

Orchestrating Decision Engines

CP 2011, Perugia, Italy

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Satisfiability Modulo Theories (SMT)

A Satisfiability Checker
with built-in support for useful theories

Satisfiability Modulo Theories (SMT)

$$b + 2 = c \text{ and } f(\text{read}(\text{write}(a, b, 3), c-2) \neq f(c-b+1)$$

Satisfiability Modulo Theories (SMT)

$$b + 2 = c \text{ and } f(\text{read}(\text{write}(a, b, 3), c-2) \neq f(c-b+1)$$

Arithmetic

Satisfiability Modulo Theories (SMT)

$b + 2 = c$ and $f(\text{read}(\text{write}(a, b, 3), c-2) \neq f(c-b+1)$

Array Theory

Satisfiability Modulo Theories (SMT)

$$b + 2 = c \text{ and } f(\text{read}(\text{write}(a, b, 3), c-2) \neq f(c-b+1)$$

Uninterpreted
Functions

SMT Solvers & LIB & COMP

Solvers:

AProve, Barcelogic, Boolector, CVC3, CVC4, MathSAT5, OpenSMT, SMTInterpol, SOLOAR, STP2, veriT, Yices, **Z3**

SMT-LIB: library of benchmarks (> 100k problems)

<http://www.smtlib.org>

SMT-COMP: annual competition

<http://www.smtcomp.org>

Applications

Test case generation

Verifying Compilers

Predicate Abstraction

Invariant Generation

Type Checking

Model Based Testing

Scheduling & Planning

...

Some Applications @ Microsoft



The Spec#
Programming System

HAVOC



Hyper-V

Microsoft Virtualization 

Terminator T-2

VCC

NModel

SLAM
`if(!node->x) i ++ visprocs; end() *node){`



Vigilante

SpecExplorer



F7

SAGE

Application Scenarios

“Big” and hard formulas

Thousands of “small” and easy formulas

Short timeout (< 5 secs)

Application Scenarios

“Big” and hard formulas



The
Spec#

Programming System

HAVOC

VCC

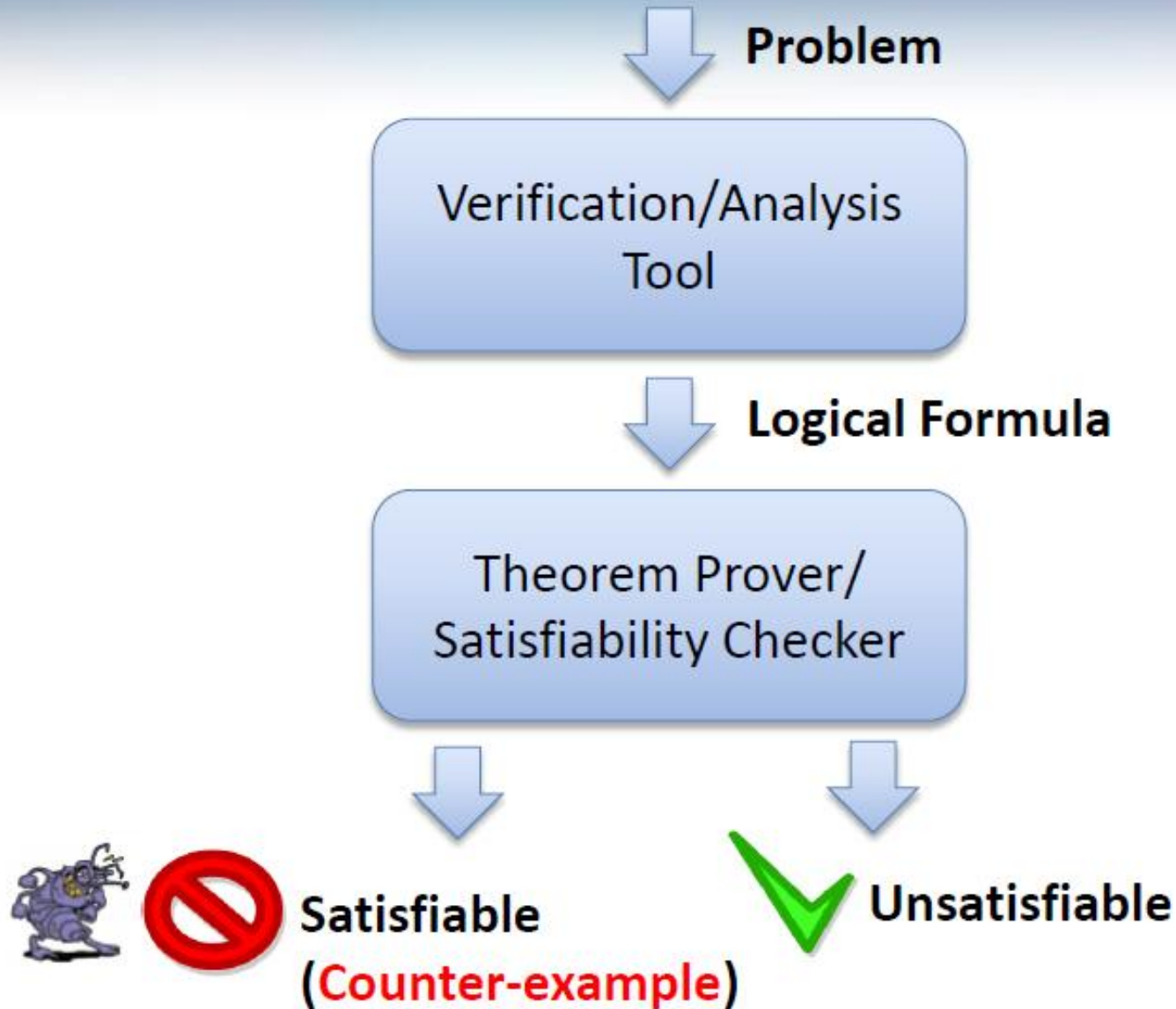
Thousands of “small” and easy formulas



Short timeout (< 5secs)

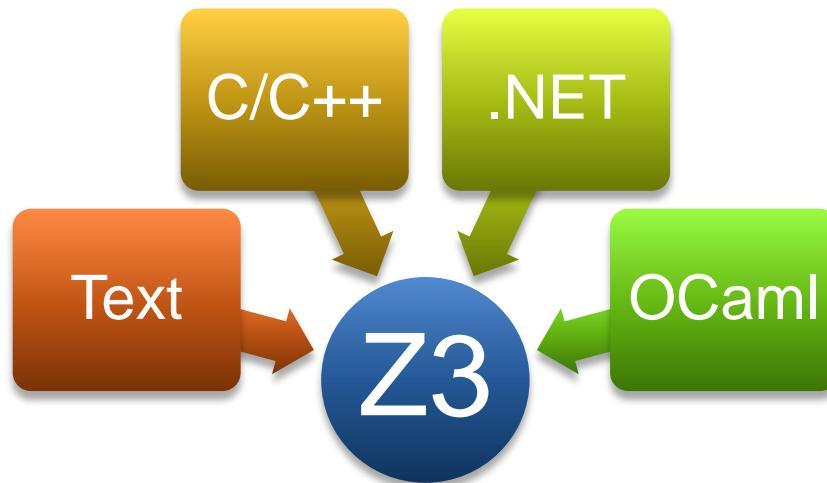
SAGE

Verification/Analysis Tool: “Template”



SMT@Microsoft: Solver

- Z3 is a solver developed at Microsoft Research.
- Development/Research driven by internal customers.
- Free for non-commercial use.
- Interfaces:



- <http://research.microsoft.com/projects/z3>

rise4fun.com/z3

RiSE4fun

gave 146,333 answers!

Click on a tool to Load a sample then ask!

[agl](#) [bek](#) [boogie](#) [code contracts](#) [concurrent revisions](#) [dafny](#) [dkal](#) [esm](#) [f*](#) [formula](#) [heapdbg](#) [poirot](#) [pex](#) [rex](#) [slayer](#) [spec#](#) [vcc](#) [z3](#)

```
(declare-fun x () Int)
(declare-fun y () Int)
(declare-fun z () Int)
(assert (>= (* 2 x) (+ y z)))
(declare-fun f (Int) Int)
(declare-fun g (Int Int) Int)
(assert (< (f x) (g x x)))
(assert (> (f y) (g x x)))
(check-sat)
(get-model)
(push)
(assert (= x y))
(check-sat)
(pop)
(exit)|
```

ask z3

Is this formula satisfiable? Click 'ask z3'!

