

# Satisfiability Modulo Theories (SMT): ideas and applications

Università Degli Studi Di Milano

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# Satisfiability Modulo Theories (SMT)

**Is formula  $F$  satisfiable  
modulo theory  $T$ ?**

SMT solvers have  
specialized algorithms for  $T$

# Satisfiability Modulo Theories (SMT)

$b + 2 = c$  and  $f(\text{read}(\text{write}(a, b, 3), c-2)) \neq f(c-b+1)$

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Arithmetic

# Satisfiability Modulo Theories (SMT)

$b + 2 = c$  and  $f(\text{read}(\text{write}(a, b, 3), c-2)) \neq f(c-b+1)$

Array Theory

# Satisfiability Modulo Theories (SMT)

$b + 2 = c$  and  $f(\text{read}(\text{write}(a, b, 3), c-2)) \neq f(c-b+1)$

Uninterpreted  
Functions

# Satisfiability Modulo Theories (SMT)

$$b + 2 = c \text{ and } f(\text{read}(\text{write}(a, b, 3), c - 2)) \neq f(c - b + 1)$$

Substituting  $c$  by  $b + 2$

# Satisfiability Modulo Theories (SMT)

$b + 2 = c$  and  $f(\text{read}(\text{write}(a,b,3), b+2-2)) \neq f(b+2-b+1)$

Simplifying



# Satisfiability Modulo Theories (SMT)

$b + 2 = c$  and  $f(\text{read}(\text{write}(a, b, 3), b)) \neq f(3)$

# Satisfiability Modulo Theories (SMT)

$$b + 2 = c \text{ and } f(\text{read}(\text{write}(a,b,3), b)) \neq f(3)$$

Applying array theory axiom  
forall  $a, i, v$ :  $\text{read}(\text{write}(a, i, v), i) = v$

# Satisfiability Modulo Theories (SMT)

$b + 2 = c$  and  $f(3) \neq f(3)$

**Inconsistent**

# SMT-Lib

- Repository of Benchmarks
- <http://www.smtlib.org>
- Benchmarks are divided in “logics”:
  - QF\_UF: unquantified formulas built over a signature of uninterpreted sort, function and predicate symbols.
  - QF\_UFLIA: unquantified linear integer arithmetic with uninterpreted sort, function, and predicate symbols.
  - AUFLIA: closed linear formulas over the theory of integer arrays with free sort, function and predicate symbols.

# Ground formulas

*For most SMT solvers:  $F$  is a set of ground formulas*

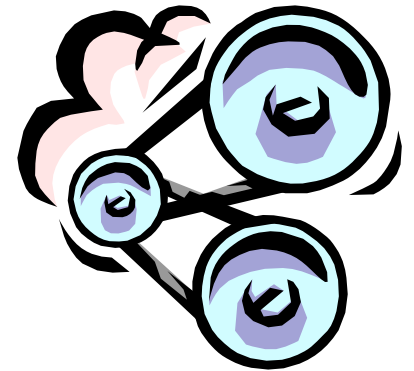
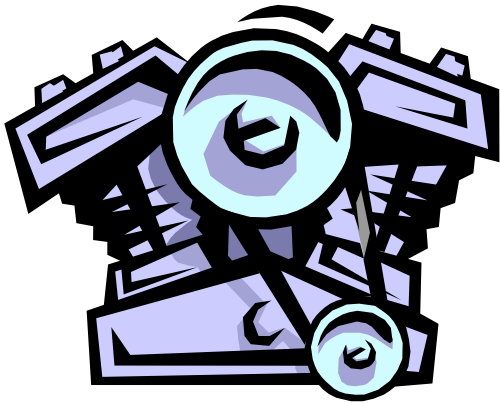
## Many Applications

Bounded Model Checking

Test-Case Generation

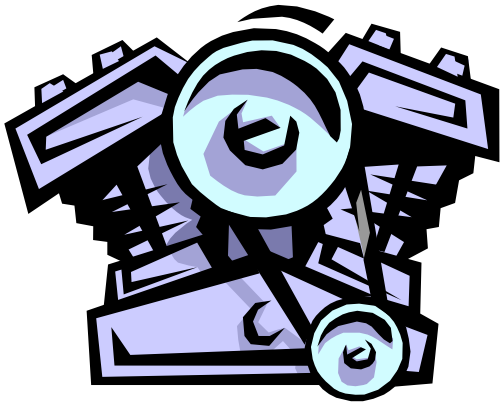
# Little Engines of Proof

An SMT Solver is a collection of  
**Little Engines of Proof**

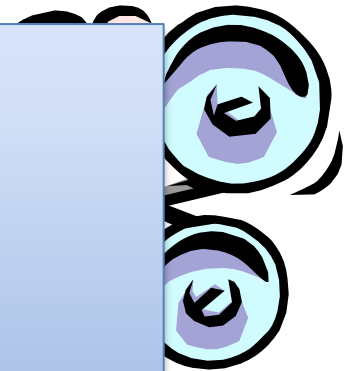


# Little Engines of Proof

An SMT Solver is a collection of  
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Examples:  
SAT Solver  
Equality solver



# Deciding Equality

$a = b, b = c, d = e, b = s, d = t, a \neq e, a \neq s$

a

b

c

d

e

s

t



# Deciding Equality

$a = b, b = c, d = e, b = s, d = t, a \neq e, a \neq s$

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b

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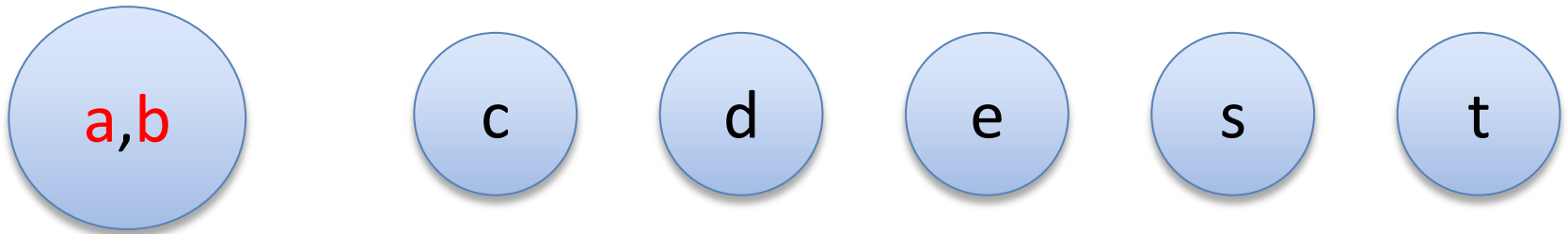
e

s

t

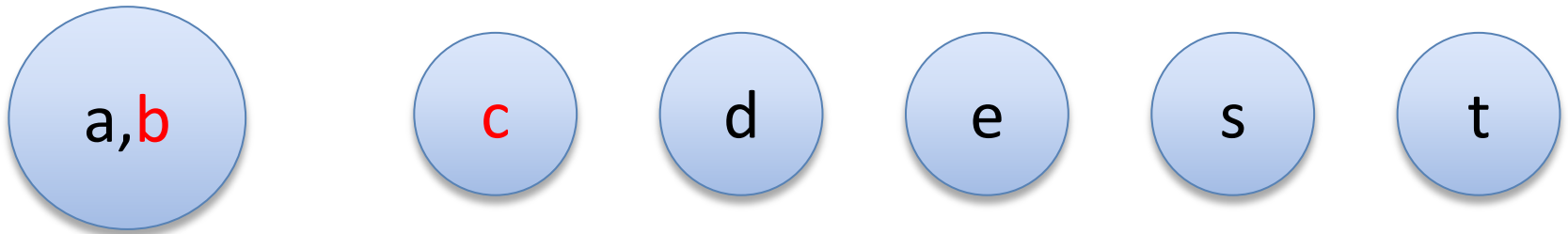
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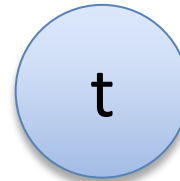
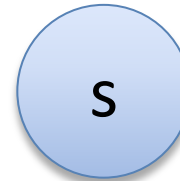
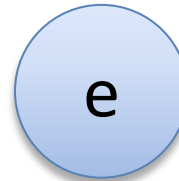
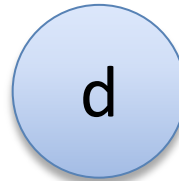
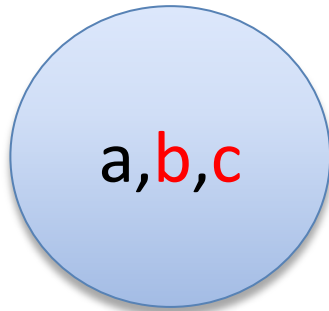
# Deciding Equality

