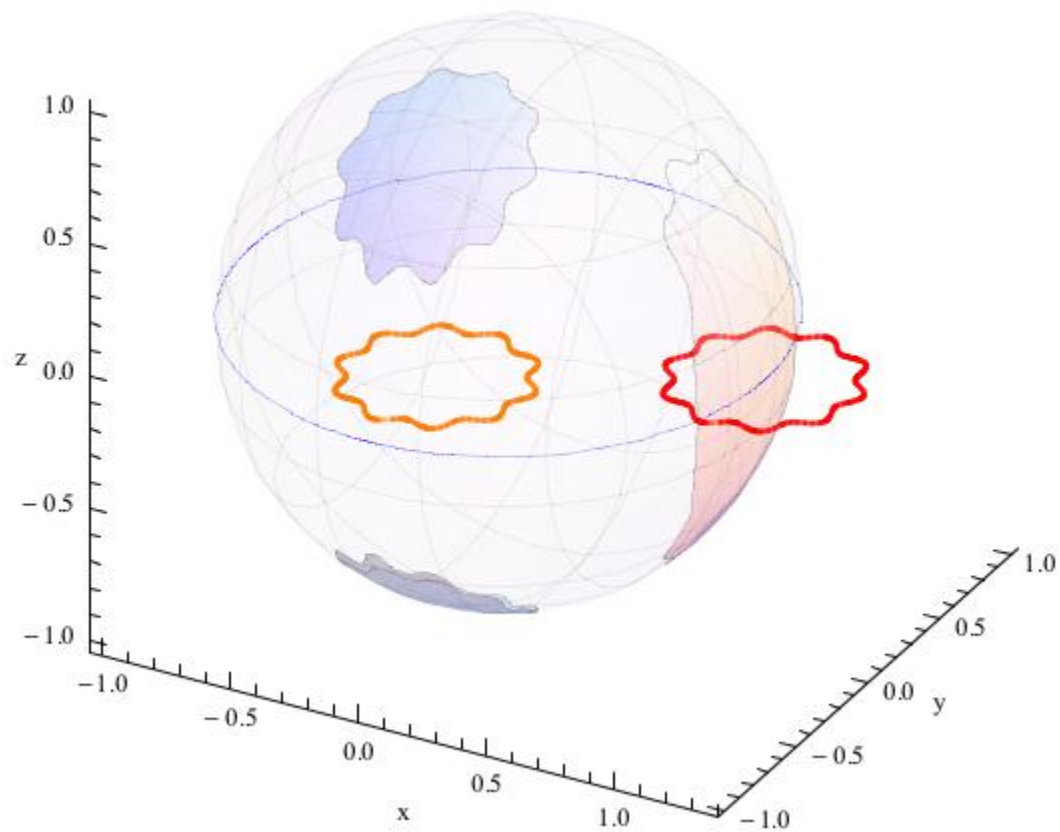


2. Search

	$(-\infty, -1)$	-1	$(-1, 0)$	0	$(0, 1)$	1	$(1, \infty)$
$x^4 - x^2 + 1$	+	+	+	+	+	+	+
$x^2 - 1$	+	0	-	-	-	0	+
x	-	-	-	0	+	+	+

Delineability

$$x^2 + y^2 + z^2 = 1$$



Resources

<http://tinyurl.com/ksb32xw>