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Microsoft
Research



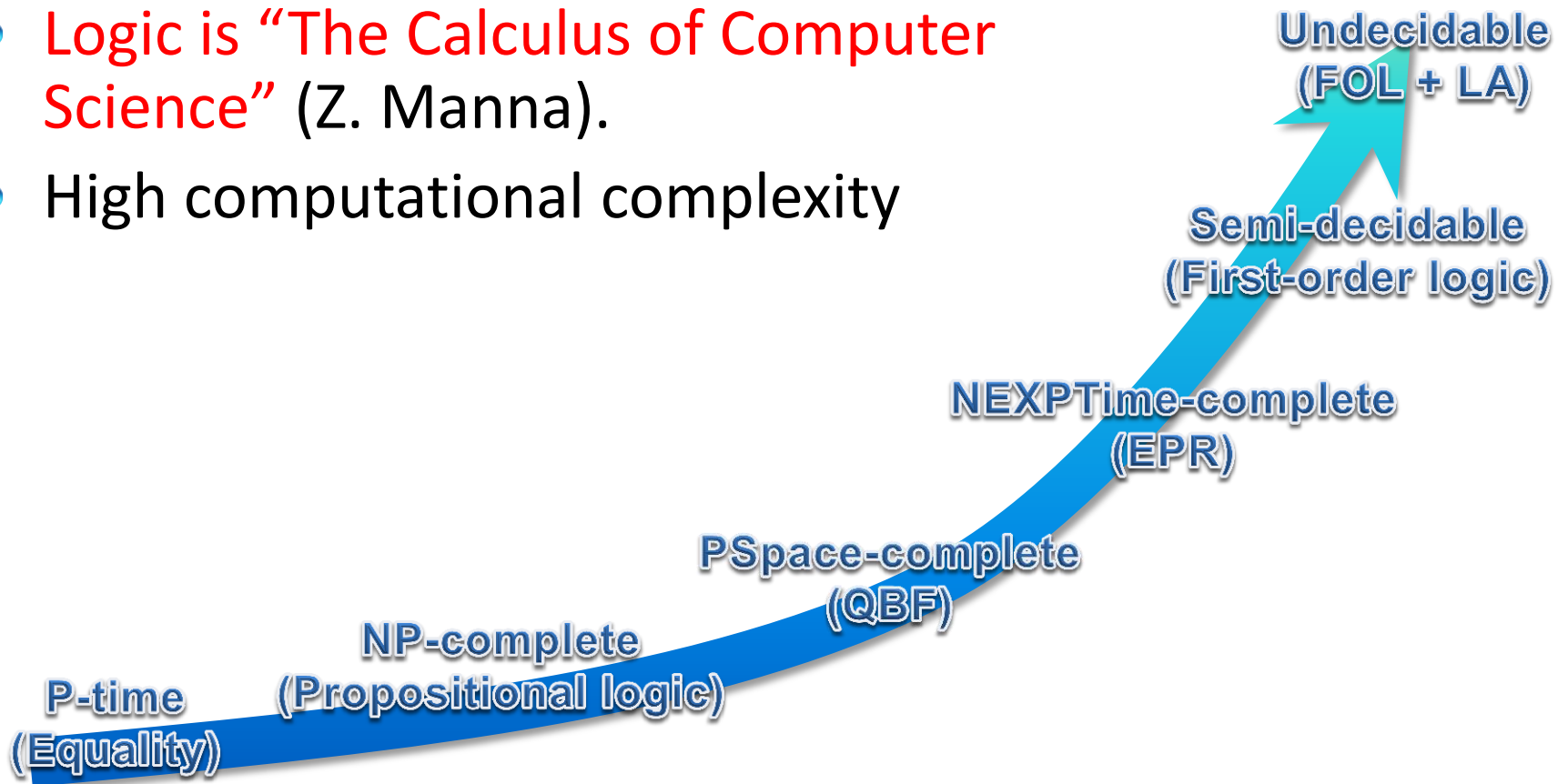
Microsoft
Research

Symbolic Reasoning

Verification/Analysis tools
need some form of
Symbolic Reasoning

Symbolic Reasoning

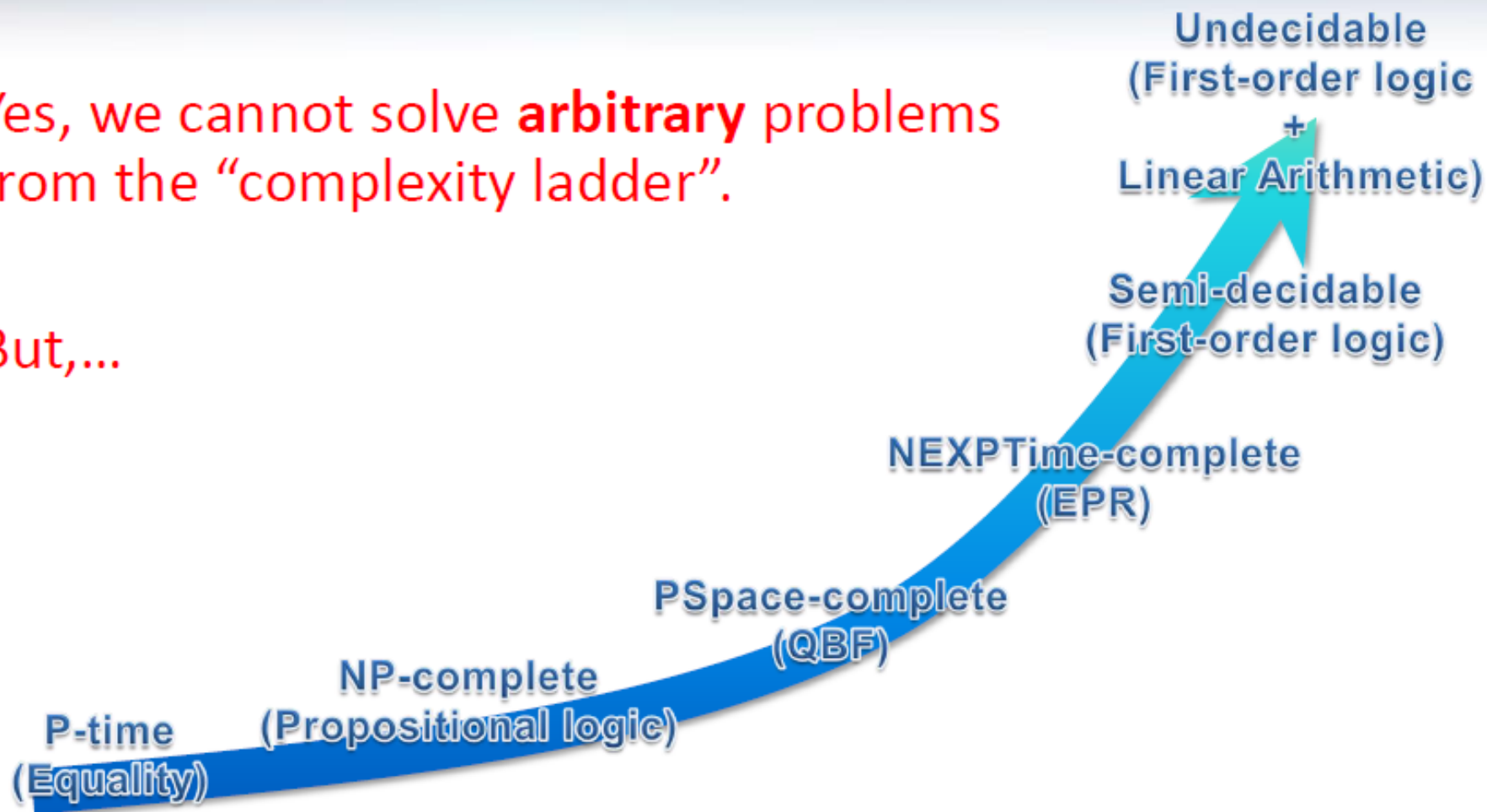
- Logic is “The Calculus of Computer Science” (Z. Manna).
- High computational complexity



Symbolic Reasoning

Yes, we cannot solve **arbitrary** problems from the “complexity ladder”.

But,...



Symbolic Reasoning

We can try to solve the
problems we find in
real applications

Main challenges

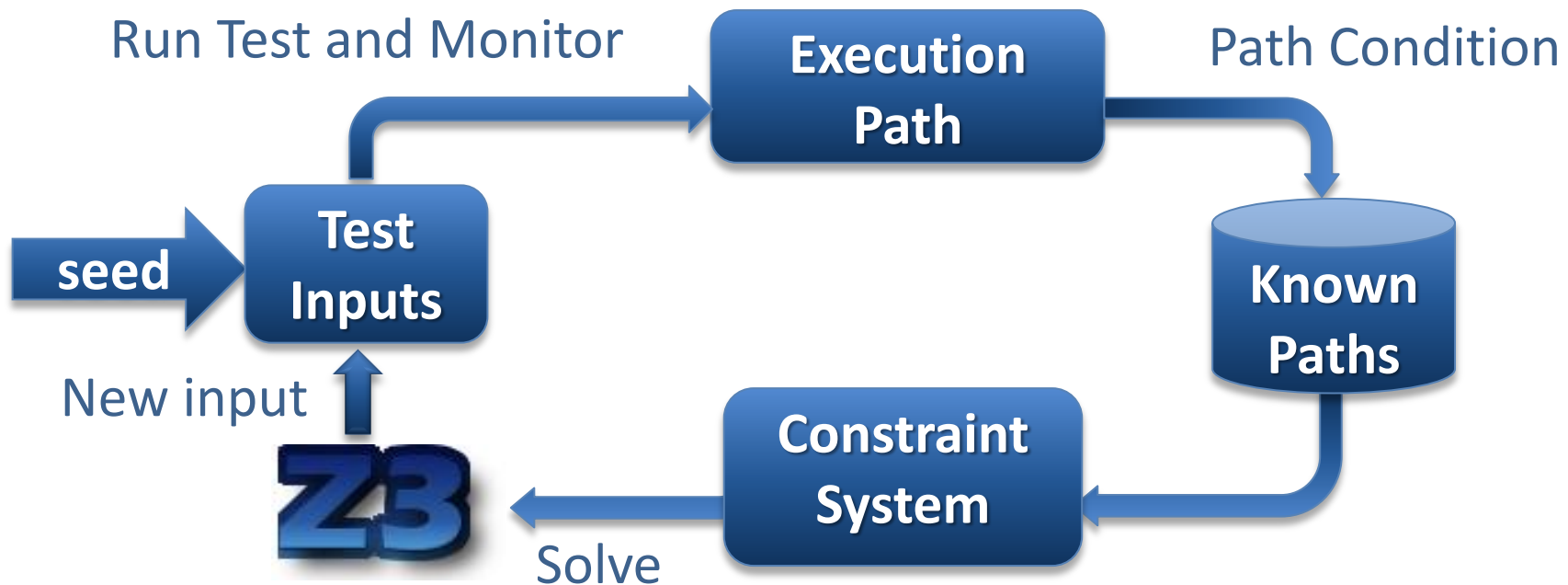
- Scalability (huge formulas)
- Complexity
- Undecidability
- Quantified formulas



SMT@MS: Applications

A Sample

Directed Automated Random Testing (DART)



Test case generation

```
unsigned GCD(x, y) {
```

```
  requires(y > 0);
```

```
  while (true) {
```

```
    unsigned m = x % y;
```

```
    if (m == 0) return y;
```

```
    x = y;
```

```
    y = m;
```

```
  }
```

```
}
```

SSA

$(y_0 > 0)$ and

$(m_0 = x_0 \% y_0)$ and

not $(m_0 = 0)$ and

$(x_1 = y_0)$ and

$(y_1 = m_0)$ and

$(m_1 = x_1 \% y_1)$ and

$(m_1 = 0)$

We want a trace where the loop is executed twice.

Z3

model

$x_0 = 2$

$y_0 = 4$

$m_0 = 2$

$x_1 = 4$

$y_1 = 2$

$m_1 = 0$

Assignment

Microsoft

Research

White box testing in practice

How to test this code?

(Real code from .NET base class libraries.)

```
[SecurityPermissionAttribute(SecurityAction.LinkDemand, Flags=SecurityPermissionFlag.SerializationFormatter)]
public ResourceReader(Stream stream)
{
    if (stream==null)
        throw new ArgumentNullException("stream");
    if (!stream.CanRead)
        throw new ArgumentException(Environment.GetResourceString("Argument_StreamNotReadable"));

    _resCache = new Dictionary<String, ResourceLocator>(FastResourceComparer.Default);
    _store = new BinaryReader(stream, Encoding.UTF8);
    // We have a faster code path for reading resource files from an assembly.
    _ums = stream as UnmanagedMemoryStream;

    BCLDebug.Log("RESMGRFILEFORMAT", "ResourceReader .ctor(Stream). UnmanagedMemoryStream: "+(_ums!=null));
    ReadResources();
}
```

White box testing in practice

```
// Reads in the header information for a .resources file. Verifies some
// of the assumptions about this resource set, and builds the class table
// for the default resource file format.
private void ReadResources() {
    BCLDebug.Assert(_store != null, "ResourceReader is closed!");
    BinaryFormatter bf = new BinaryFormatter(null, new StreamingContext(StreamingContextStates.File |
#if !FEATURE_PAL
    _typeLimitingBinder = new TypeLimitingDeserializationBinder();
    bf.Binder = _typeLimitingBinder;
#endif

    _objFormatter = bf;
    try {
        // Read ResourceManager header
        // Check for magic number
        int magicNum = _store.ReadInt32();
        if (magicNum != ResourceManager.MagicNumber)
            throw new ArgumentException(Environment.GetResourceString("Resources_StreamNotValid"));
        // Assuming this is ResourceManager header v1 or greater, hopefully,
        // after the version number there is a number of bytes to skip
        // to bypass the rest of the ResMgr header.
        int resMgrHeaderVersion = _store.ReadInt32();
        if (resMgrHeaderVersion > 1) {
            int numBytesToSkip = _store.ReadInt32();
            BCLDebug.Log("RESMGRFILEFORMAT", LogLevel.Status, "ReadResources: Unexpected ResMgr header");
            BCLDebug.Assert(numBytesToSkip >= 0, "numBytesToSkip in ResMgr header should be positive!");
            _store.BaseStream.Seek(numBytesToSkip, SeekOrigin.Current);
        } else {
            BCLDebug.Log("RESMGRFILEFORMAT", "ReadResources: Parsing ResMgr header v1.");
            SkipInt32(); // We don't care about numBytesToSkip.
            // Read in type name for a suitable ResourceReader
            // ...
        }
    } catch {
        // ...
    }
}
```

White box testing in practice

```
// Reads in the header information for a .resources file. Verifies some
// of the assumptions about this resource set, and builds the class table
// for the default resource file format.
private void ReadResources() {
    BCLDebug.Assert(_store != null, "ResourceReader is closed!");
    BinaryFormatter bf = new BinaryFormatter(null, new StreamingContext(StreamingContextStates.File |
#if !FEATURE_PAL
    _typeLimitingBinder = new TypeLimitingDeserializationBinder();
    bf.Binder = _typeLimitingBinder;
#endif

    _objFormatter = bf;
    try {
        // Read ResourceManager header
        // Check for magic number
        int magicNum = _store.ReadInt32();
        if public virtual int ReadInt32() {
            if (m_isMemoryStream) {
                // Read directly from MemoryStream buffer
                MemoryStream mStream = m_stream as MemoryStream;
                BCLDebug.Assert(mStream != null, "m_stream as MemoryStream != null");
                if
                    return mStream.InternalReadInt32();
            }
            else
            {
                FillBuffer(4);
                return (int)(m_buffer[0] | m_buffer[1] << 8 | m_buffer[2] << 16 | m_buffer[3] << 24);
            }
        }
    }
    // Read in type name for a suitable ResourceReader
    // ...
```

Pex - Test Input Generation

The image shows a screenshot of the Microsoft Visual Studio IDE with a project named 'TestProject1'. The main window displays the code for 'ResourceReaderTest1.cs*'. The code is a C# class 'ResourceReaderTests' with a 'ParameterizedTest(byte[] a)' method. The method body includes a 'PexAssume.IsNotNull(a);' check, a 'fixed (byte* p = a)' statement, and a 'using (stream = new UnmanagedMemoryStream(p, a.Length))' block. Inside the using block, a 'ResourceReader' is instantiated and 'readEntries' is called. A red circle highlights the 'byte[] a' parameter in the method signature. A red arrow points from this circle to a callout box on the right. The callout box, titled 'Test input, generated by Pex', shows a generated test input: 'byte[] a = new byte[14];' followed by an array of values: 'a[0] = 206;', 'a[1] = 202;', 'a[2] = 239;', 'a[3] = 190;', 'a[7] = 64;', and 'a[11] = 100;'. A red circle highlights the 'ParameterizedTest(a);' line in the callout box. A blue arrow points from the 'ParameterizedTest(a);' line back to the 'ParameterizedTest' method signature in the code. A context menu is open over the code, showing options like 'Pex it Ctrl + F8', 'Pex', 'Refactor', 'Insert Snippet...', 'Surround With...', 'Go To Definition', 'Find All References', 'Breakpoint', 'Run To Cursor', 'Cut', 'Copy', 'Paste', and 'Outlining'.