$a = b$ ,  $b = c$ ,  $d = e$ ,  $b = s$ ,  $d = t$ ,  $a \neq e$ ,  $a \neq s$



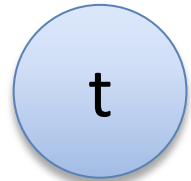
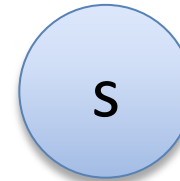
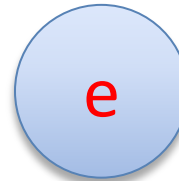
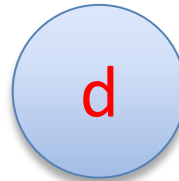
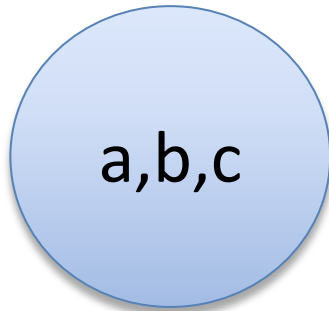
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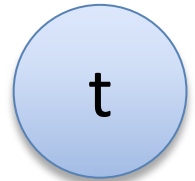
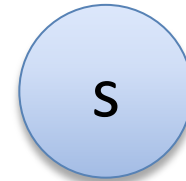
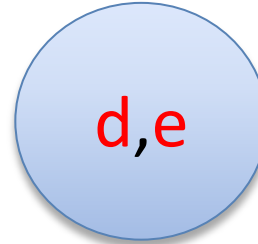
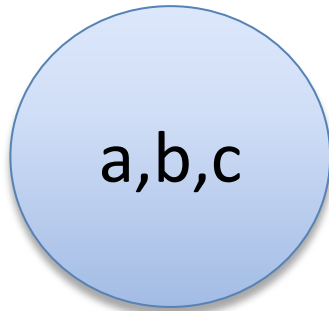
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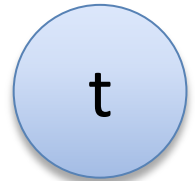
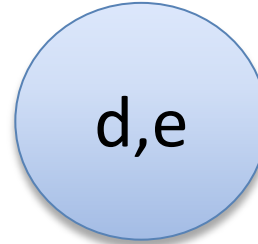
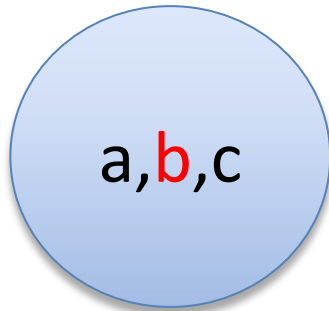
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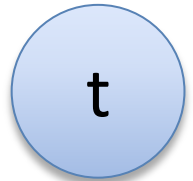
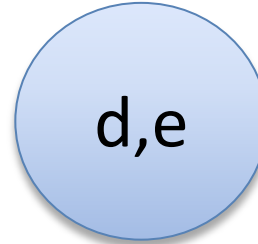
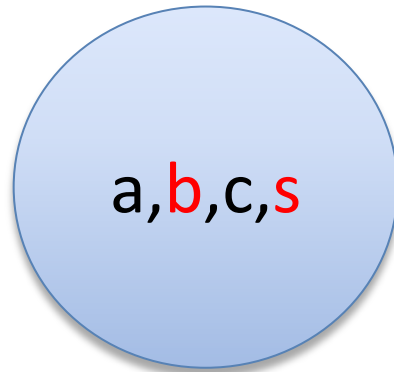
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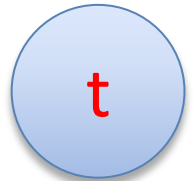
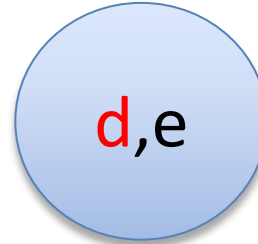
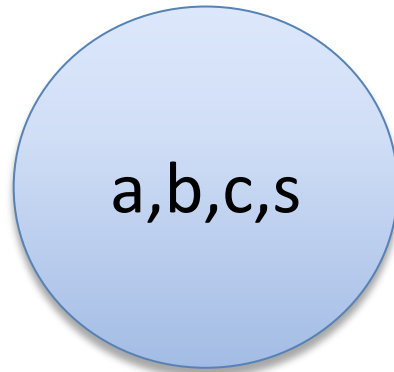
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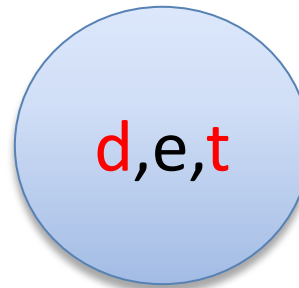
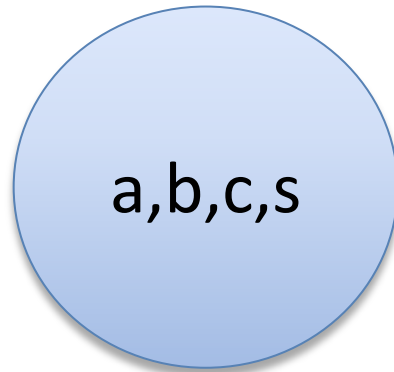
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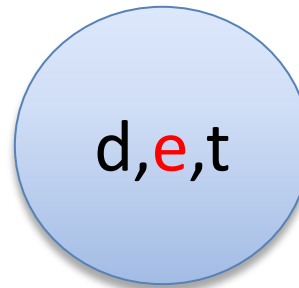
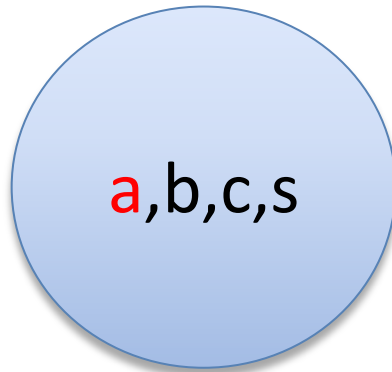
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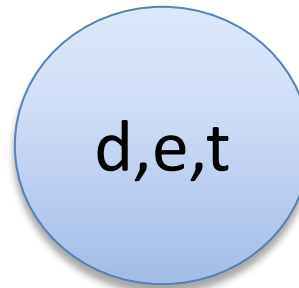
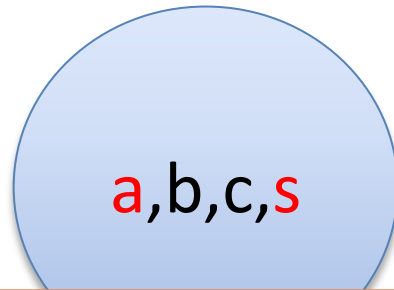
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# Deciding Equality

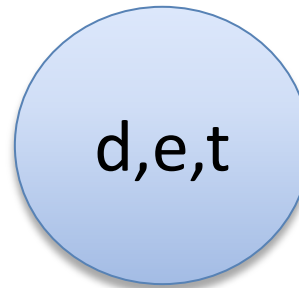
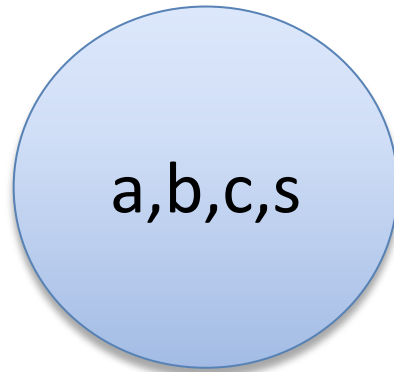
$a = b, b = c, d = e, b = s, d = t, a \neq e, a \neq s$



Unsatisfiable

# Deciding Equality

$a = b, b = c, d = e, b = s, d = t, a \neq e$



Model construction

# Deciding Equality

$a = b, b = c, d = e, b = s, d = t, a \neq e$



Model construction

$|M| = \{\diamond_1, \diamond_2\}$  (universe, aka domain)

# Deciding Equality

$a = b, b = c, d = e, b = s, d = t, a \neq e$



Model construction

$|M| = \{\text{diamond}_1, \text{diamond}_2\}$  (universe, aka domain)

$M(a) = \text{diamond}_1$  (assignment)

# Deciding Equality

$$a = b, b = c, d = e, b = s, d = t, a \neq e$$



Alternative notation:

$$a^M = \textcolor{red}{\blacklozenge}_1$$

Model construction

$$|M| = \{\textcolor{red}{\blacklozenge}_1, \textcolor{red}{\blacklozenge}_2\} \text{ (universe, aka domain)}$$

$$M(a) = \textcolor{red}{\blacklozenge}_1 \text{ (assignment)}$$



# Deciding Equality

$a = b, b = c, d = e, b = s, d = t, a \neq e$



Model construction

$|M| = \{\blacklozenge_1, \blacklozenge_2\}$  (universe, aka domain)

$M(a) = M(b) = M(c) = M(s) = \blacklozenge_1$

$M(d) = M(e) = M(t) = \blacklozenge_2$

# Deciding Equality

$a = b, b = c, d = e, b = s, d = t, a \neq e$



Model construction

$|M| = \{\textcolor{red}{\diamond}_1, \textcolor{red}{\diamond}_2\}$  (universe, aka domain)

$M(a) = M(b) = M(c) = M(s) = \textcolor{red}{\diamond}_1$

$M(d) = M(e) = M(t) = \textcolor{red}{\diamond}_2$

# Deciding Equality:

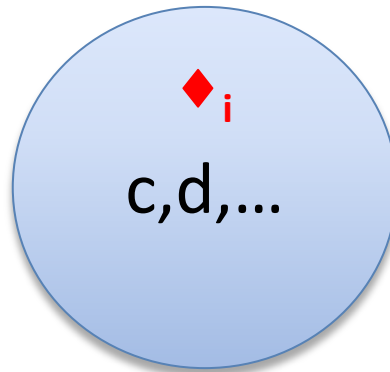
## Termination, Soundness, Completeness

- Termination: easy
- Soundness
  - Invariant: all constants in a “ball” are known to be equal.
  - The “ball” merge operation is justified by:
    - Transitivity and Symmetry rules.
- Completeness
  - We can build a model if an inconsistency was not detected.
  - Proof template (by contradiction):
    - Build a candidate model.
    - Assume a literal was not satisfied.
    - Find contradiction.

# Deciding Equality:

## Termination, Soundness, Completeness

- Completeness
  - We can build a model if an inconsistency was not detected.
  - Instantiating the template for our procedure:
    - Assume some literal  $c = d$  is not satisfied by our model.
    - That is,  $M(c) \neq M(d)$ .
    - This is impossible,  $c$  and  $d$  must be in the same “ball”.

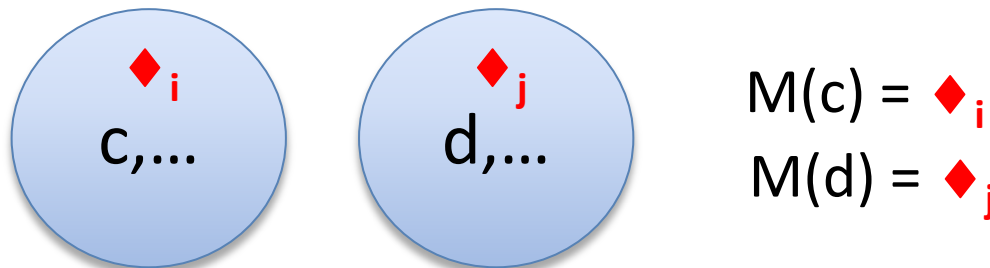


$$M(c) = M(d) = \text{red diamond}_i$$

# Deciding Equality:

## Termination, Soundness, Completeness

- Completeness
  - We can build a model if an inconsistency was not detected.
  - Instantiating the template for our procedure:
    - Assume some literal  $c \neq d$  is not satisfied by our model.
    - That is,  $M(c) = M(d)$ .
    - Key property: we only check the disequalities after we processed all equalities.
    - This is impossible,  $c$  and  $d$  must be in the different “balls”



# Deciding Equality + (uninterpreted) Functions

$$a = b, b = c, d = e, b = s, d = t, f(a, g(d)) \neq f(b, g(e))$$

Congruence Rule:

$$x_1 = y_1, \dots, x_n = y_n \text{ implies } f(x_1, \dots, x_n) = f(y_1, \dots, y_n)$$

# Deciding Equality + (uninterpreted) Functions

$$a = b, b = c, d = e, b = s, d = t, f(a, g(d)) \neq f(b, g(e))$$

First Step: “Naming” subterms

Congruence Rule:

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# Deciding Equality + (uninterpreted) Functions

$$a = b, b = c, d = e, b = s, d = t, f(a, v_1) \neq f(b, g(e))$$
$$v_1 \equiv g(d)$$

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# Deciding Equality + (uninterpreted) Functions

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# Deciding Equality + (uninterpreted) Functions

$$a = b, b = c, d = e, b = s, d = t, f(a, v_1) \neq f(b, v_2) \\ v_1 \equiv g(d), v_2 \equiv g(e)$$

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$a = b, b = c, d = e, b = s, d = t, v_3 \neq f(b, v_2)$

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# Deciding Equality + (uninterpreted) Functions

$$a = b, b = c, d = e, b = s, d = t, v_3 \neq v_4$$
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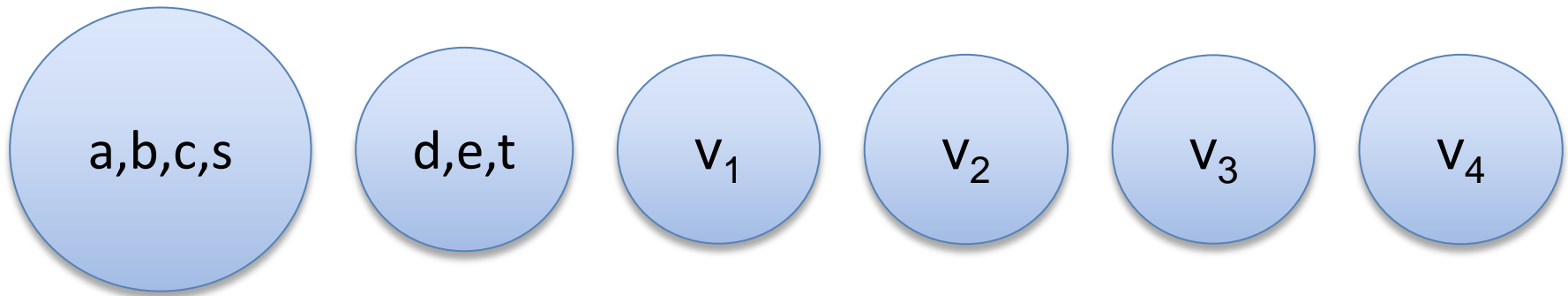
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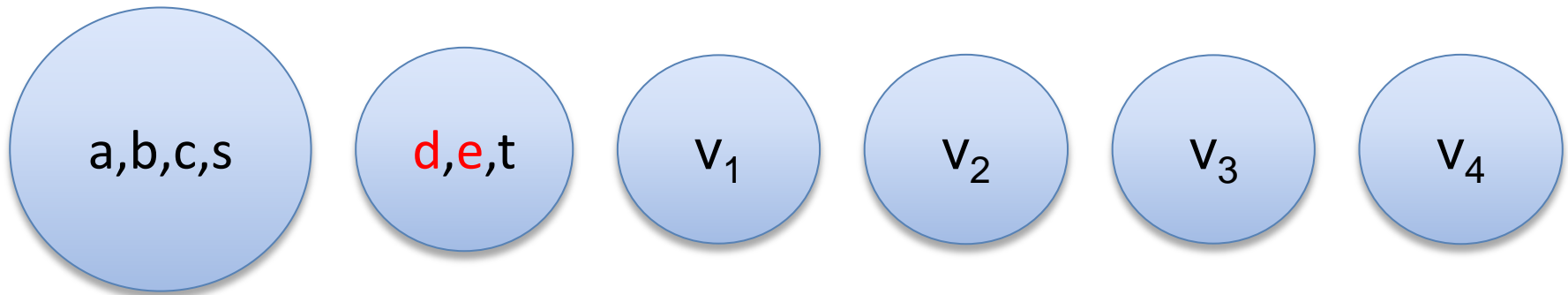
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# Deciding Equality + (uninterpreted) Functions