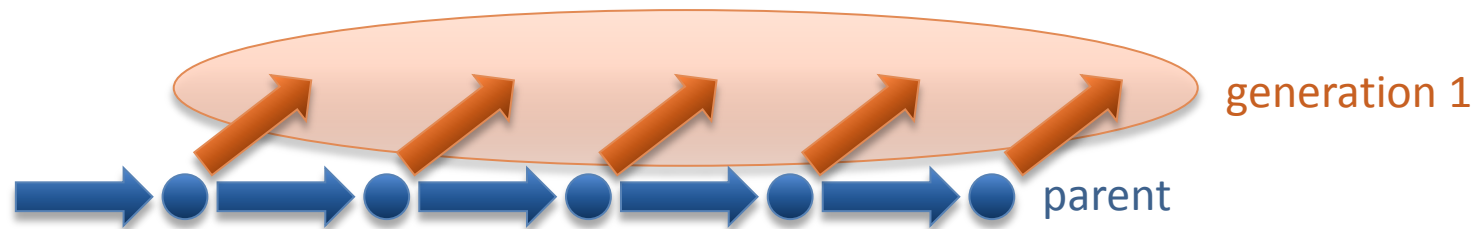
```
public class ResourceReaderTests
{
    [PexTest]
    public unsafe void ParameterizedTest(byte[] a)
    {
        PexAssume.IsNotNull(a);
        fixed (byte* p = a)
        using (stream = new UnmanagedMemoryStream(p, a.Length))
        {
            var reader = new ResourceReader(stream);
            readEntries(reader);
        }
    }
}
```

Test input, generated by Pex

```
byte[] a = new byte[14];
a[0] = 206;
a[1] = 202;
a[2] = 239;
a[3] = 190;
a[7] = 64;
a[11] = 100;
ParameterizedTest(a);
```

SAGE

- Apply DART to large applications (not units).
- Start with well-formed input (not random).
- Combine with generational search (not DFS).
 - Negate 1-by-1 each constraint in a path constraint.
 - Generate many children for each parent run.



Zero to Crash in 10 Generations

- Starting with 100 zero bytes ...
- SAGE generates a crashing test for Media1 parser

```
00000000h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....  
00000010h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....  
00000020h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....  
00000030h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....  
00000040h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....  
00000050h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....  
00000060h: 00 00 00 00 ; ....
```

Generation 0 – seed file

Zero to Crash in 10 Generations

- Starting with 100 zero bytes ...
- SAGE generates a crashing test for Media1 parser

```
00000000h: 52 49 46 46 00 00 00 00 00 00 00 00 00 00 00 00 ; RIFE.....
00000010h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000020h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000030h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000040h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000050h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000060h: 00 00 00 00 ; ....
```

Generation 1

Zero to Crash in 10 Generations

- Starting with 100 zero bytes ...
- SAGE generates a crashing test for Media1 parser

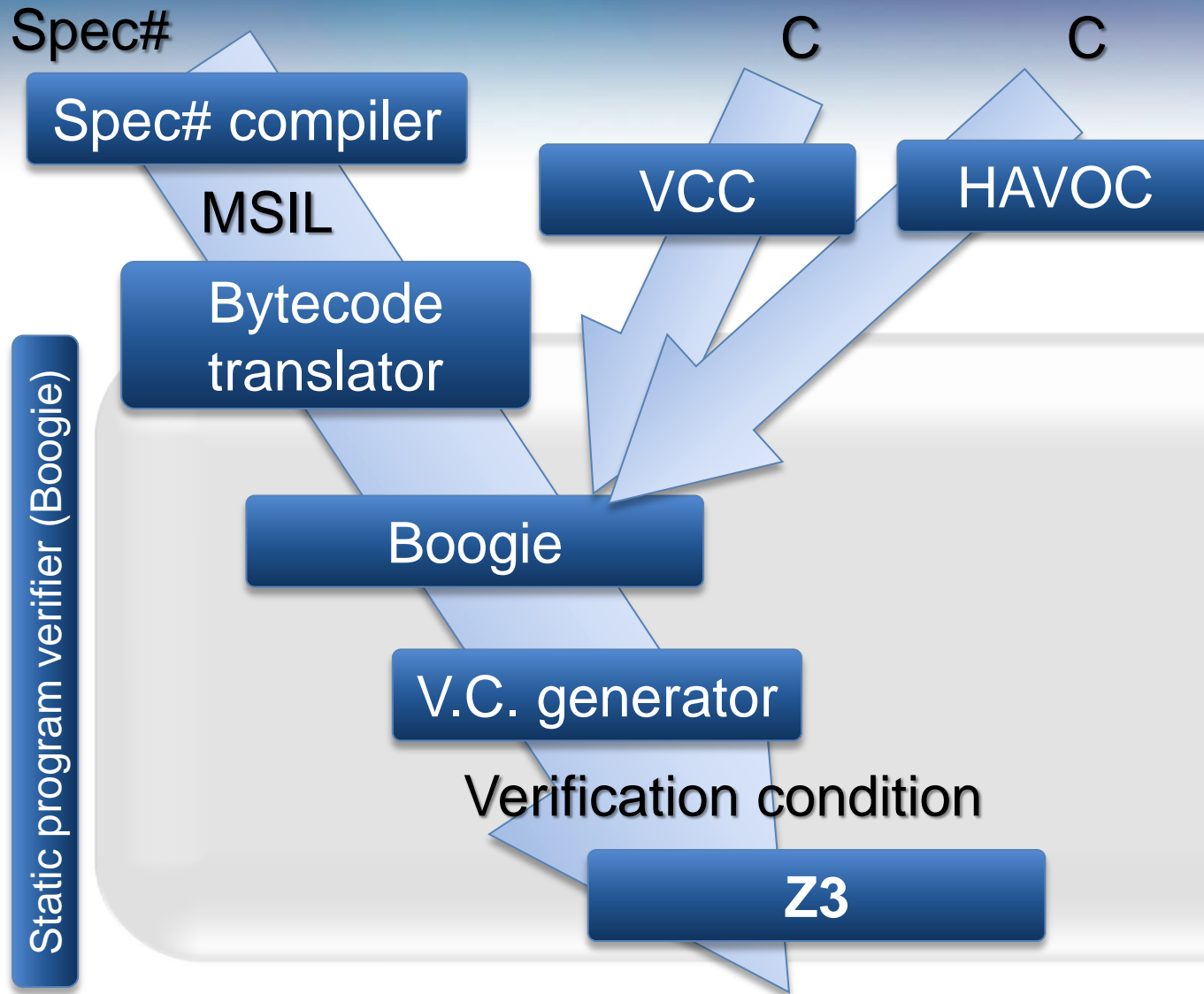
```
00000000h: 52 49 46 46 3D 00 00 00 00 ** ** ** 20 00 00 00 00 ; RIFF=...*** ....
00000010h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000020h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000030h: 00 00 00 00 73 74 72 68 00 00 00 00 76 69 64 73 ; ....strh....vids
00000040h: 00 00 00 00 73 74 72 66 B2 75 76 3A 28 00 00 00 ; ....stri2uv:(...
00000050h: 00 00 00 00 00 00 00 00 00 00 00 00 00 01 00 00 ; .....
00000060h: 00 00 00 00 ; ....
```

Generation 10 – CRASH

SAGE \leftrightarrow Z3

- Formulas are usually big conjunctions.
- SAGE uses only the bitvector and array theories.
- Pre-processing step has a huge performance impact.
 - Eliminate variables.
 - Simplify formulas.
- Early unsat detection.

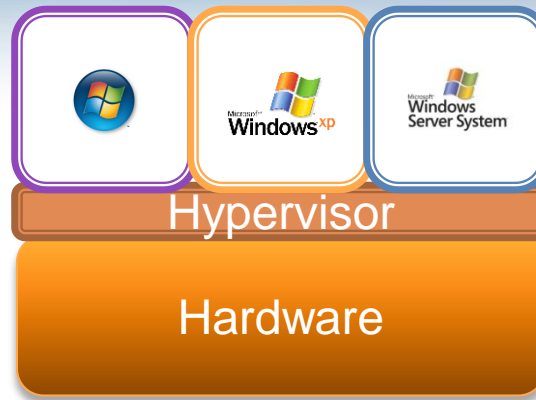
Verification architecture



A Verifying C Compiler

- VCC translates an *annotated C program* into a *Boogie PL* program.
- A C-ish memory model
 - Abstract heaps
 - Bit-level precision
- Microsoft Hypervisor: verification grand challenge.

Hypervisor: A Manhattan Project



- **Meta OS:** small layer of software between hardware and OS
- **Mini:** 60K lines of non-trivial concurrent systems C code
- **Critical:** must provide functional resource abstraction
- **Trusted:** a verification grand challenge

Hypervisor: Some Statistics

- VCs have several Mb
- Thousands of non ground clauses
- Developers are willing to wait at most 5 min per VC

Other Microsoft clients

- Model programs (M. Veanes – MSRR)
- Termination (B. Cook – MSRC)
- Security protocols (A. Gordon and C. Fournet - MSRC)
- Business Application Modeling (E. Jackson - MSRR)
- Cryptography (R. Venki – MSRR)
- Verifying Garbage Collectors (C. Hawblitzel – MSRR)
- Model Based Testing (L. Bruck – SQL)
- Semantic type checking for D models (G. Bierman – MSRC)
- **More coming soon...**

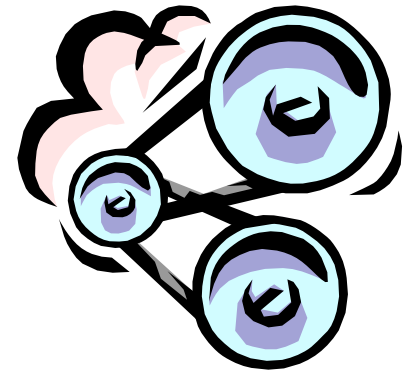
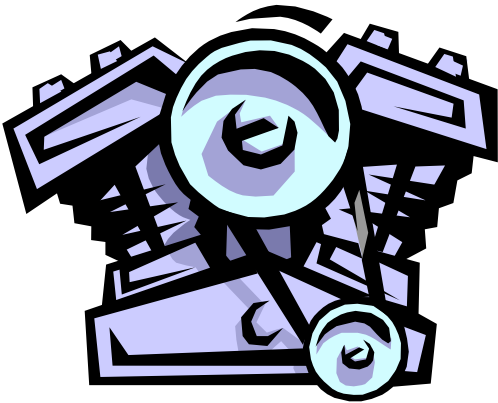
<http://rise4fun.com>

Pex, Spec#, VCC and many other tools are available **online**.