$a = b, b = c, d = e, b = s, d = t, v_3 \neq v_4$

$v_1 \equiv g(\textcolor{red}{d}), v_2 \equiv g(\textcolor{red}{e}), v_3 \equiv f(a, v_1), v_4 \equiv f(b, v_2)$



**Congruence Rule:**

$x_1 = y_1, \dots, x_n = y_n \text{ implies } f(x_1, \dots, x_n) = f(y_1, \dots, y_n)$

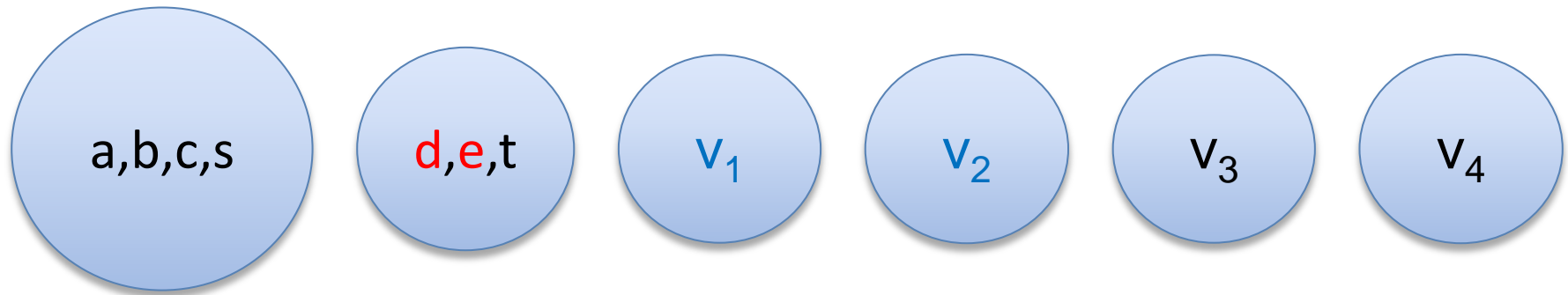
$\textcolor{red}{d} = \textcolor{red}{e} \text{ implies } g(\textcolor{red}{d}) = g(\textcolor{red}{e})$



# Deciding Equality + (uninterpreted) Functions

$a = b, b = c, d = e, b = s, d = t, v_3 \neq v_4$

$v_1 \equiv g(d), v_2 \equiv g(e), v_3 \equiv f(a, v_1), v_4 \equiv f(b, v_2)$



**Congruence Rule:**

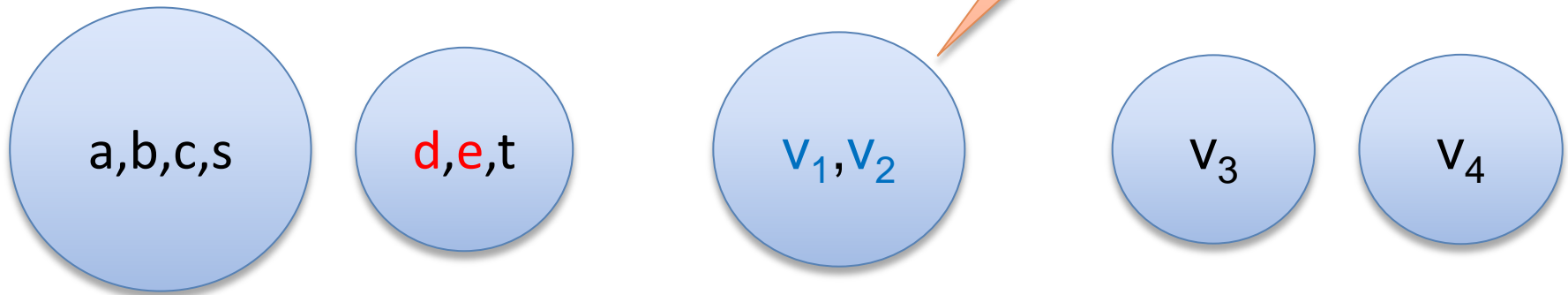
$x_1 = y_1, \dots, x_n = y_n$  implies  $f(x_1, \dots, x_n) = f(y_1, \dots, y_n)$

$d = e$  implies  $v_1 = v_2$

# Deciding Equality + (uninterpreted) Functions

We say:  
 $v_1$  and  $v_2$  are **congruent**.

$$a = b, b = c, d = e, b = s, d = t, \dots, v_4$$
$$v_1 \equiv g(d), v_2 \equiv g(e), v_3 \equiv f(a, v_1), v_4 \equiv f(b, v_2)$$



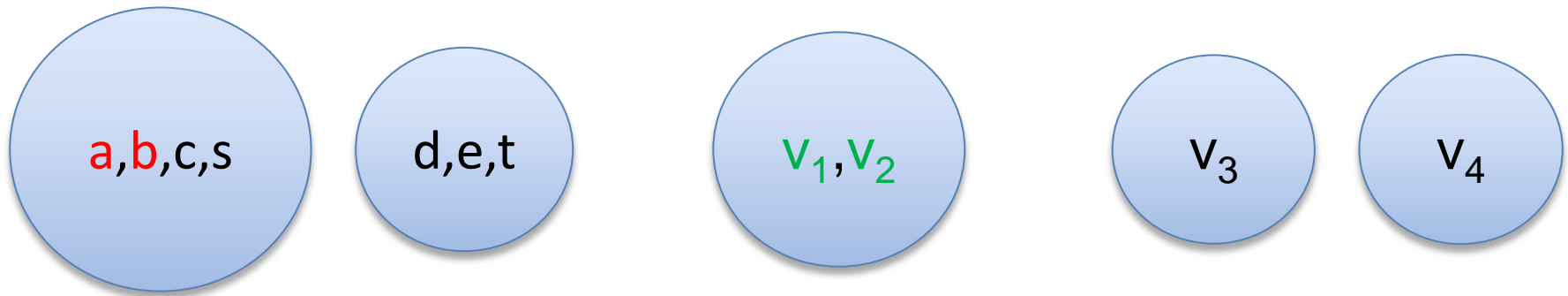
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$$d = e \text{ implies } v_1 = v_2$$

# Deciding Equality + (uninterpreted) Functions

$$a = b, b = c, d = e, b = s, d = t, v_3 \neq v_4$$
$$v_1 \equiv g(d), v_2 \equiv g(e), v_3 \equiv f(a, v_1), v_4 \equiv f(b, v_2)$$

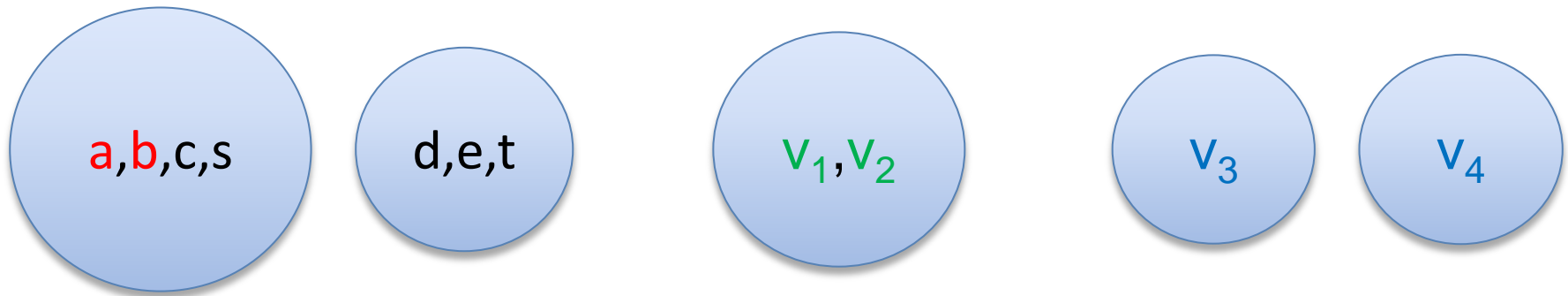


**Congruence Rule:**

$$x_1 = y_1, \dots, x_n = y_n \text{ implies } f(x_1, \dots, x_n) = f(y_1, \dots, y_n)$$
$$a = b, v_1 = v_2 \text{ implies } f(a, v_1) = f(b, v_2)$$

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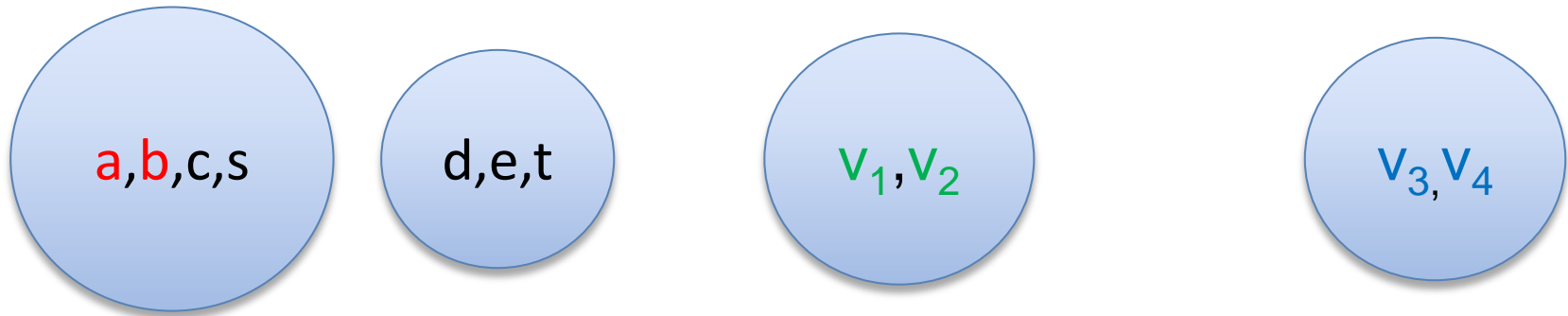


**Congruence Rule:**

$x_1 = y_1, \dots, x_n = y_n$  implies  $f(x_1, \dots, x_n) = f(y_1, \dots, y_n)$   
 $a = b, v_1 = v_2$  implies  $v_3 = v_4$