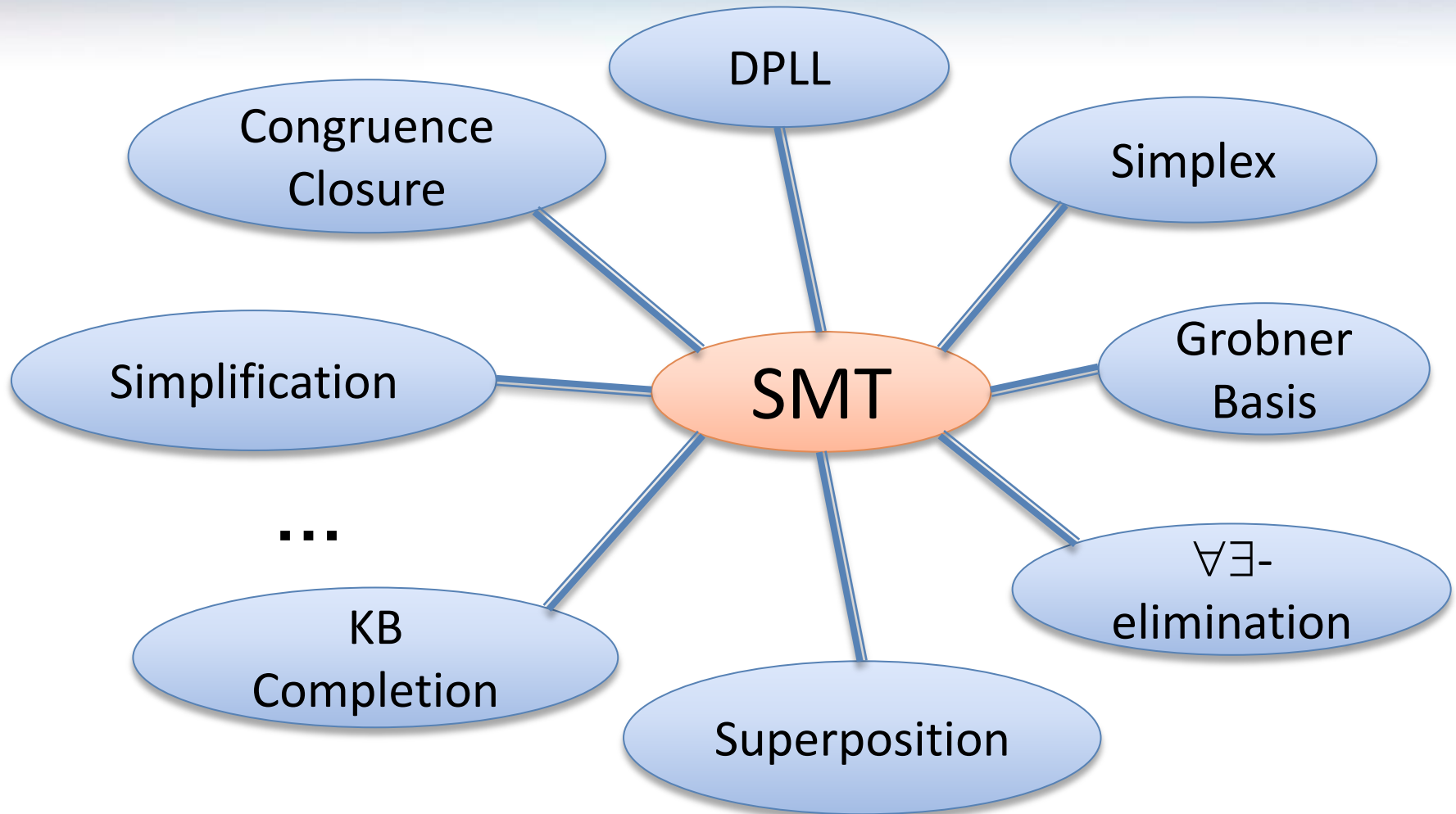
Orchestrating Decision Engines

Combining Engines

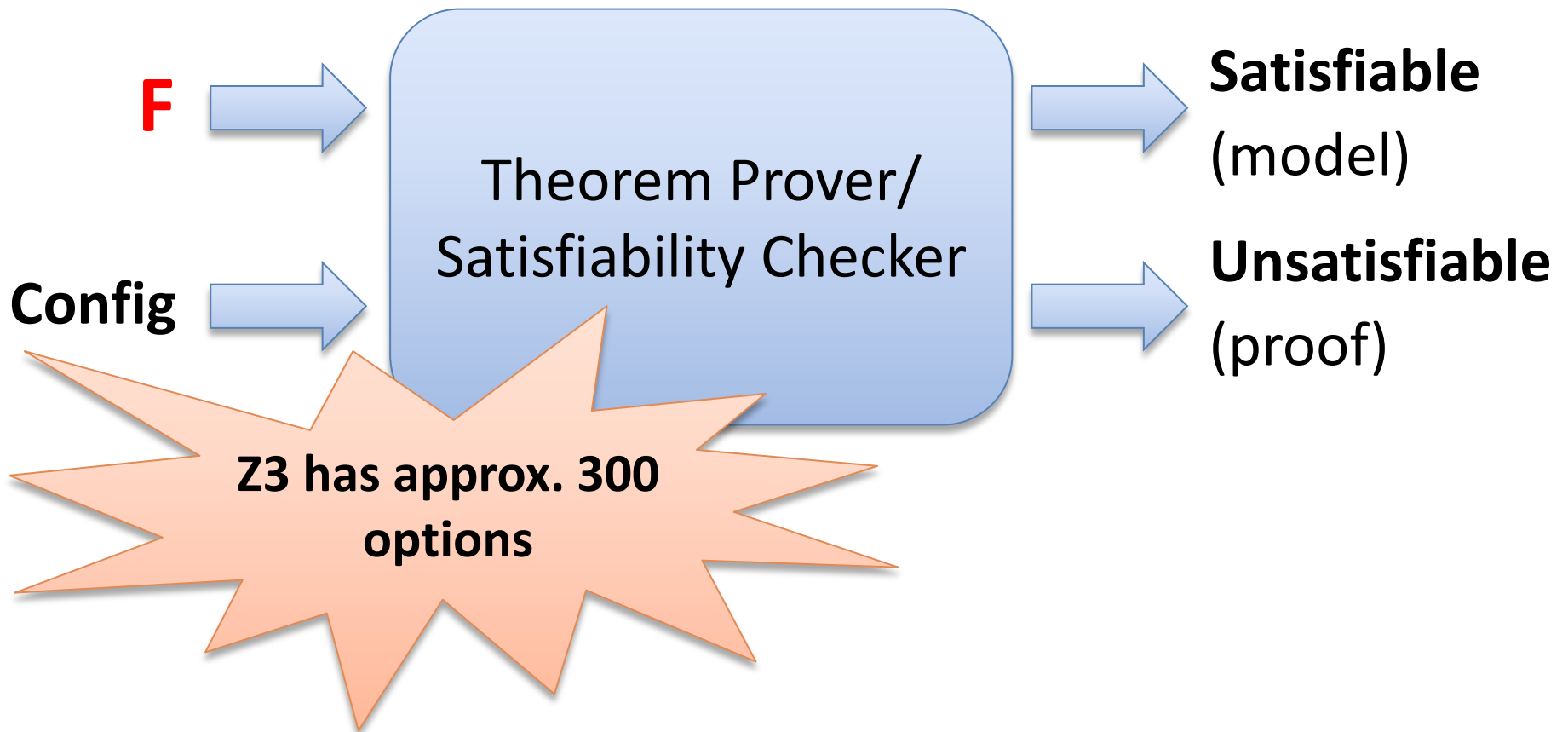
Current SMT solvers provide
a combination
of different engines



Combining Engines



Configuring SAT/SMT Solvers: “state-of-the-art”



Opening the “Black Box”

Actual feedback provided by Z3 users:

“Could you send me your CNF converter?”

“I want to implement my own search strategy.”

“I want to include these rewriting rules in Z3.”

“I want to apply a substitution to term t .”

“I want to compute the set of implied equalities.”

The Strategy Challenge

To build theoretical and practical tools
allowing users to exert strategic control
over core heuristic aspects of high
performance SMT solvers.

What is a strategy?

Theorem proving as an exercise of combinatorial search

Strategies are **adaptations** of general search mechanisms which **reduce** the **search space** by tailoring its exploration to a **particular class** of formulas.

The Need for “Strategies”

Different Strategies for Different Domains.

The Need for “Strategies”

Different Strategies for Different Domains.

From timeout to 0.05 secs...

Example in Quantified Bit-Vector Logic (QBFV)

Join work with C. Wintersteiger and Y. Hamadi
FMCAD 2010

QBFV = Quantifiers + Bit-vectors + uninterpreted functions

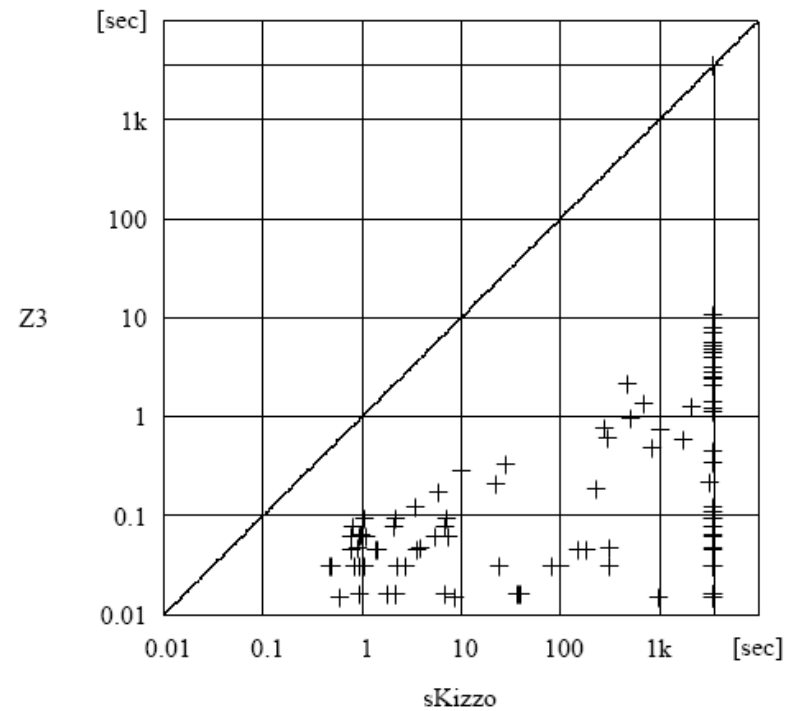
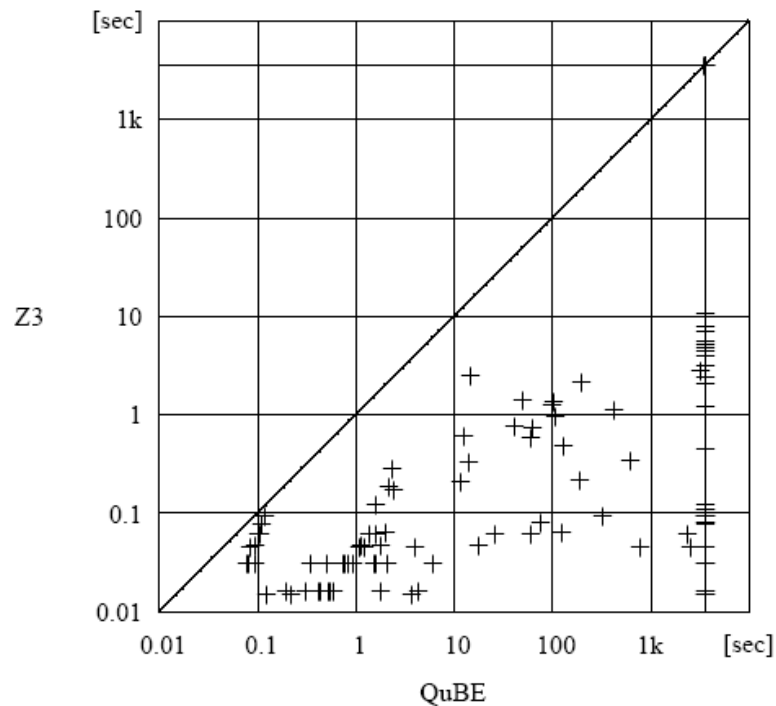
Hardware Fixpoint Checks.