# Deciding Equality + (uninterpreted) Functions

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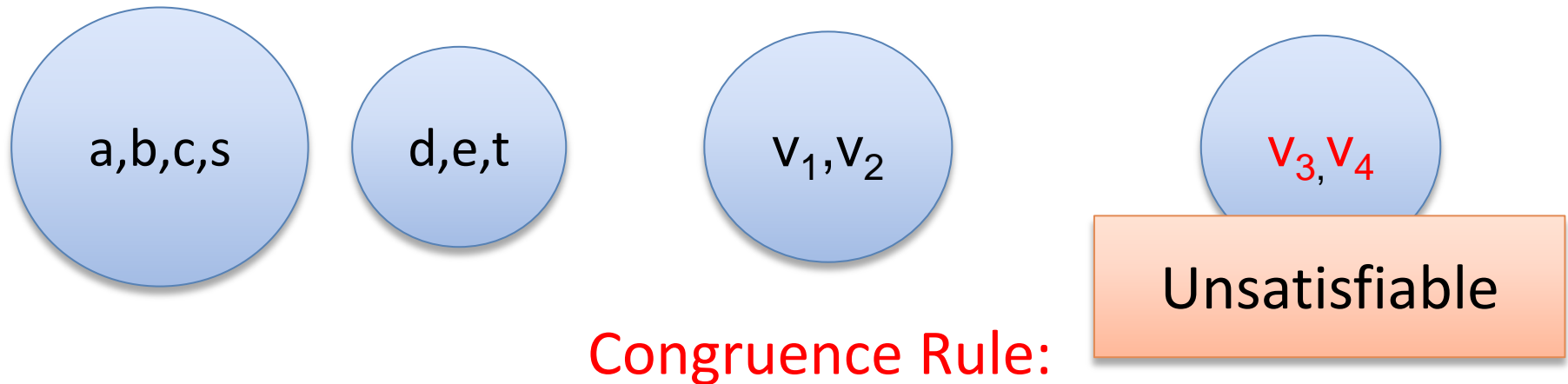
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$$a = b, v_1 = v_2 \text{ implies } v_3 = v_4$$

# Deciding Equality + (uninterpreted) Functions

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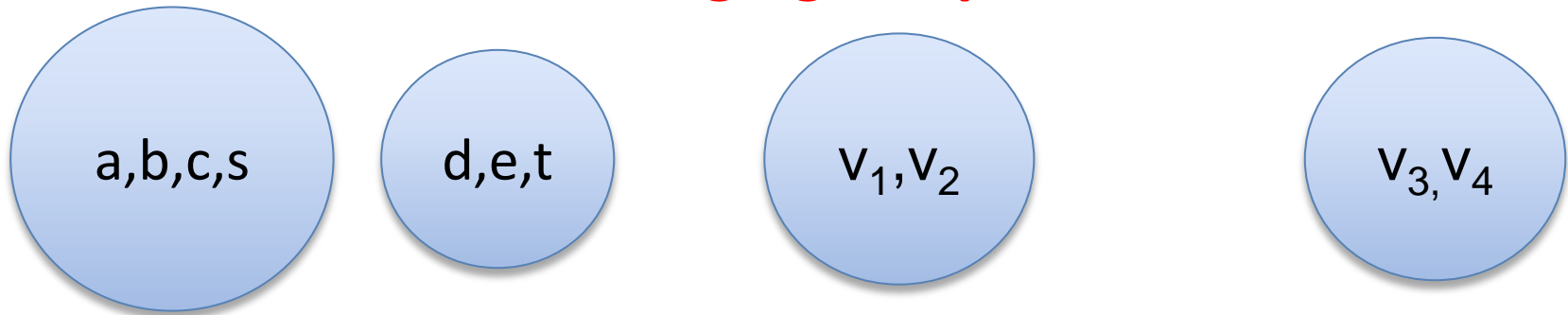


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**Changing the problem**

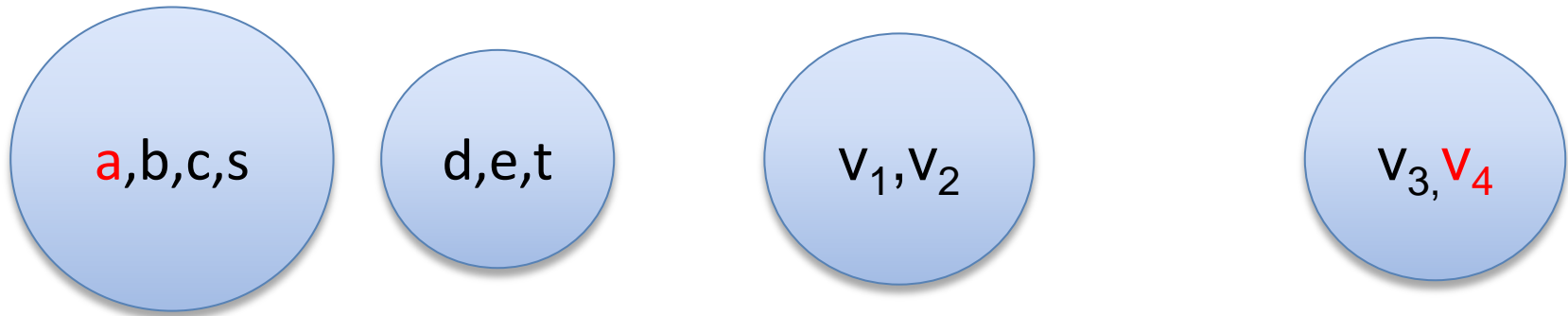


**Congruence Rule:**

$x_1 = y_1, \dots, x_n = y_n \text{ implies } f(x_1, \dots, x_n) = f(y_1, \dots, y_n)$

# Deciding Equality + (uninterpreted) Functions

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 $v_1 \equiv g(d), v_2 \equiv g(e), v_3 \equiv f(a, v_1), v_4 \equiv f(b, v_2)$



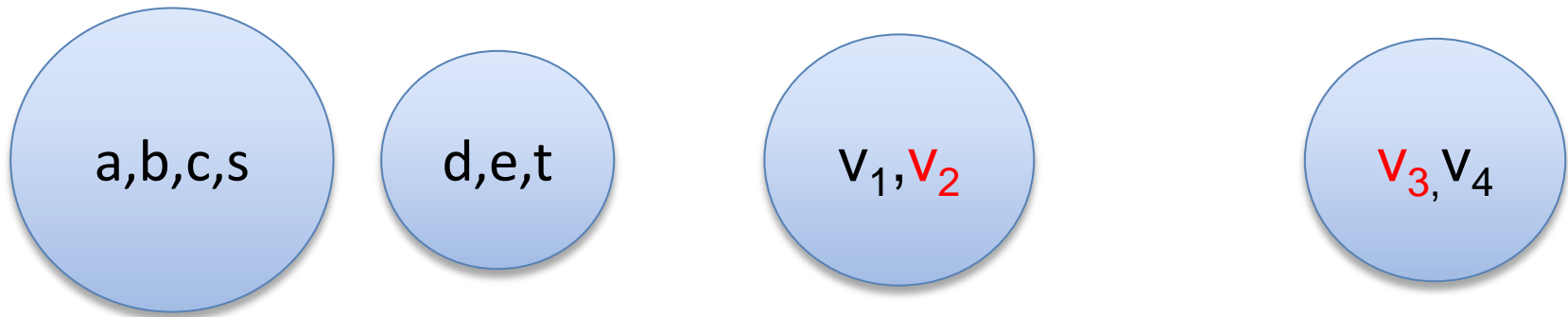
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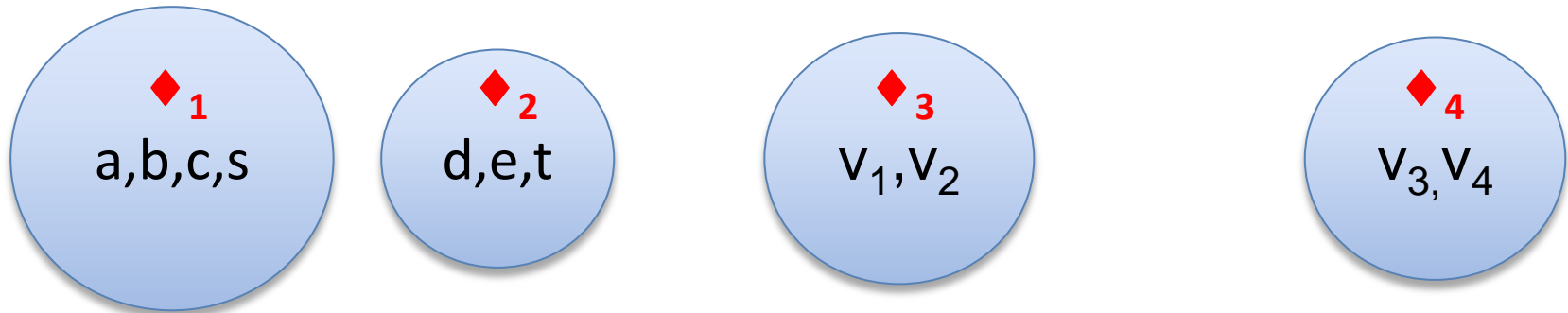


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Model construction:

$$|M| = \{\diamond_1, \diamond_2, \diamond_3, \diamond_4\}$$

$$M(a) = M(b) = M(c) = M(s) = \diamond_1$$

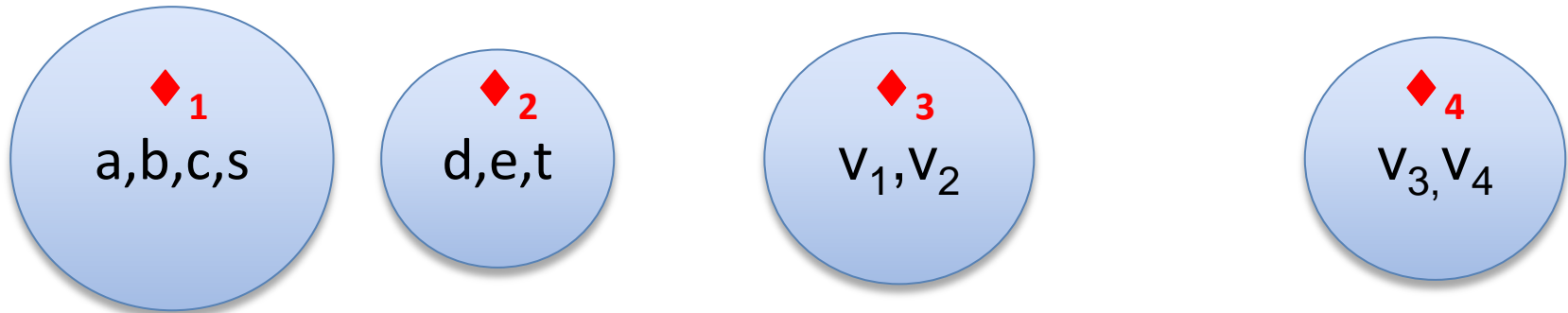
$$M(d) = M(e) = M(t) = \diamond_2$$

$$M(v_1) = M(v_2) = \diamond_3$$

$$M(v_3) = M(v_4) = \diamond_4$$

# Deciding Equality + (uninterpreted) Functions

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 $M(v_3) = M(v_4) = \diamond_4$

Missing:  
Interpretation for  
f and g.

# Deciding Equality + (uninterpreted) Functions

- Building the interpretation for function symbols
  - $M(g)$  is a mapping from  $|M|$  to  $|M|$
  - Defined as:
$$M(g)(\blacklozenge_i) = \blacklozenge_j \text{ if there is } v \equiv g(a) \text{ s.t.}$$
$$M(a) = \blacklozenge_i$$
$$M(v) = \blacklozenge_j$$
$$= \blacklozenge_k, \text{ otherwise } (\blacklozenge_k \text{ is an arbitrary element})$$
- Is  $M(g)$  well-defined?

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- Is  $M(g)$  well-defined?
  - Problem: we may have  
 $v \equiv g(a)$  and  $w \equiv g(b)$  s.t.  
 $M(a) = M(b) = \blacklozenge_1$  and  $M(v) = \blacklozenge_2 \neq \blacklozenge_3 = M(w)$   
So, is  $M(g)(\blacklozenge_1) = \blacklozenge_2$  or  $M(g)(\blacklozenge_1) = \blacklozenge_3$ ?