Given: $I[x]$ and $T[x, x']$

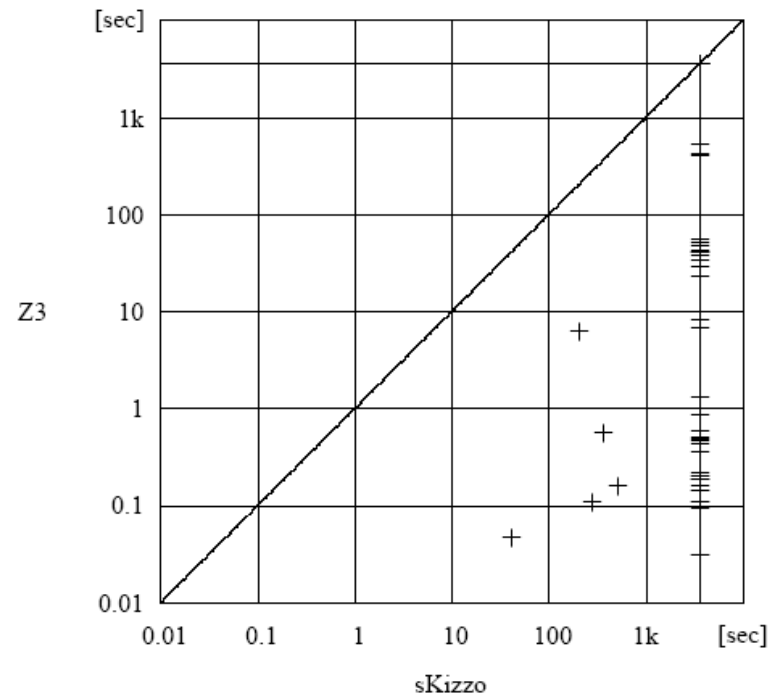
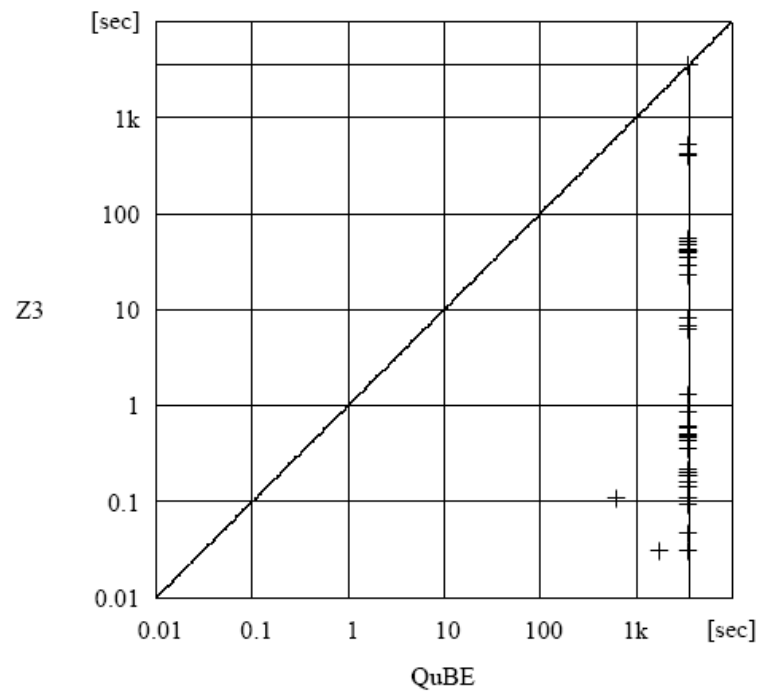
$$\forall x, x' . I[x] \wedge T^k[x, x'] \rightarrow \exists y, y' . I[y] \wedge T^{k-1}[y, y']$$

Ranking function synthesis.

Hardware Fixpoint Checks



Ranking Function Synthesis



Why is Z3 so fast in these benchmarks?

Z3 is using different engines:

rewriting, simplification, model checking, SAT, ...

Z3 is using a customized **strategy**.

We could do it because
we have access to the source code.

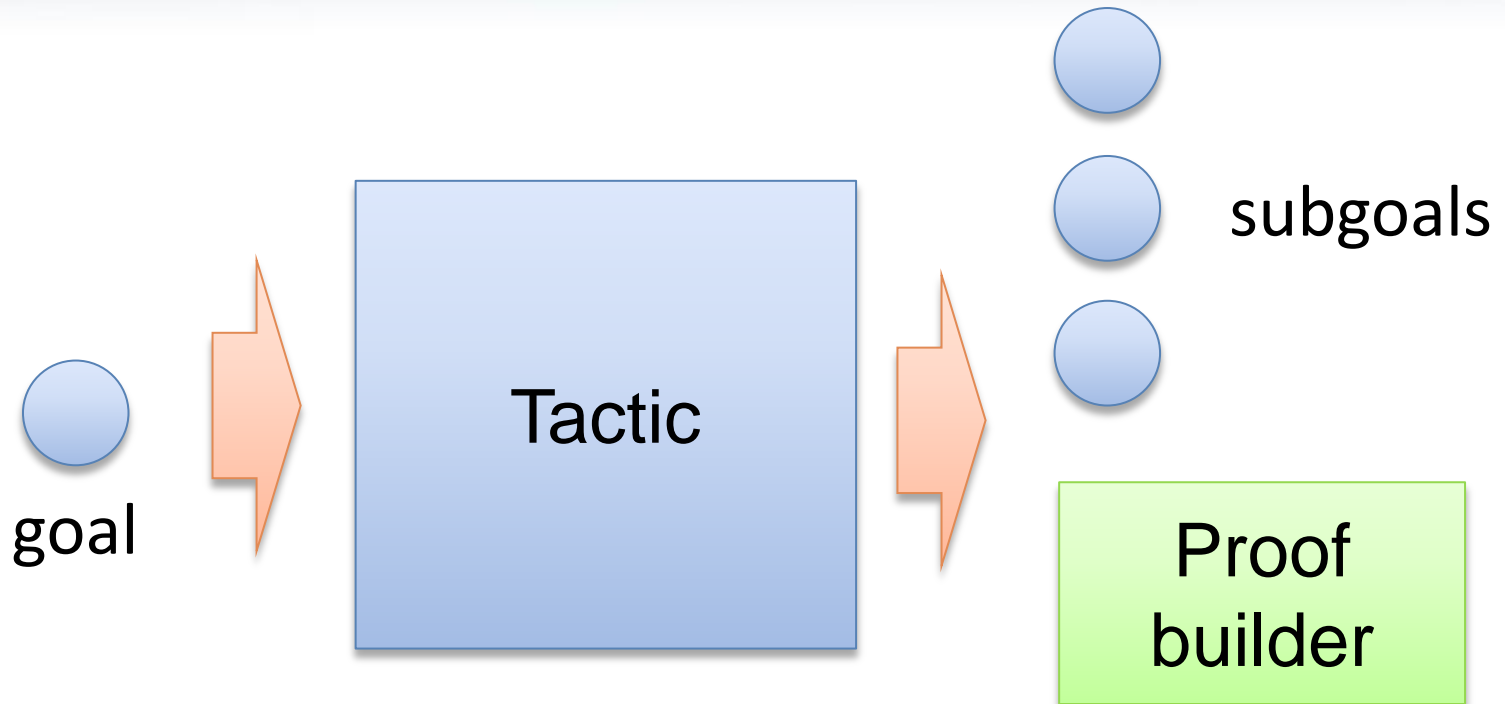
The "Message"

SMT solvers are collections of little engines.

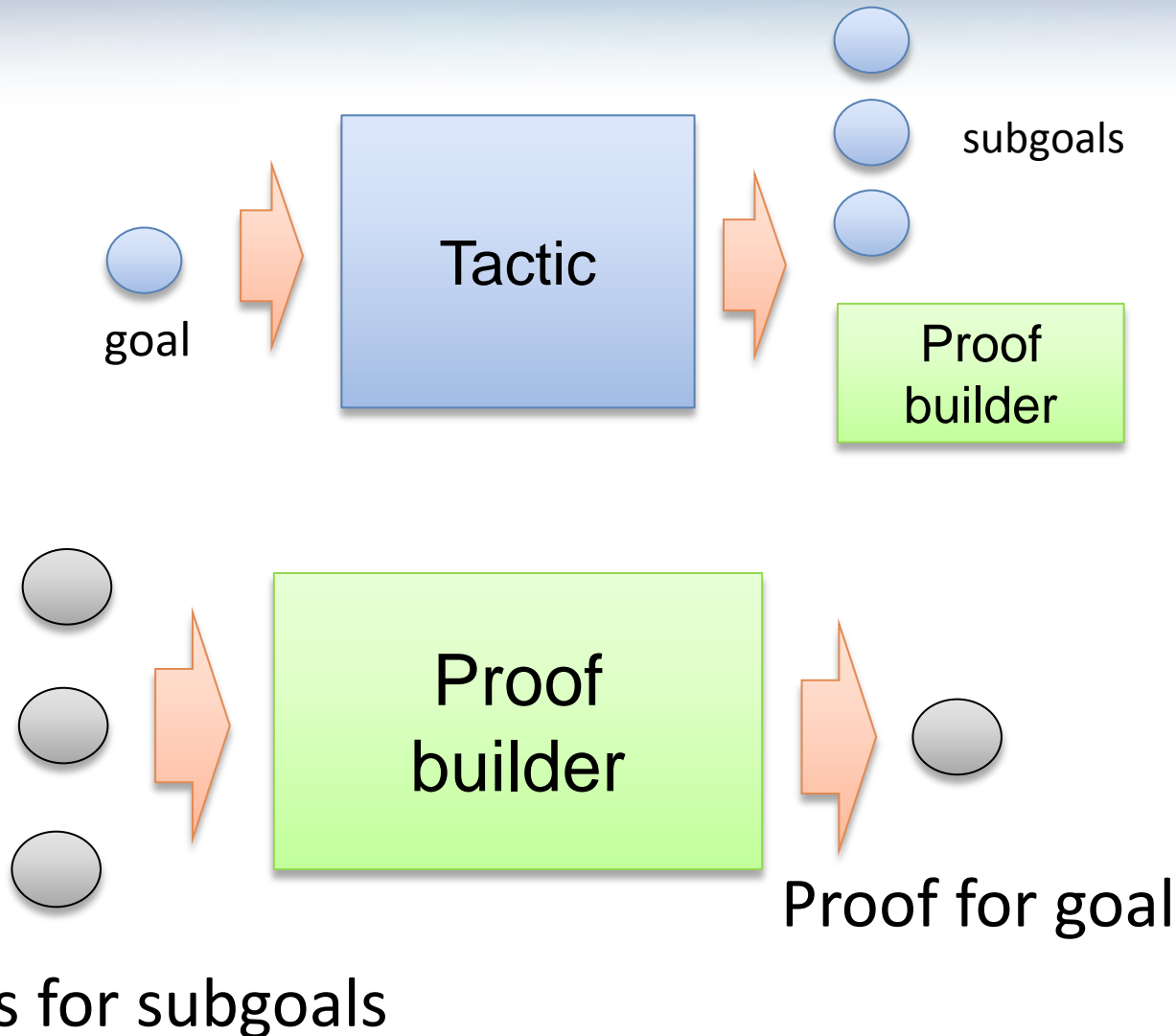
They should provide access to these engines.

Users should be able to define their own strategies.

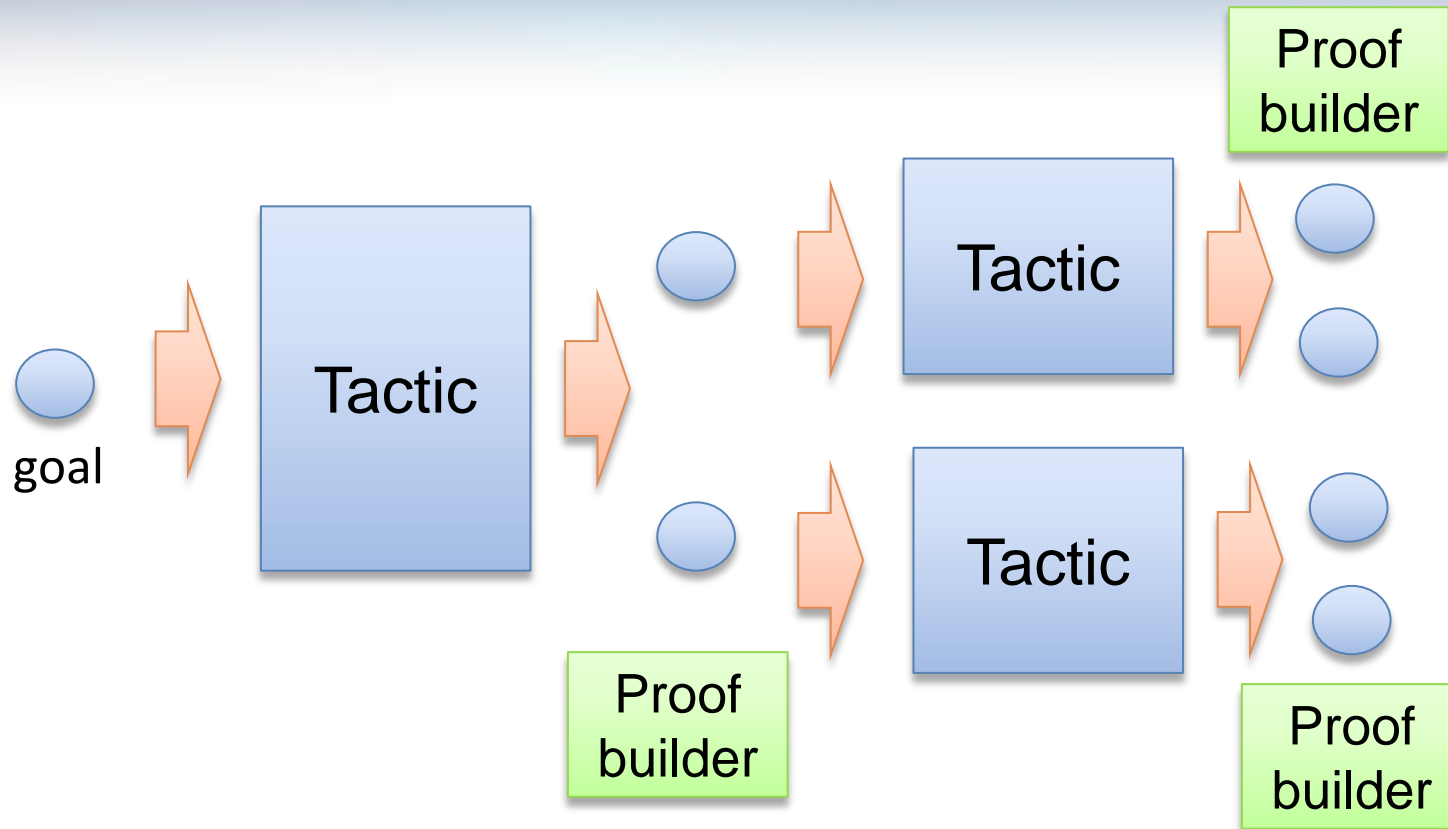
Main inspiration: LCF-approach



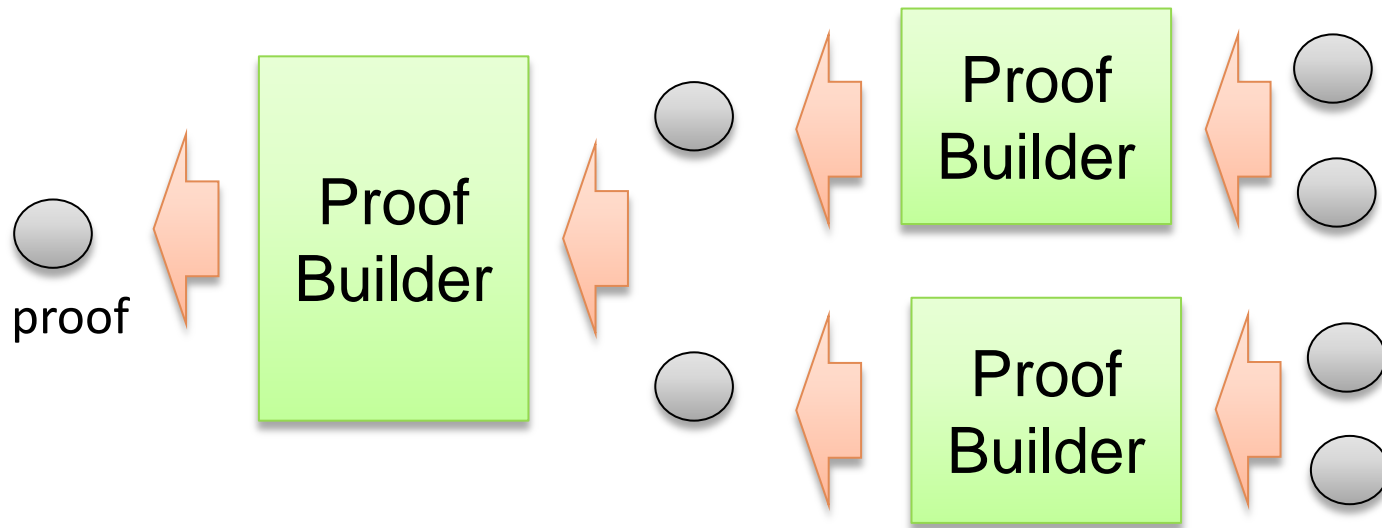
Main inspiration: LCF-approach



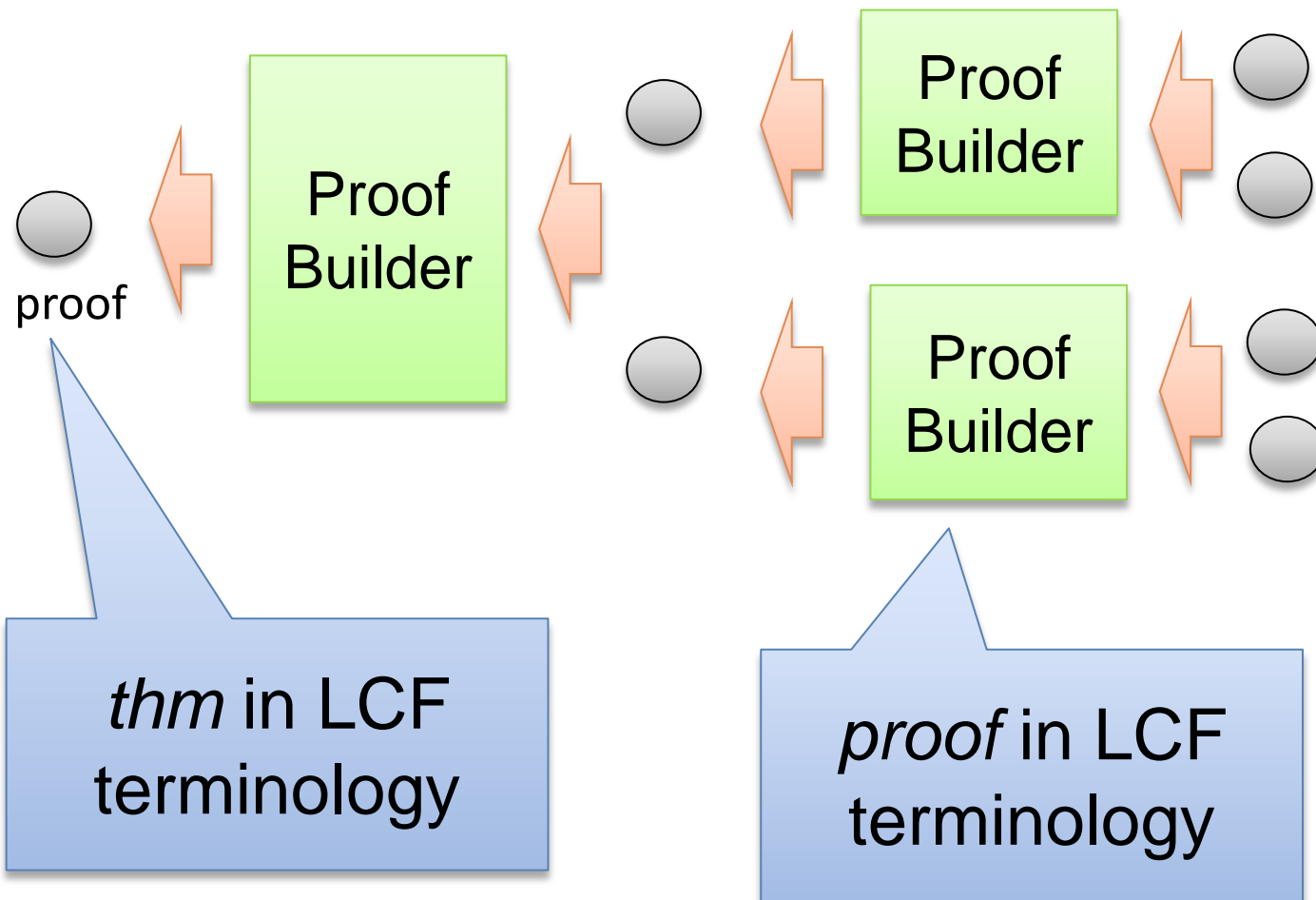
Main inspiration: LCF-approach



Main inspiration: LCF-approach






Main inspiration: LCF-approach



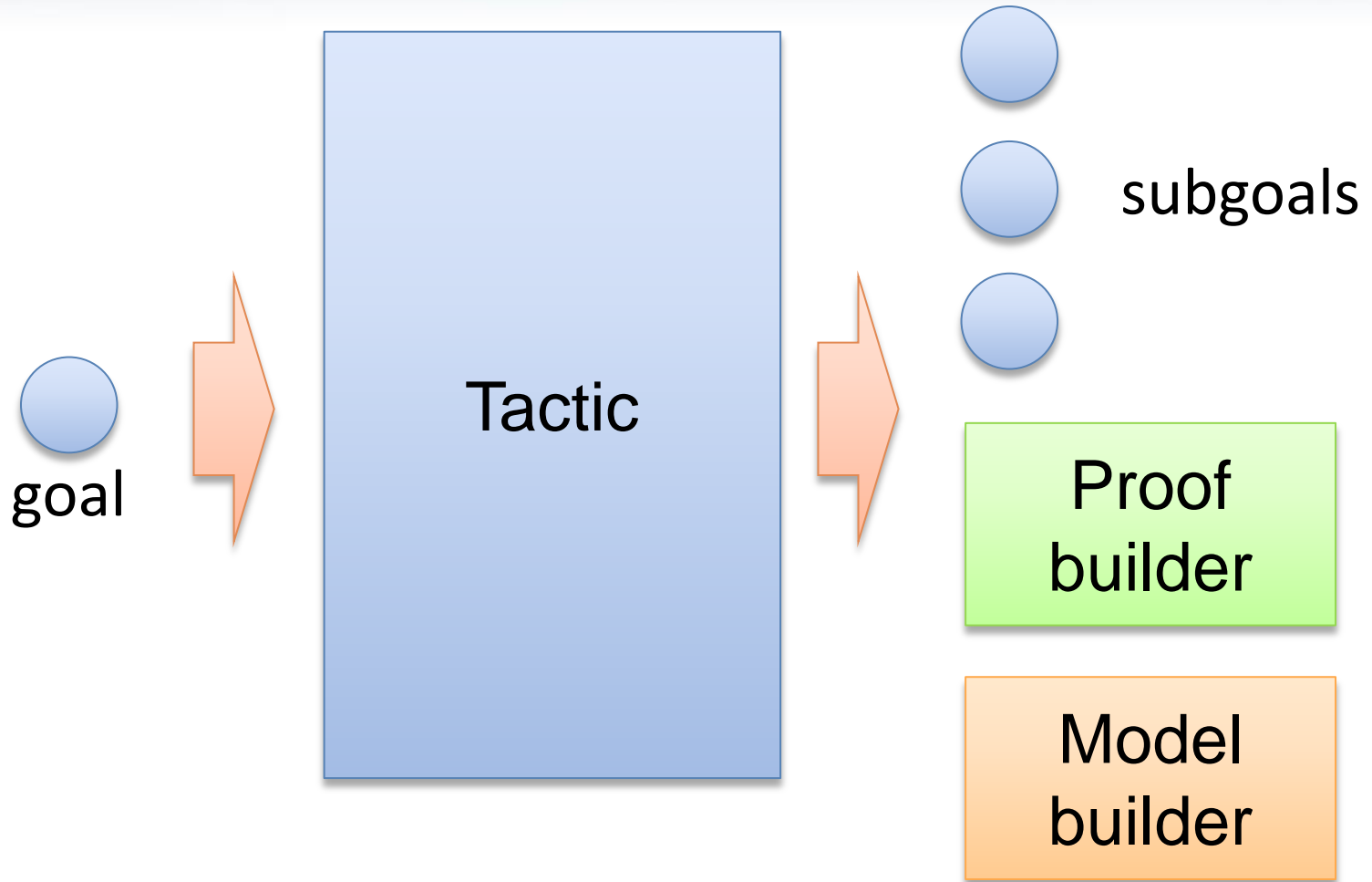
Tacticals aka Combinators

then( , ) = 

orelse( , ) = 

repeat() = 

SMT Tactic



SMT Tactic

goal = *formula sequence* \times *attribute sequence*

proofconv = *proof sequence* \rightarrow *proof*

modelconv = *model* \times *nat* \rightarrow *model*

trt = **sat** *model*

| **unsat** *proof*

| **unknown** *goal sequence* \times *modelconv* \times *proofconv*

| **fail**

tactic = *goal* \rightarrow *trt*

SMT Tactic

goal = *formula sequence* \times *attribute sequence*

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modelconv = *model* \times *nat* \rightarrow *model*

trt = **sat** *model*

| **unsat** *proof*

| **unknown** *goal sequence* \times *modelconv* \times *proofconv*

| **fail**

tactic = *goal* \rightarrow *trt*

end-game tactics:

never return unknown(sb, mc, pc)

SMT Tactic

goal = *formula sequence* \times *attribute sequence*

proofconv = *proof sequence* \rightarrow *proof*

modelconv = *model* \times *nat* \rightarrow *model*

trt = **sat** *model*

| **unsat** *proof*

| **unknown** *goal sequence* \times *modelconv* \times *proofconv*

| **fail**

tactic = *goal* \rightarrow *trt*

non-branching tactics:

sb is a singleton in
`unknown(sb, mc, pc)`

Trivial goals

Empty goal [] is trivially satisfiable

False goal [..., false, ...] is trivially unsatisfiable

basic : tactic

SMT Tactic example

$[a = b + 1, (a < 0 \vee a > 0), b > 3]$



Tactic:
elim-vars



Proof
builder

$[(b + 1 < 0 \vee b + 1 > 0), b > 3]$

Model
builder

SMT Tactic example

$[a = b + 1, (a < 0 \vee a > 0), b > 3]$



Tactic:
elim-vars



$[(b + 1 < 0 \vee b + 1 > 0), b > 3]$

Proof
builder

$M, M(a) = M(b) + 1$



Model
builder



M

SMT Tactic example

$[a = b + 1, (a < 0 \vee a > 0), b > 3]$



Tactic:
split-or



Proof
builder

$[a = b + 1, a < 0, b > 3]$

$[a = b + 1, a > 0, b > 3]$

Model
builder

SMT Tactics

simplify

nnf

cnf

tseitin

lift-if

bitblast

gb

vts

propagate-bounds

propagate-values

split-ineqs

split-eqs

rewrite

p-cad

sat

solve-eqs

SMT Tacticals

$\text{then} : (\text{tactic} \times \text{tactic}) \rightarrow \text{tactic}$

$\text{then}(t_1, t_2)$ applies t_1 to the given goal and t_2 to every subgoal produced by t_1 .

$\text{then}^* : (\text{tactic} \times \text{tactic sequence}) \rightarrow \text{tactic}$

$\text{then}^*(t_1, [t_{2_1}, \dots, t_{2_n}])$ applies t_1 to the given goal, producing subgoals g_1, \dots, g_m .

If $n \neq m$, the tactic fails. Otherwise, it applies t_{2_i} to every goal g_i .

$\text{orelse} : (\text{tactic} \times \text{tactic}) \rightarrow \text{tactic}$

$\text{orelse}(t_1, t_2)$ first applies t_1 to the given goal, if it fails then returns the result of t_2 applied to the given goal.