# Deciding Equality + (uninterpreted) Functions

- Building the interpretation for function symbols

- $M(g)$  is a mapping from  $|M|$  to  $|M|$

- Defined as:

$$M(g)(\diamond_i) = \diamond_j \text{ if there is } v \equiv g$$

$$M(a) = \diamond_i$$

$$M(v) = \diamond_j$$

$$= \diamond_k, \text{ otherwise } (\diamond_k \text{ is an arbitrary element})$$

**This is impossible because of the congruence rule!**

$a$  and  $b$  are in the same “ball”, then so are  $v$  and  $w$

- Is  $M(g)$  well-defined?

- Problem: we may have

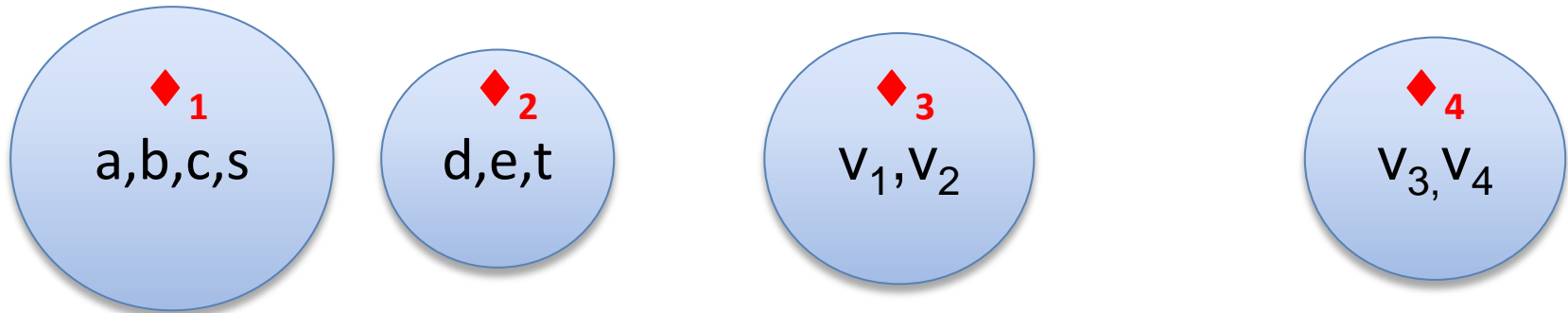
$$v \equiv g(a) \text{ and } w \equiv g(b) \text{ s.t.}$$

$$M(a) = M(b) = \diamond_1 \text{ and } M(v) = \diamond_2 \neq \diamond_3 = M(w)$$

$$\text{So, is } M(g)(\diamond_1) = \diamond_2 \text{ or } M(g)(\diamond_1) = \diamond_3?$$

# Deciding Equality + (uninterpreted) Functions

$a = b, b = c, d = e, b = s, d = t, a \neq v_4, v_2 \neq v_3$   
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$$M(g)(\diamond_i) = \diamond_j \text{ if there is } v \equiv g(a) \text{ s.t.}$$
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$$M(g) = \{\diamond_2 \rightarrow \diamond_3, \text{ else } \rightarrow \diamond_1\}$$

$$M(g)(\diamond_i) = \diamond_j \text{ if there is } v \equiv g(a) \text{ s.t.}$$
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$$M(f) = \{(\diamond_1, \diamond_3) \rightarrow \diamond_4, \text{ else } \rightarrow \diamond_1\}$$

$$M(g)(\diamond_i) = \diamond_j \text{ if there is } v \equiv g(a) \text{ s.t.}$$
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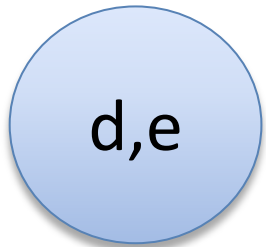
# Deciding Equality + (uninterpreted) Functions

It is possible to implement our procedure in  
 $O(n \log n)$

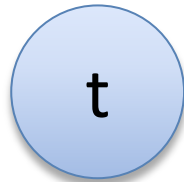
# Deciding Equality + (uninterpreted) Functions



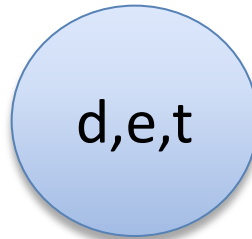
Sets (equivalence classes)



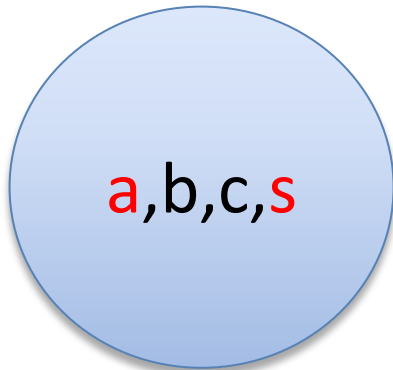
$\cup$



=



Union



$a \neq s$

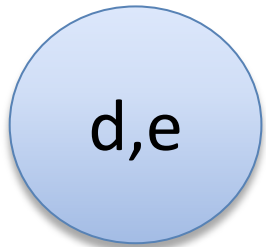
Membership

# Deciding Equality + (uninterpreted) Functions

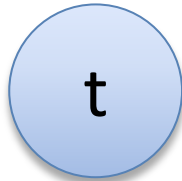


Sets (equivalence)

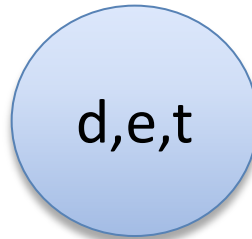
Key observation:  
**The sets are disjoint!**



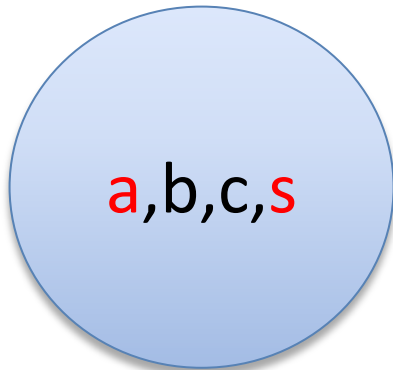
$\cup$



=



Union



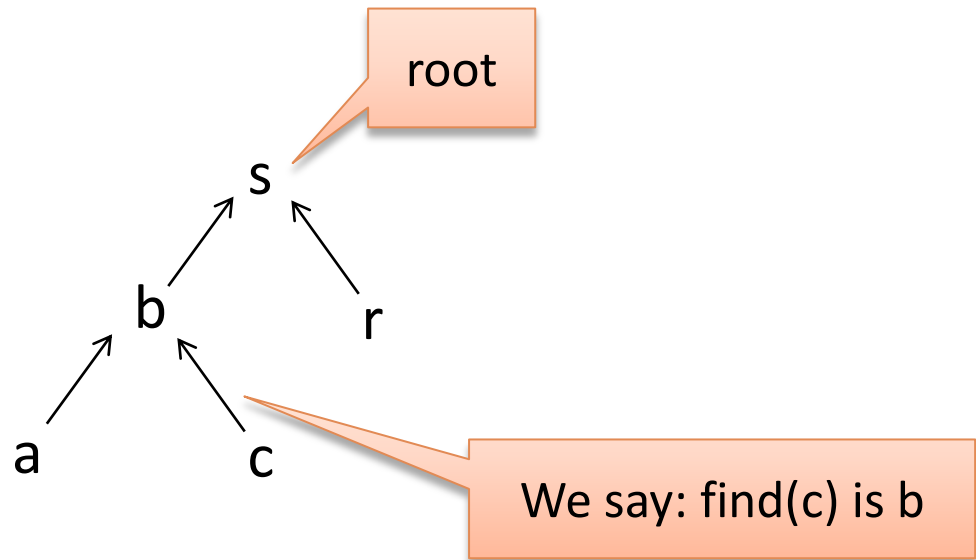
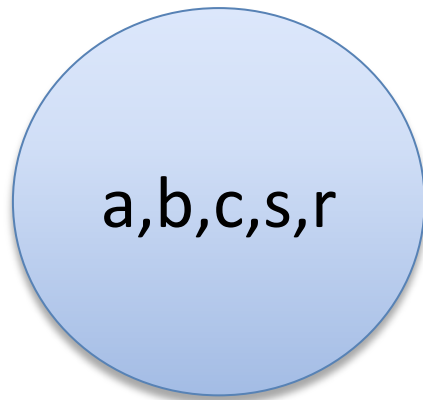
$a \neq s$

Membership

# Deciding Equality + (uninterpreted) Functions

Union-Find data-structure

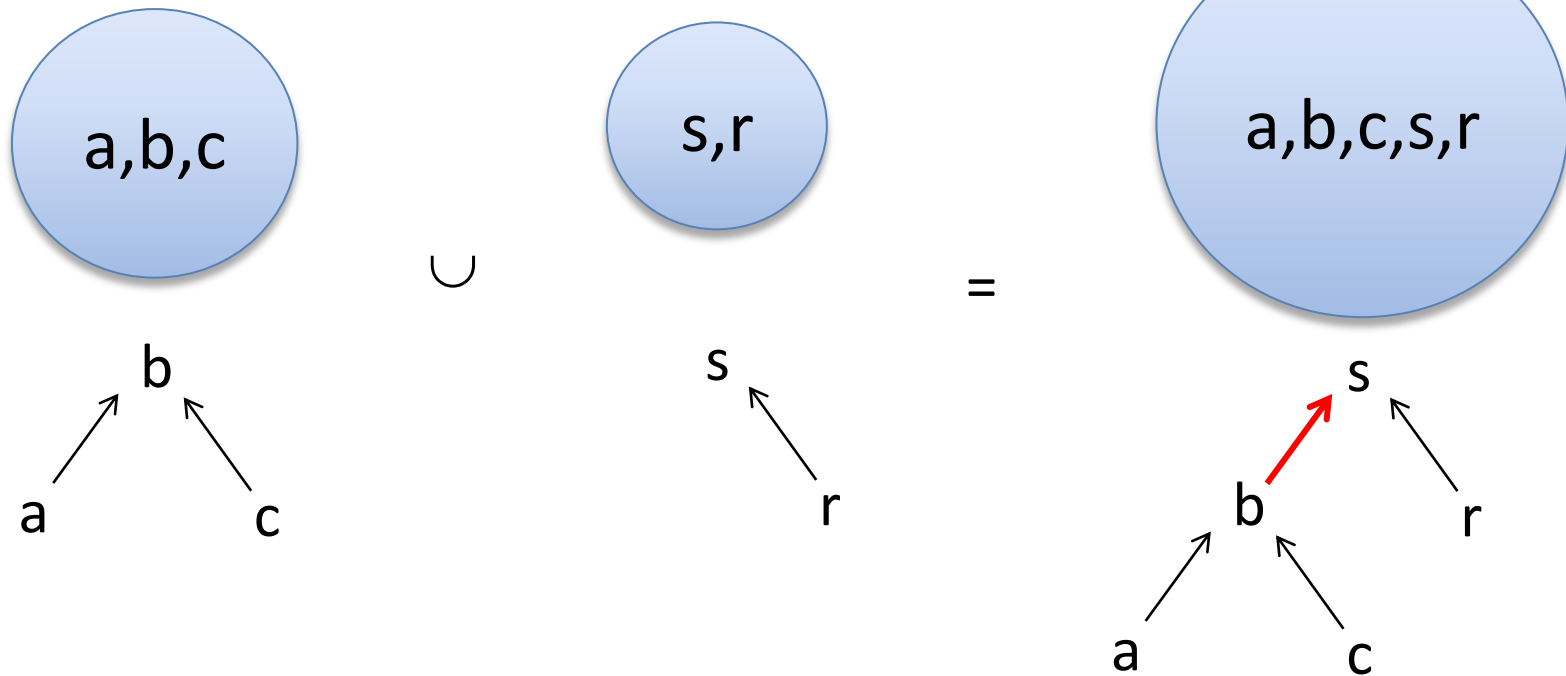
Every set (equivalence class) has a root element (representative).