$\text{par} : (\text{tactic} \times \text{tactic}) \rightarrow \text{tactic}$

$\text{par}(t_1, t_2)$ executes t_1 and t_2 in parallel.

SMT Tacticals

$$\text{then}(\text{skip}, t) = \text{then}(t, \text{skip}) = t$$

$$\text{orelse}(\text{fail}, t) = \text{orelse}(t, \text{fail}) = t$$

SMT Tacticals

$\text{repeat} : \text{tactic} \rightarrow \text{tactic}$

Keep applying the given tactic until no subgoal is modified by it.

$\text{repeatupto} : \text{tactic} \times \text{nat} \rightarrow \text{tactic}$

Keep applying the given tactic until no subgoal is modified by it, or the maximum number of iterations is reached.

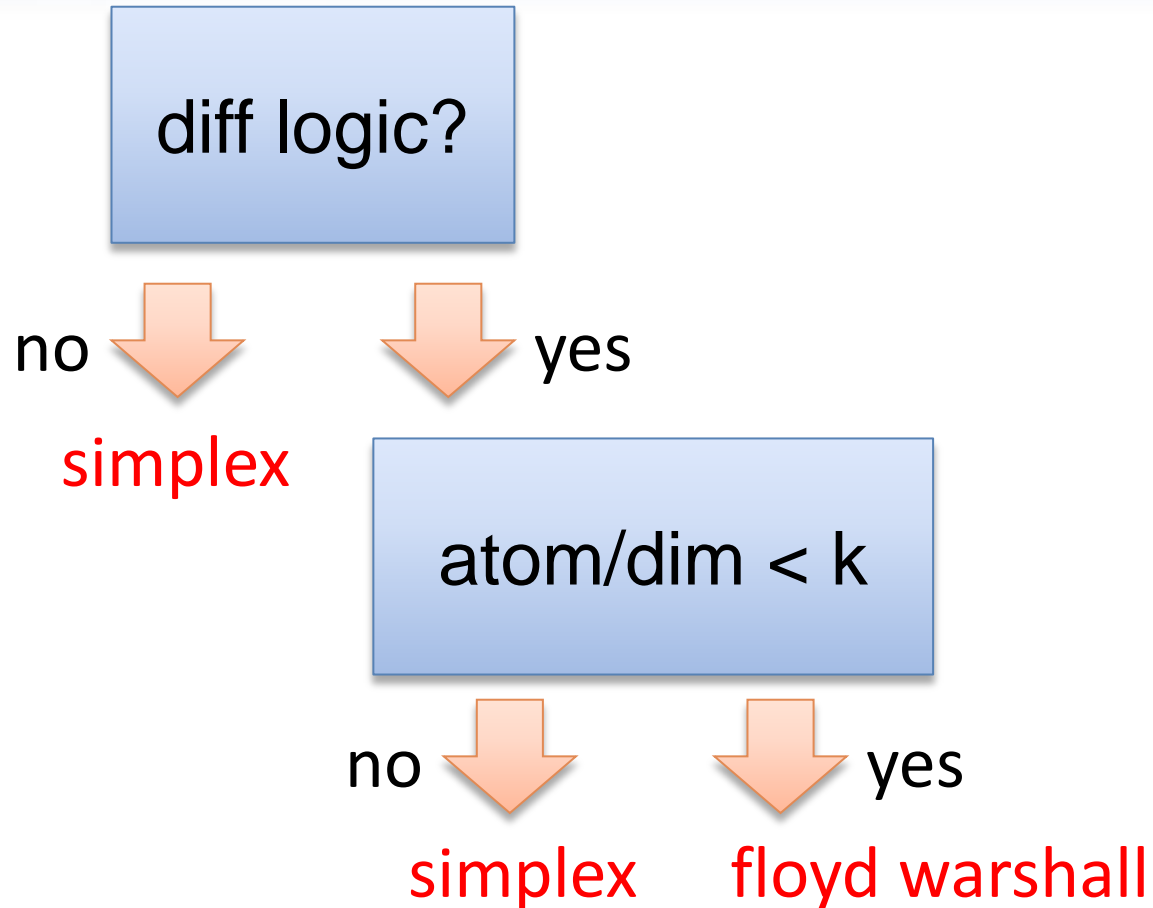
$\text{tryfor} : \text{tactic} \times \text{seconds} \rightarrow \text{tactic}$

$\text{tryfor}(t, k)$ returns the value computed by tactic t applied to the given goal if this value is computed within k seconds, otherwise it fails.

Feature / Measures

Probing structural features of formulas.

Feature / Measures: Yices Strategy



Feature / Measures: Yices Strategy

orelse(then(failif($\text{diff} \wedge \frac{\text{atom}}{\text{dim}} > k$), simplex), floydwarshall)

Fail if condition is not satisfied.
Otherwise, do nothing.

Feature / Measures: Examples

bw: Sum total bit-width of all rational coefficients of polynomials in case.

diff: True if the formula is in the difference logic fragment.

linear: True if all polynomials are linear.

dim: Number of arithmetic constants.

atoms: Number of atoms.

degree: Maximal total multivariate degree of polynomials.

size: Total formula size.

Tacticals: syntax sugar

$\text{if}(c, t_1, t_2) = \text{orelse}(\text{then}(\text{failif}(\neg c), t_1), t_2)$
 $\text{when}(c, t) = \text{if}(c, t, \text{skip})$

Under/Over-Approximations

Under-approximation

unsat answers cannot be trusted

Over-approximation

sat answers cannot be trusted

Under/Over-Approximations

Under-approximation
model finders

Over-approximation
proof finders

Under/Over-Approximations

Under-approximation

$$S \rightarrow S \cup S'$$

Over-approximation

$$S \rightarrow S \setminus S'$$

Under/Over-Approximations

Under-approximation

Example: QF_NIA model finders
add bounds to unbounded variables (and blast)

Over-approximation

Example: Boolean abstraction

Under/Over-Approximations

Combining under and over is bad!
sat and unsat answers cannot be trusted.

Tracking: under/over-approximations

In principle, proof and model converters can check if the resultant models and proofs are valid.

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Problem: if it fails what do we do?

Tracking: under/over-approximations

In principle, proof and model converters can check if the resultant models and proofs are valid.

Problem: if it fails what do we do?

We want to write tactics that can check whether a goal is the result of an abstraction or not.

Tracking: under/over-approximations

Solution

Associate an **precision attribute** to each goal.