# Deciding Equality + (uninterpreted) Functions

Union-Find data-structure



# Deciding Equality + (uninterpreted) Functions

Tracking the equivalence classes size is important!

$$a_1 \longrightarrow a_2 \quad \cup \quad a_3 \quad = \quad a_1 \longrightarrow a_2 \longrightarrow a_3$$

$$a_1 \longrightarrow a_2 \longrightarrow a_3 \quad \cup \quad a_4 \quad = \quad a_1 \longrightarrow a_2 \longrightarrow a_3 \longrightarrow a_4$$

...

$$a_1 \longrightarrow a_2 \longrightarrow a_3 \longrightarrow \dots \longrightarrow a_{n-1} \quad \cup \quad a_n \quad =$$

$$a_1 \longrightarrow a_2 \longrightarrow a_3 \longrightarrow \dots \longrightarrow a_{n-1} \longrightarrow a_n$$

# Deciding Equality + (uninterpreted) Functions

Tracking the equivalence classes size is important!

$$a_1 \longrightarrow a_2 \quad \cup \quad a_3 = a_1 \longrightarrow a_2 \longleftarrow a_3$$

$$a_1 \longrightarrow a_2 \longleftarrow a_3 \quad \cup \quad a_4 = a_1 \longrightarrow a_2 \longleftarrow a_3 \longleftarrow a_4$$

...

$$\begin{array}{c} \nearrow \\ a_1 \end{array} \begin{array}{c} \nearrow \\ a_2 \end{array} \begin{array}{c} \nwarrow \\ a_{n-1} \end{array} \quad \cup \quad a_n = \begin{array}{c} \nearrow \\ a_1 \end{array} \begin{array}{c} \nearrow \\ a_2 \end{array} \begin{array}{c} \nwarrow \\ a_{n-1} \end{array} \begin{array}{c} \nwarrow \\ a_n \end{array}$$

$\begin{array}{c} \nearrow \\ a_1 \end{array} \begin{array}{c} \nearrow \\ a_2 \end{array} \begin{array}{c} \nwarrow \\ a_{n-1} \end{array}$ 
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# Deciding Equality + (uninterpreted) Functions

Tracking the equivalence classes size is important!

We can do  $n$  merges in  
 $O(n \log n)$

$$a_1 \longrightarrow a_2 \quad \cup \quad a_3 \quad = \quad a_1 \longrightarrow a_2 \longleftarrow a_3$$

$$a_1 \longrightarrow a_2 \longleftarrow a_3 \quad \cup \quad a_4 \quad = \quad a_1 \longrightarrow a_2 \longleftarrow a_3$$

$\nwarrow$   
 $a_4$

...

$$\begin{array}{ccc} & a_2 & \\ \nearrow & \uparrow & \nwarrow \\ a_1 & a_3 & \dots & a_{n-1} \end{array} \quad \cup \quad a_n \quad = \quad \begin{array}{ccc} & a_2 & \longleftarrow a_n \\ \nearrow & \uparrow & \nwarrow \\ a_1 & a_3 & \dots & a_{n-1} \end{array}$$

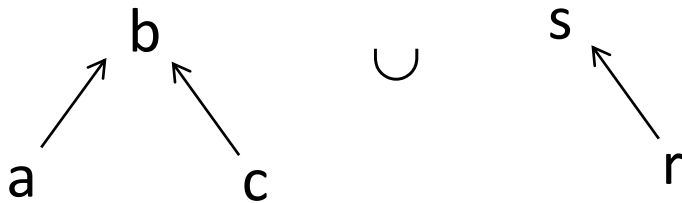
Each constant has two fields: **find** and **size**.

# Deciding Equality + (uninterpreted) Functions

Implementing the congruence rule.

Occurrences of a constant: we say **a** occurs in **v** iff  $v \equiv f(\dots, \mathbf{a}, \dots)$

When we “merge” two equivalence classes we can traverse these occurrences to find new congruences.



$\text{Occurrences}(b) = \{ v_1 \equiv g(b), \mathbf{v_2 \equiv f(a)} \}$

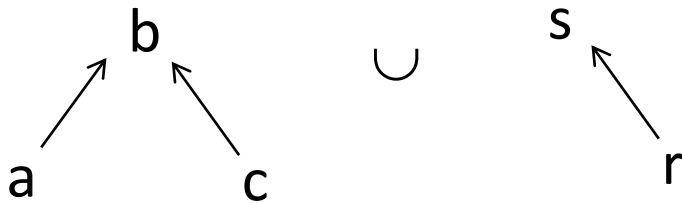
$\text{Occurrences}(s) = \{ \mathbf{v_3 \equiv f(r)} \}$

# Deciding Equality + (uninterpreted) Functions

Implementing the congruence rule.

Occurrences of a constant: we say **a** occurs in **v** iff  $v \equiv f(\dots, a, \dots)$

When we “merge” two equivalence classes we can traverse these occurrences to find new congruences.



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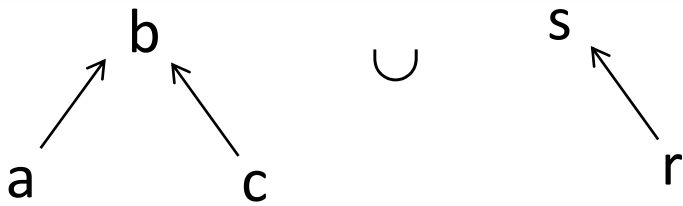
$\text{occurrences}(s) = \{ v_3 \equiv f(r) \}$

**Inefficient version:**

for each  $v$  in  $\text{occurrences}(b)$   
  for each  $w$  in  $\text{occurrences}(s)$   
    if  $v$  and  $w$  are congruent  
      add  $(v, w)$  to todo queue

A queue of pairs that need to be merged.

# Deciding Equality + (uninterpreted) Functions



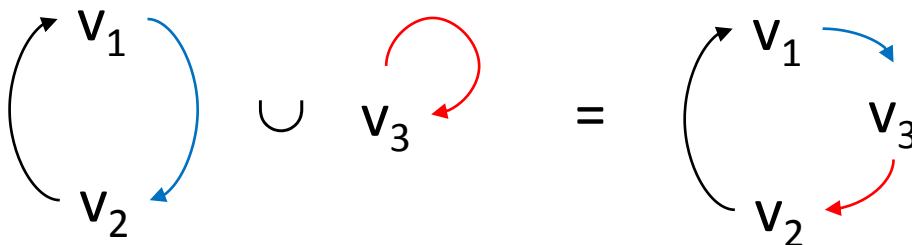
$\text{occurrences}(b) = \{ v_1 \equiv g(b), v_2 \equiv f(a) \}$

$\text{occurrences}(s) = \{ v_3 \equiv f(r) \}$

We also need to merge **occurrences(b)** with **occurrences(s)**.

This can be done in **constant time**:

Use circular lists to represent the occurrences. **(More later)**



# Deciding Equality + (uninterpreted) Functions

Avoiding the nested loop:

```
for each v in occurrences(b)
  for each w in occurrences(s)
    ...
```

Avoiding the nested loop:

Use a hash table to store the elements  $v_1 \equiv f(a_1, \dots, a_n)$ .  
Each constant has an **identifier** (e.g., natural number).  
Compute hash code using the identifier of the (equivalence class) **roots** of the arguments.

$$\text{hash}(v_1) = \text{hash-tuple}(\text{id}(f), \text{id}(\text{root}(a_1)), \dots, \text{id}(\text{root}(a_n)))$$



# Deciding Equality + (uninterpreted) Functions

Avoiding the nested loop:

for each  $v$  in occurrences( $b$ )  
  for each  $w$  in occurrences( $s$ )  
    ...

Avoiding the nested loop:

Use a hash table to store hash-tuple can be the Jenkin's hash function for strings.  
Each constant has a hash value (number).  
Compute hash code for each tuple (equivalence class) **roots** of the arguments.

Just adding the ids produces a very bad hash-code!

$\text{hash}(v_1) = \text{hash-tuple}(\text{id}(f), \text{id}(\text{root}(a_1)), \dots, \text{id}(\text{root}(a_n)))$

# Deciding Equality + (uninterpreted) Functions

Efficient implementation of the congruence rule.

Merging the equivalence classes with roots:  $a_1$  and  $a_2$

Assume  $a_2$  is smaller than  $a_1$

Before merging the equivalence classes:  $a_1$  and  $a_2$

for each  $v$  in occurrences( $a_2$ )

    remove  $v$  from the hash table (its hashcode will change)

After merging the equivalence classes:  $a_1$  and  $a_2$

for each  $v$  in occurrences( $a_2$ )

    if there is  $w$  congruent to  $v$  in the hash-table

        add  $(v,w)$  to todo queue

    else add  $v$  to hash-table

# Deciding Equality + (uninterpreted) Functions

Efficient implementation of the congruence

Merging the equivalence classes with roots  $a_1$  and  $a_2$

Assume  $a_2$  is smaller than  $a_1$

Trick:

Use dynamic arrays to  
represent the occurrences

**Before merging the equivalence classes:  $a_1$  and  $a_2$**

for each  $v$  in occurrences( $a_2$ )

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if there is  $w$  congruent to  $v$  in the hash-table

add  $(v, w)$  to todo queue

else add  $v$  to hash-table

**add  $v$  to occurrences( $a_1$ )**

# Deciding Equality + (uninterpreted) Functions

The efficient version is not optimal (in theory).

Problem: we may have  $v = f(a_1, \dots, a_n)$  with “huge”  $n$ .

Solution: **currying**

Use only binary functions, and represent  $f(a_1, a_2, a_3, a_4)$  as  $f(a_1, h(a_2, h(a_3, a_4)))$

This is not necessary in practice, since the  $n$  above is small.

# Deciding Equality + (uninterpreted) Functions

Each constant has now three fields:

**find**, **size**, and **occurrences**.

We also has use a hash-table for implementing the congruence rule.

**We will need many more improvements!**

# Case Analysis

Many verification/analysis problems require:  
**case-analysis**

$$x \geq 0, y = x + 1, (y > 2 \vee y < 1)$$

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$$(x \geq 0, y = x + 1, y > 2) \vee (x \geq 0, y = x + 1, y < 1)$$

# Case Analysis

Many verification/analysis problems require:  
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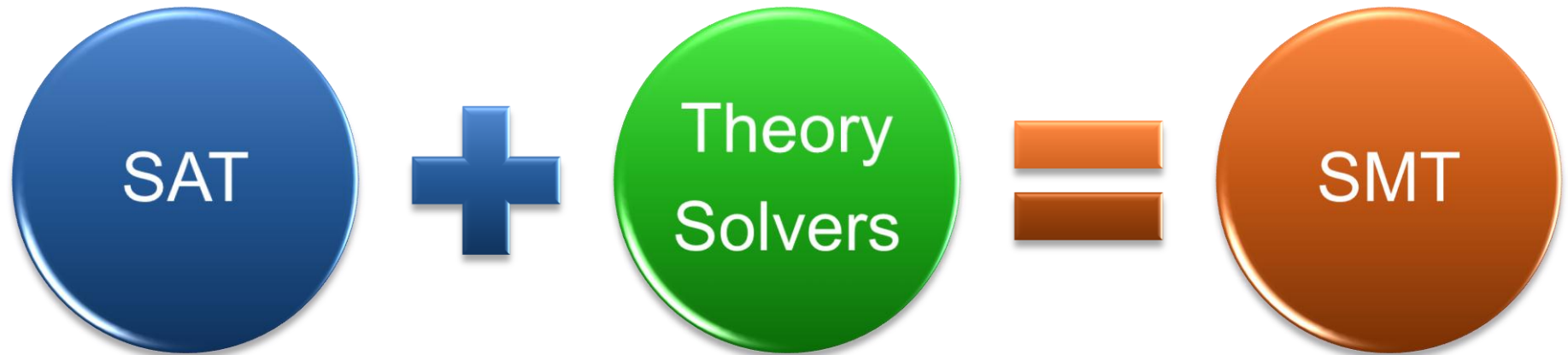
Naïve Solution: Convert to DNF

$$(x \geq 0, y = x + 1, y > 2) \vee (x \geq 0, y = x + 1, y < 1)$$

Too Inefficient!  
(exponential blowup)