Goal Attributes

Store extra logical information

Examples:

precision markers

goal depth

polynomial factorizations

SMT \rightarrow SAT Abstraction/Refinement

Basic Idea

$$x \geq 0, y = x + 1, (y > 2 \vee y < 1)$$



Abstract (aka “naming” atoms)

$$p_1, p_2, (p_3 \vee p_4) \quad \begin{array}{l} p_1 \equiv (x \geq 0), p_2 \equiv (y = x + 1), \\ p_3 \equiv (y > 2), p_4 \equiv (y < 1) \end{array}$$

SMT \rightarrow SAT Abstraction/Refinement

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SAT
Solver

SMT \rightarrow SAT Abstraction/Refinement

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SAT
Solver



Assignment

$p_1, p_2, \neg p_3, p_4$

SMT \rightarrow SAT Abstraction/Refinement

Basic Idea

$$x \geq 0, y = x + 1, (y > 2 \vee y < 1)$$



Abstract (aka “naming” atoms)

$$p_1, p_2, (p_3 \vee p_4)$$



SAT
Solver



Assignment

$$p_1, p_2, \neg p_3, p_4$$



$$x \geq 0, y = x + 1, \\ \neg(y > 2), y < 1$$

$$p_1 \equiv (x \geq 0), p_2 \equiv (y = x + 1), \\ p_3 \equiv (y > 2), p_4 \equiv (y < 1)$$



SMT \rightarrow SAT Abstraction/Refinement

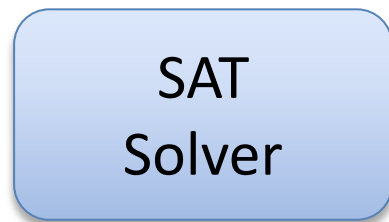
Basic Idea

$$x \geq 0, y = x + 1, (y > 2 \vee y < 1)$$



Abstract (aka “naming” atoms)

$$p_1, p_2, (p_3 \vee p_4)$$



Assignment



$$p_1, p_2, \neg p_3, p_4$$

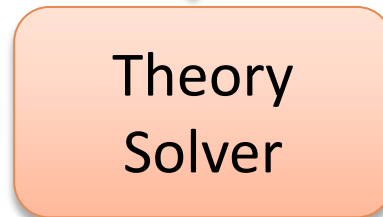


$$x \geq 0, y = x + 1, \\ \neg(y > 2), y < 1$$



Unsatisfiable

$$x \geq 0, y = x + 1, y < 1$$



SMT \rightarrow SAT Abstraction/Refinement

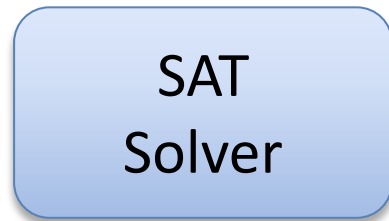
Basic Idea

$$x \geq 0, y = x + 1, (y > 2 \vee y < 1)$$



Abstract (aka “naming” atoms)

$$p_1, p_2, (p_3 \vee p_4)$$



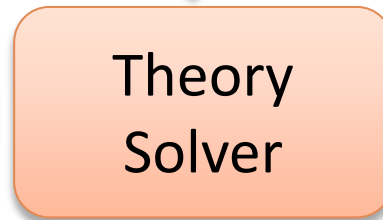
Assignment



$$p_1, p_2, \neg p_3, p_4$$



$$x \geq 0, y = x + 1, \\ \neg(y > 2), y < 1$$



Unsatisfiable



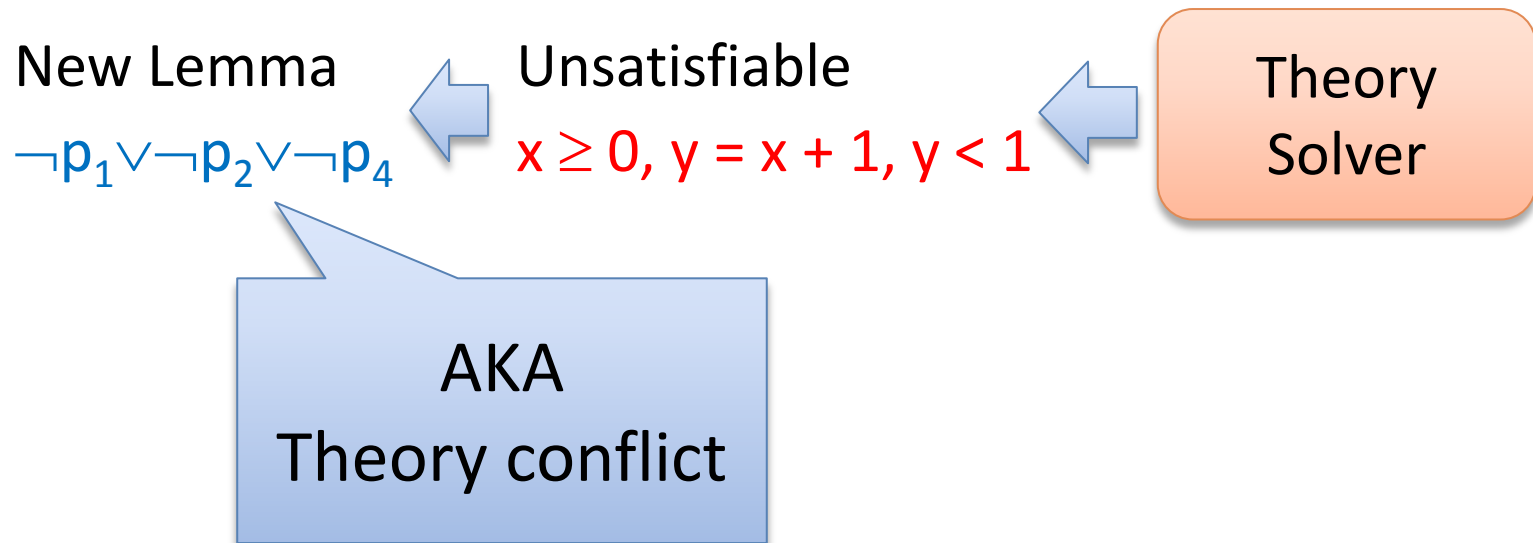
$$x \geq 0, y = x + 1, y < 1$$



New Lemma

$$\neg p_1 \vee \neg p_2 \vee \neg p_4$$

SMT \rightarrow SAT Abstraction/Refinement



Decision Engines as Tacticals

```
then(preprocess, smt(finalcheck))
```

Apply “cheap” propagation/pruning steps;
and then apply complete “expensive” procedure

Decision Engines as Tacticals

AP-CAD (tactic) = tactic

Strategy: Example

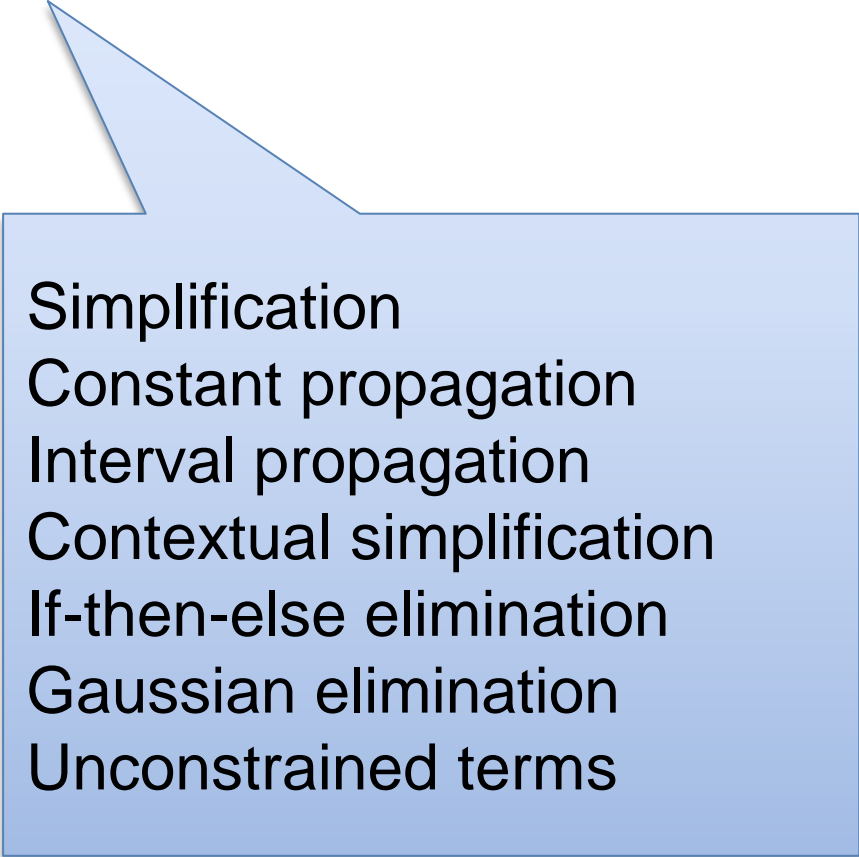
```
then(then(simplify, gaussian), orelse(modelfinder, smt(apcad(icp))))
```

RAHD Calculemus Strategy

	dim	deg	div	calc-0	calc-1	calc-2	qepcad-b	redlog/rlqe	redlog/rlcad
P0	5	4	N	.91	1.59	1.7	416.45*	40.4	-
P1	6	4	N	1.69	3.08	3.42	.*	-	-
P2	5	4	N	1.34	2.41	2.62	.*	-	-
P3	5	4	N	1.52	2.56	2.75	.*	-	-
P4	5	4	N	1.14	2.02	2.16	.*	-	-
P5	14	2	N	.25	.26	.27	.*	97.4	-
P6	11	5	N	147.4	.07	.06	.*	<.01	<.01
P7	8	2	N	.05	<.01	<.01	.08	<.01	<.01
P8	7	32	N	4.5	.1	<.01	8.38	<.01	-
P9	7	16	N	4.51	.15	<.01	.29	.01	6.7
P10	7	12	N	100.74	20.76	8.85	.*	-	-
P11	6	2	Y	1.6	.5	.53	.01	.01	.05
P12	5	3	N	.78	.3	.36	.02	.01	.07
P13	4	10	N	3.83	3.95	4.02	.*	-	-
P14	2	2	N	4.55	1.67	.07	.01	-	-
P15	4	3	Y	.177	.2	.12	.01	<.01	<.01
P16	4	2	N	9.99	2.17	2.1	.02	<.01	<.01
P17	4	2	N	.62	.59	.65	.28	.02	.61
P18	4	2	N	1.25	1.28	1.27	.01	<.01	<.01
P19	3	6	Y	3.34	1.72	2.08	.02	.01	.7
P20	3	4	N	1.18	.65	.65	.01	<.01	.3
P21	3	2	N	.02	.03	<.01	.02	.01	.1
P22	2	4	N	<.01	<.01	<.01	.01	<.01	<.01
P23	2	2	Y	<.01	<.01	<.01	<.01	<.01	<.01

Z3 QF_LIA Strategy

```
then(preamble, orelse(mf, pb, bounded, smt))
```



Simplification
Constant propagation
Interval propagation
Contextual simplification
If-then-else elimination
Gaussian elimination
Unconstrained terms

Challenge: small step configuration

proof procedure as a transition system

Abstract DPLL, DPLL(T), Abstract GB, cutsat, ...

UnitPropagate :

$$M \parallel F, C \vee l \implies M \parallel F, C \vee l \quad \text{if} \quad \begin{cases} M \models \neg C \\ l \text{ is undefined in } M \end{cases}$$

PureLiteral :

$$M \parallel F \implies M \parallel F \quad \text{if} \quad \begin{cases} l \text{ occurs in some clause of } F \\ \neg l \text{ occurs in no clause of } F \\ l \text{ is undefined in } M \end{cases}$$

Decide :

$$M \parallel F \implies M \parallel F \quad \text{if} \quad \begin{cases} l \text{ or } \neg l \text{ occurs in a clause of } F \\ l \text{ is undefined in } M \end{cases}$$

Fail :

$$M \parallel F, C \implies \text{FailState} \quad \text{if} \quad \begin{cases} M \models \neg C \\ M \text{ contains no decision literals} \end{cases}$$

Backtrack :

$$M \parallel F, C \implies M \parallel F, C \quad \text{if} \quad \begin{cases} M \models \neg C \\ M \text{ contains no decision literals} \end{cases}$$

Challenge: small step configuration

proof procedure as a transition system

Abstract DPLL, DPLL(T), Abstract GB, cutsat, ...

Challenge:

Efficient strategic control

Decide : $M \parallel F \Rightarrow M \parallel F$ if $\begin{cases} l \text{ or } \neg l \text{ occurs in a clause of } F \\ l \text{ is undefined in } M \end{cases}$

Fail : $M \parallel F, C \Rightarrow \text{FailState}$ if $\begin{cases} M \models \neg C \\ M \text{ contains no decision literals} \end{cases}$

Backtrack :

$M \parallel N \parallel F, C \Rightarrow M \parallel \neg l \parallel F, C$ if $\begin{cases} M \parallel N \models \neg C \\ N \text{ contains no decision literals} \end{cases}$

Conclusion

Different domains need different strategies.

We must expose the little engines in SMT solvers.

Interaction between different engines is a must.

Tactic and Tacticals: **big step approach**.

More transparency.