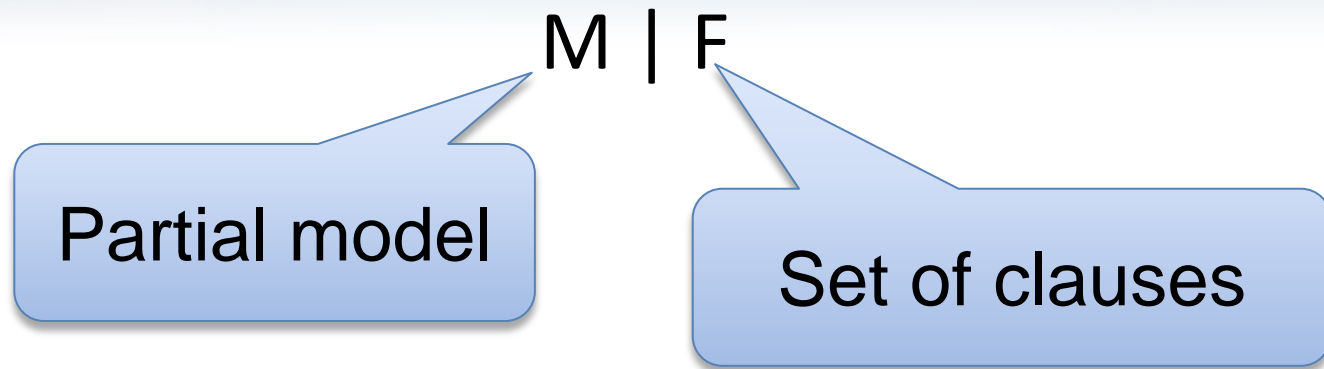
# SMT : Basic Architecture



Case Analysis

- Equality + UF
- Arithmetic
- Bit-vectors
- ...

# DPLL (abstract view)



# DPLL (abstract view)

## Guessing

$$p \mid p \vee q, \neg q \vee r$$



$$p, \neg q \mid p \vee q, \neg q \vee r$$

# DPLL (abstract view)

Deducing

$$p \mid p \vee q, \neg p \vee s$$

$$p, s \mid p \vee q, \neg p \vee s$$

# DPLL (abstract view)

## Backtracking

$p, \neg s, q \mid p \vee q, s \vee q, \neg p \vee \neg q$



$p, s \mid p \vee q, s \vee q, \neg p \vee \neg q$

# Modern DPLL

- Efficient indexing (two-watch literal)
- Non-chronological backtracking (backjumping)
- Lemma learning

# SAT + Theory solvers

## Basic Idea

$$x \geq 0, y = x + 1, (y > 2 \vee y < 1)$$



Abstract (aka “naming” atoms)

$$p_1, p_2, (p_3 \vee p_4) \quad \begin{array}{l} p_1 \equiv (x \geq 0), p_2 \equiv (y = x + 1), \\ p_3 \equiv (y > 2), p_4 \equiv (y < 1) \end{array}$$

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SAT  
Solver

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SAT  
Solver



Assignment

$p_1, p_2, \neg p_3, p_4$

# SAT + Theory solvers

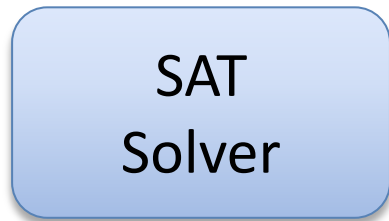
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Abstract (aka “naming” atoms)

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Assignment



$$p_1, p_2, \neg p_3, p_4$$



$$\begin{aligned} p_1 &\equiv (x \geq 0), p_2 \equiv (y = x + 1), \\ p_3 &\equiv (y > 2), p_4 \equiv (y < 1) \end{aligned}$$



$$\begin{aligned} x &\geq 0, y = x + 1, \\ \neg(y > 2), y &< 1 \end{aligned}$$

# SAT + Theory solvers

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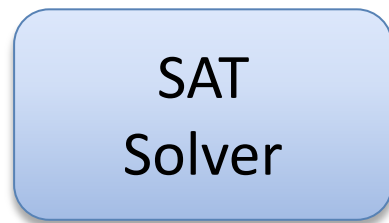
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Assignment

$$p_1, p_2, \neg p_3, p_4$$

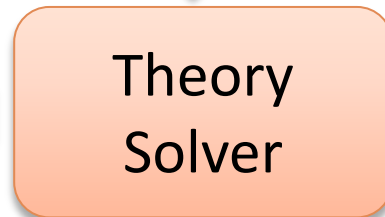


$$x \geq 0, y = x + 1, \\ \neg(y > 2), y < 1$$



Unsatisfiable

$$x \geq 0, y = x + 1, y < 1$$



# SAT + Theory solvers

## Basic Idea

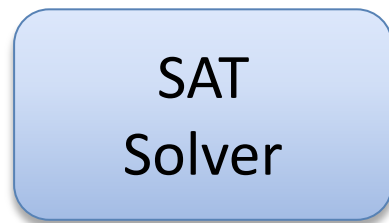
$$x \geq 0, y = x + 1, (y > 2 \vee y < 1)$$



Abstract (aka “naming” atoms)

$$p_1, p_2, (p_3 \vee p_4)$$

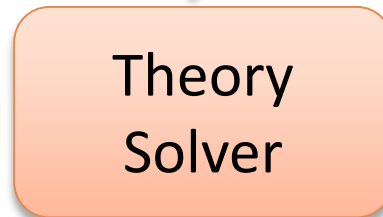
$$p_1 \equiv (x \geq 0), p_2 \equiv (y = x + 1), \\ p_3 \equiv (y > 2), p_4 \equiv (y < 1)$$



Assignment  
 $p_1, p_2, \neg p_3, p_4$



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Unsatisfiable

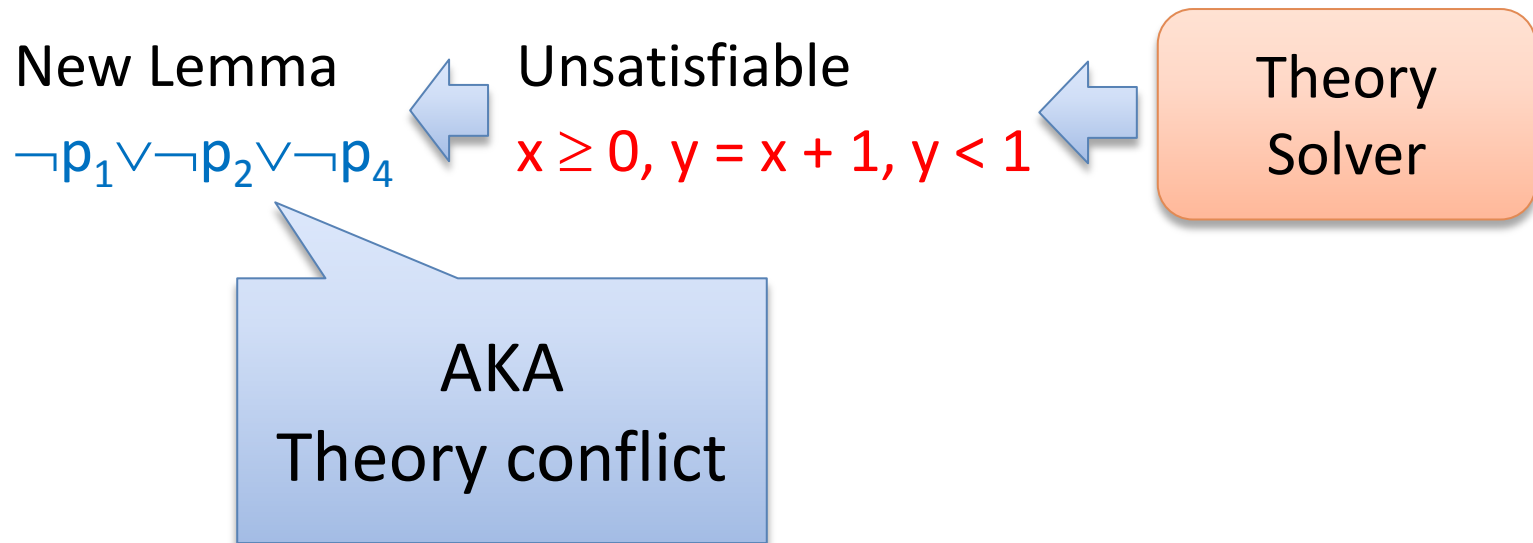
$$x \geq 0, y = x + 1, y < 1$$



New Lemma

$$\neg p_1 \vee \neg p_2 \vee \neg p_4$$

# SAT + Theory solvers



# SAT + Theory solvers: Main loop

```
procedure SmtSolver(F)
  ( $F_p$ , M) := Abstract(F)
  loop
    ( $R$ , A) := SAT_solver( $F_p$ )
    if  $R$  = UNSAT then return UNSAT
    S := Concretize(A, M)
    ( $R$ , S') := Theory_solver(S)
    if  $R$  = SAT then return SAT
    L := New_Lemma(S', M)
    Add L to  $F_p$ 
```

# SAT + Theory solvers

## Basic Idea

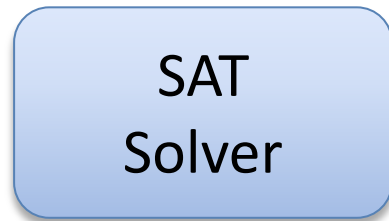
$$\mathbf{F}: x \geq 0, y = x + 1, (y > 2 \vee y < 1)$$



Abstract (aka “naming” atoms)

$$\mathbf{F}_p: p_1, p_2, (p_3 \vee p_4)$$

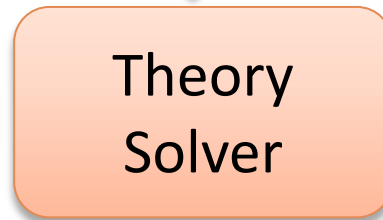
$$\mathbf{M}: p_1 \equiv (x \geq 0), p_2 \equiv (y = x + 1), \\ p_3 \equiv (y > 2), p_4 \equiv (y < 1)$$



**A:** Assignment

$$p_1, p_2, \neg p_3, p_4$$

$$\mathbf{S}: x \geq 0, y = x + 1, \\ \neg(y > 2), y < 1$$



**S':** Unsatisfiable

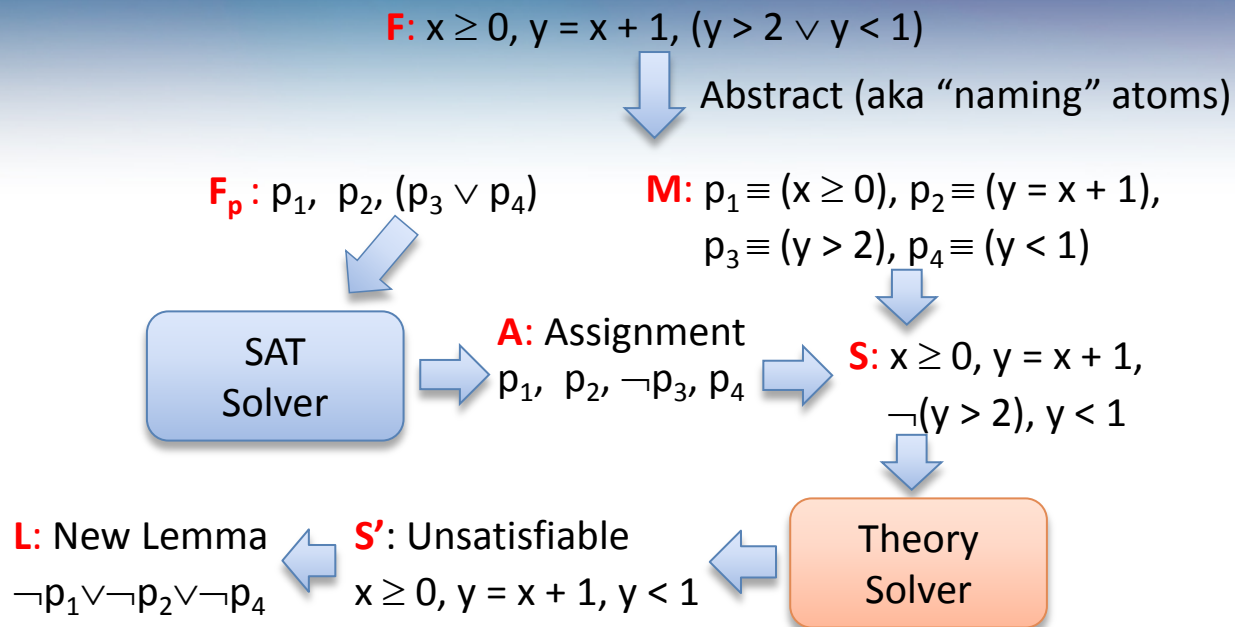
$$x \geq 0, y = x + 1, y < 1$$



**L:** New Lemma

$$\neg p_1 \vee \neg p_2 \vee \neg p_4$$

# SAT + Theory solvers



**procedure** SMT\_Solver(**F**)

  (**F<sub>p</sub>**, **M**) := Abstract(**F**)

**loop**

    (**R**, **A**) := SAT\_solver(**F<sub>p</sub>**)

**if** **R** = UNSAT **then return** UNSAT

**S** = Concretize(**A**, **M**)

    (**R**, **S'**) := Theory\_solver(**S**)

**if** **R** = SAT **then return** SAT

**L** := New\_Lemma(**S**, **M**)

    Add **L** to **F<sub>p</sub>**

“Lazy translation”  
to  
DNF



# SAT + Theory solvers

**State-of-the-art SMT solvers implement many improvements.**

# SAT + Theory solvers

## Incrementality

Send the literals to the Theory solver as they are assigned by the SAT solver

$$p_1 \equiv (x \geq 0), p_2 \equiv (y = x + 1),$$

$$p_3 \equiv (y > 2), p_4 \equiv (y < 1), p_5 \equiv (x < 2),$$

$$p_1, p_2, p_4 \mid p_1, p_2, (p_3 \vee p_4), (p_5 \vee \neg p_4)$$

Partial assignment is already  
Theory inconsistent.

# SAT + Theory solvers

## **Efficient Backtracking**

We don't want to restart from scratch after each backtracking operation.

# SAT + Theory solvers

## Efficient Lemma Generation (computing a small $S'$ )

Avoid lemmas containing redundant literals.

$$p_1 \equiv (x \geq 0), p_2 \equiv (y = x + 1),$$

$$p_3 \equiv (y > 2), p_4 \equiv (y < 1), p_5 \equiv (x < 2),$$

$$p_1, p_2, p_3, p_4 \mid p_1, p_2, (p_3 \vee p_4), (p_5 \vee \neg p_4)$$

$$\neg p_1 \vee \neg p_2 \vee \neg p_3 \vee \neg p_4$$

Imprecise Lemma

# SAT + Theory solvers

## Theory Propagation

It is the SMT equivalent of unit propagation.

$$p_1 \equiv (x \geq 0), p_2 \equiv (y = x + 1),$$

$$p_3 \equiv (y > 2), p_4 \equiv (y < 1), p_5 \equiv (x < 2),$$

$$p_1, p_2 \mid p_1, p_2, (p_3 \vee p_4), (p_5 \vee \neg p_4)$$



$p_1, p_2$  imply  $\neg p_4$  by theory propagation

$$p_1, p_2, \neg p_4 \mid p_1, p_2, (p_3 \vee p_4), (p_5 \vee \neg p_4)$$

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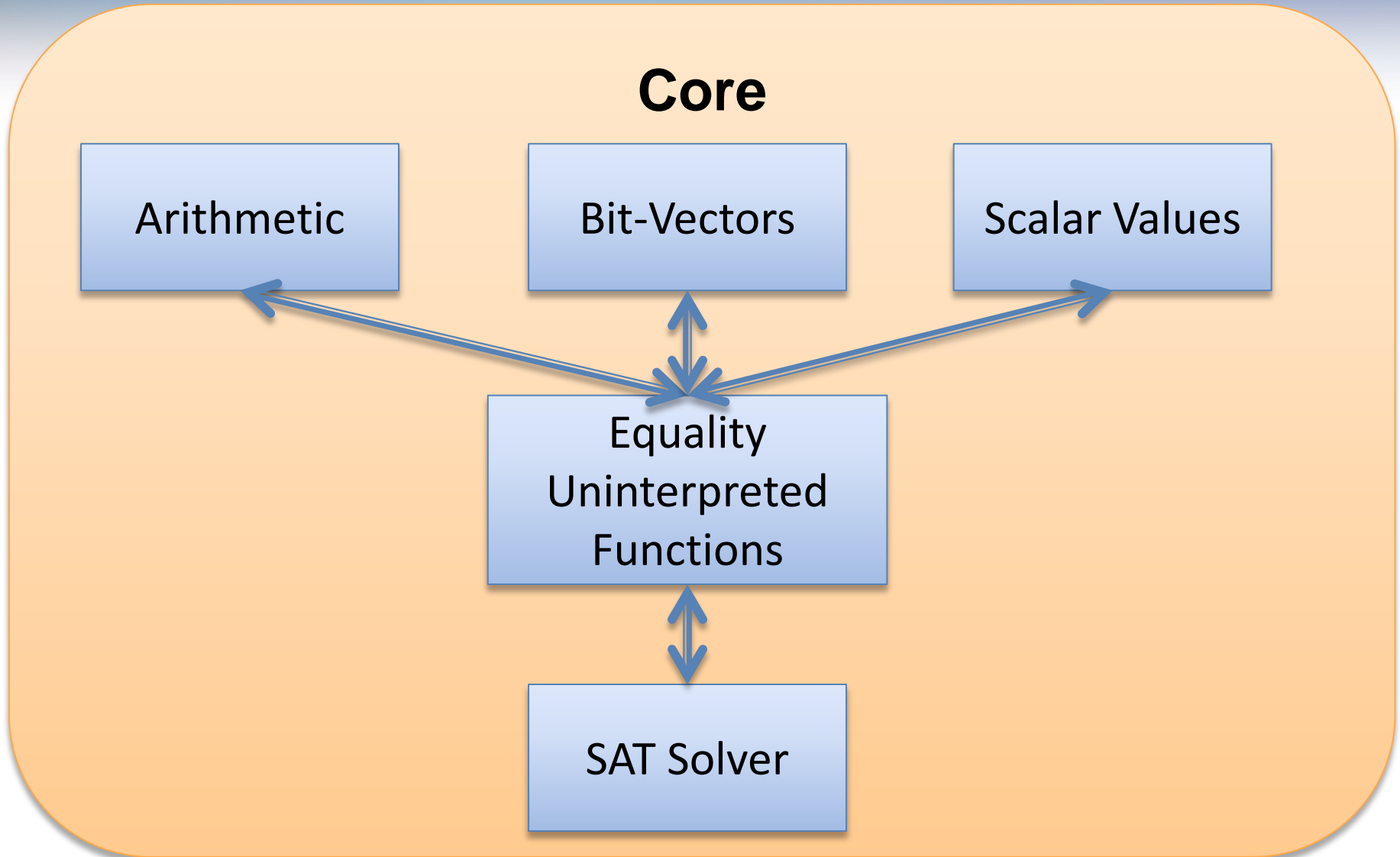


$p_1, p_2$  imply  $\neg p_4$  by theory propagation

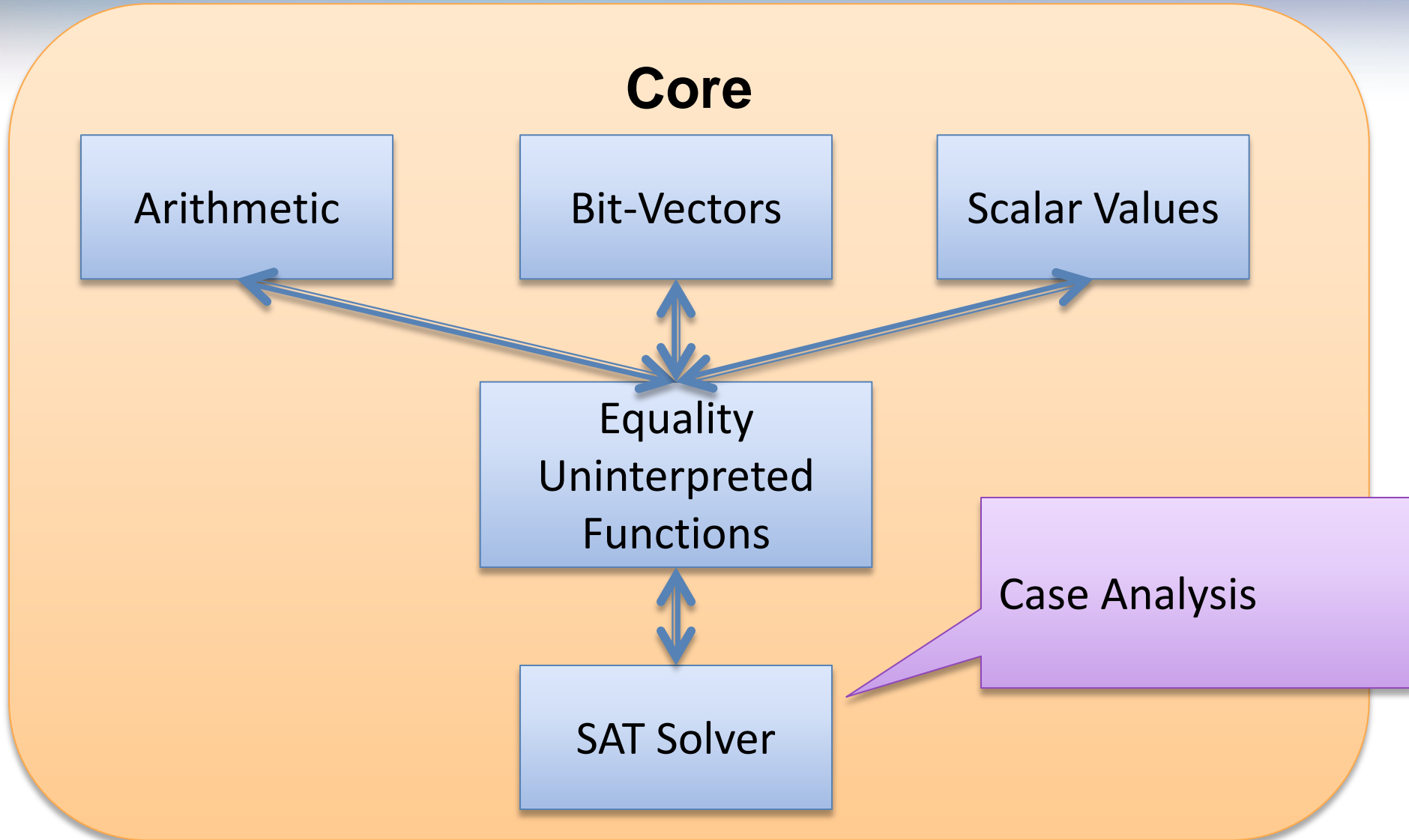
$$p_1, p_2, \neg p_4 \mid p_1, p_2, (p_3 \vee p_4), (p_5 \vee \neg p_4)$$

**Tradeoff between precision  $\times$  performance.**

# An Architecture: the core



# An Architecture: the core





# An Architecture: the core

